HISTORY OF EUROPE 1453-1789

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HISTORY OF EUROPE 1453 -1789 C.E

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Fall of Constantinople; Reneassiance

Unit - II

Reformation-Counter Reformation-Ignatius Loyola

Unit - III

Geographical Discoveries, Europe and the Wider World

Unit - IV

Rise of Nation States, Enlightened Despotism, Revolutions: Glorious Revolution 1688, Industrial Revolution and Factory System

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Louis XVI and Europe on the Eve of French Revolution

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UNIT - I

Lesson 1.1 - Fall Of Constantinople

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1.1.1 Objectives

The main objectives of the present module on "Fall of Constantinople; Renaissance" are as follows

- 1) To understand the nature of conflict in Middle Age Europe and Asia Minor
- 2) To bring out the demarcation line for the middle ages and the beginning of the modern era in Europe
- 3) The assessed importance of Constantinople, the last stronghold of the Christendom
- 4) To analyse how far does the fall of Constantinople propelled the wave of new Learning
- 5) To help understand the learner's impact of the Renaissance
- 6) Finally, it will assess the connection between the fall of Constantinople and the Renaissance in Europe.

1.1.2 Introduction

Throughout the fourteenth and fifteenth centuries, the Ottoman Empire first conquered the Anatolian territory that had once made up the core of the Byzantine Empire and then expanded into the Balkans. In Muslim traditions, the conquest of Constantinople had long been charged up religiously. Its fall to a Muslim army was foretold several times in the Hadith, the collection of sayings traditionally attributed to the Prophet Mohammed. Due to this, some believed Constantinople's conquest to be Islam's ultimate objective. Many Ottoman leaders tried overtime, including Murad II, Mehmed II's father. After a failed siege in 1422, he diverted his energy to consolidating the Turkish conquests. By the time of his death in 1451, there was little left of the once-prosperous Byzantine Empire, some towns, few islands, the distant Morea and Constantinople itself.

1.1.3 Brief Overview of the Fall of Constantinople

On Easter Monday, 1453, an ambitious and young Ottoman Emperor, Mehmed II, arrived at the gate of the Byzantine capital Constantinople. The seized was perhaps the best example of a determined leader, as we have already discussed the robustness of the fort. The fall of Constantinople was a true watershed in history. It cemented the position of the Ottoman Empire as the major power in the Balkans and the eastern Mediterranean, ending the long chapter of Roman history. In some ways, the Roman Empire outlasted the so-called fall of Rome in 476 AD, almost a thousand years ago, as it continues to exist as what we call the Byzantine Empire.

When Mehmed II ascended the throne, many doubted his ability to lead the empires of the Ottomans. He had already sat on the throne when his father wanted to retire in 1444. Since he was just 12 years of age, he was not capable enough to lead the empire on his own, so his father had to return in1446. In 1451 he became the Sultan, which worried the Ottomans while there were celebrations in Europe. Mehmed was seen as an inexperienced youngster and, thus, not a real threat.

The Sultan needed great success to establish his authority; the price he reached was the widely praised red apple, as Constantinople was called. Not everyone was fond of this plan such as the Ottoman people who peacefully lived alongside the Byzantines as well as many of Mehmed's soldiers ranking highest among his critic was the Ottoman grand Vizier Çandarli Halil Pasha, Once Mehmed's teacher and the one who urged

Mehmmed's father to return in1446. This experience advisor is now the greatest stumbling block for Mehmed and was too powerful to be disposed of quickly. His voice for caution and peace scored him the reputation of being a friend of the Greeks and a traitor, and he was indeed well connected to the people of Constantinople, as really many Ottomans were at the time. Now the time has come for them to decide on duty and personal interest in less than a year after he had ascended the throne, Mehmed began to prepare for War.

In the spring of 1452, he ordered to build a fortress on the European shore of Bosporus, opposite a similar construction of his father. This fortress Rumeli Hisari is called Bogazkesen, meaning strait blocker or throat cutter. This was like pushing a thorn into Byzantine flesh because it violated the empire's territory and gave the Ottomans complete control over the strait. The Sultan also sent substantial raiding forces to Morea, where the Byzantine emperor ruled to prevent them from sending help. So Mehmed was reaching for the Red Apple.

Mehmed's opponent was the last emperor called, Constantine XI Palaiologos, a very judicious ruler and very much aware of his standing and who realized Mehmed's real intentions early on. He sent a letter and an offer for a tribute to the Sultan to convince him of a peaceful solution. When this didn't work, he tried his luck for political pressure. He incarcerated all Ottomans in Constantinople and threatened to release Prince Orhan, the rival claimant to the Sultan who was held captive in the city and might have stirred up a civil war in the Ottoman Empire. However, this didn't work out either.

To make matters worse, in June, Mehmed returned to the emperors the heads of the two emissaries. The message was stunningly clear that this was a declaration of War. Prudent as usual, Constantine had asked for help from his allies; however, the Greek Orthodox and the Roman Catholic church had already been divided since the so-called great schism of 1054, so Pope Nicholas V insisted he could only authorize a crusade when the controversial church union they had negotiated in 1439 was implemented the hard-pressed Byzantine had no choice but to allow the papal legate cardinal Isidore of Kyiv to proclaim the union in Hagia Sophia despite widespread resistance.

Now that these obstacles have been put aside, Constantinople could hope for help from Western Europe. Isidore brought 200 mercenaries, and

the appeals of the Pope, combined with Constantine's promises, prompted the city-states of northern Italy states to support Constantinople as well. Genoa and Venice were preparing for further reinforcement. The small band of Isidore were seen only as the vanguard of an army on its way to rescue Constantinople. Probably, the most valuable to the garrison was a well-seasoned soldier of fortune from Genoa who sailed to Constantinople in January of 1453 named Giovanni Giustiani Longo. He brought 700 experienced, well-armed mercenaries along with him, who would play a decisive role in the siege. He was well-versed in defending walled cities. This qualitative of Giustaniani made him head the landward defence.

The last significant reinforcement came from the ship's crew present in the Golden Horn, Bay of Constantinople's northeastern side. They added up about 1000 men to the defending army. George Sphrantzes, a Byzantine politician and eyewitness, reported the number of Greek defenders. He counted 4973 able-bodied inhabitants well equipped but largely lacking military training. Additionally, about 2000 foreigners provided help all in all; recent scholars estimate that no more than 7000 to 9000 defended the stretch-out walled fortress of Constantinople.

1.1.3.1 The Strategic Location of Constantinople

Constantinople used to be the hub of the medieval world. It connected Europe, Asia and the Levant and all trade between the Mediterranean and the black sea had to pass along its gates. However, the wars and the catastrophes' of the last centuries had marked the once shining city. In the 1340s, the plagues had ravaged inside the walls and killed almost half of its inhabitants, and the economic crisis caused by the general political decline of the Byzantine Empire led to further depopulation. Historian Klaus-Peter Todt said, "No more than 50,000 -75,000 inhabitants were left in the themed-15th century". Still, Constantinople was a crumbling old town.

On the contrary, its wall was repaired and reinforced in the winter of 1453. They were perfectly Complementing the garden water 11 meters high wall with 220 towers stretched along the sea and a vast Iron chain barred access to the golden horn. This meant the city could only be attacked from the landward side to the west. The chain stretched over the Golden Horn to the Genoese colony of Pera, which according to the historians Jonathan Harris, pledged to the Ottoman to stay neutral but send soldiers to help defend Constantinople. While some also claim they also supplied vital intelligence at the same time. Overall, Pera's role in the siege

is ambivalent since rumors' are difficult to separate from facts. However, we can assume that the Genoese may have conducted themselves in detail; the Ottomans neither attacked them nor gave away the chain protecting the golden horn. While a single horn was sufficient on the seaside, the land side needed something more solid due to the strategic location of Constantinople. It was the only possible approach for a besieger.

1.1.3.2 The Theodosian Wall

The 5.5 kilometers of Theodosian wall stretched from the Sea of Marmara to the Golden Horn. This rampart was built in the 5th C E and repaired and strengthened several times. It was considered the most elaborate piece of fortification for much of the middle ages. It featured 7 meters deep an 18-meter-wide moat with a low wall right at the inner edge. Behind this tower, there are two lines of seemingly impenetrable walls. The outer one is 8 meters high and features 90 towers, and the inner one is 11 meters high and 4.8 meters thick, featuring 96 towers. On top of the walls, several stone throws and canons were waiting to welcome any attackers.

Upon his arrival, Giustiniani ordered to optimize this wall even further. Under his vigil, the defender deepened the moat, repaired the wall, and sued long, cautious filed with straw to hang outside the walls. Like fenders on the boat, they should dampen the impact of Ottoman cannonballs. Giustiniani knew he didn't have enough men to garrison both walls and wanted to pursue an active defence based on sorties; because of this, he decided to handle the outer wall primarily and only let some archers shoot from the secured heights of the second wall. The Byzantines and the Ottomans understood well that this siege would be all about time. Mehmed had no time to lose because the relief army from the west could arrive at any moment. It was not easy to supply his vast army, and he desperately needed success to prove his leadership ability. It could mean his end if he didn't overcome Constantinople well o time.

Therefore Mehmed wanted to finish things quickly. The defenders, on the other hand, were running down the clock. They were outnumbered but well-supplied and hoped to stand firm until help arrived. Almost everyone in the cities collected food or gathered all valuable goods to pay for the mercenaries. The catastrophes of the last centuries now improved the city's supply situations. It was not overcrowded, and the sparse space was converted to farmland which now provided additional resources. When the siege began, the town was well-supplied. Constantinople was

not the sort of an empire doom to fall to an overwhelming enemy as has been suggested.

On the contrary, it seems that Mehmed had chosen the wrong apple to pick. The Sultan spent the entire winter recruiting a formidable army with an emphasis on both quality and numbers. Christian eyewitnesses gave an enormous number of up to 400,000 modern men, while most suggested that somewhere between 60-150,000 men.

According to these numbers, the defenders were outnumbered broadly by 10:1. However, not this military giant's attention that attracted the onlookers but the extensive artillery it brought along. Mehmed had commissioned huge bombards to shoot the Theodosian walls to pieces. According to Marios Philippides, and Walter K. Hanak, one of the most significant masterpieces of a Canon was called "BASILIKOS"; it could shoot more than 500 kilograms with a range of over one kilometer. Although cumbersome and took only a few shots in a day, combined with other guns, which the Ottoman had all about 70, it made a deadly orchestra.

The winter before 1452-53 could be considered the calm before the storm. The Ottoman ships were visible only in February 1453 in the water around Constantinople to close the city off from the sea. Soon after, a gentle breeze soared around the Bosporus, whisperingly announcing the storm to come. The whispering was the distant cries of War of man by the governor of Rumelia, who were preparing the ground for the Ottoman advance. They cut down vines and orchards outside Constantinople and captured the remaining Byzantine towns along the Marmarra and black sea coast. Then Constantine struck back. He sent his ship to rage Turkish villages along the shores of the Sea of Marmara. But the preparations of his enemies couldn't be stopped easily. Soon, the Ottoman soldiers finished their march boat after the boat arrived at the Asian shores of the Bosporus and Rumeliam troops flocked towards the Golden Horn. On the 5th of April, which happens to be Easter Monday 1453, the Sultan himself arrived with the main army; by then, the heavy artillery was already in place.

When Mehmed arrived, he pitched his camp on a hill opposite the walls between the Saint Romanus and the Charisius gate, the so-called Mesoteichion or the middle of the wall where most of the action would be directed at. This spot favoured an attacker because the walls were at the end of the valley of the river Lycus/lykos, meaning they were below the Ottoman guns they could fire down on them from a more advantageous position. The

palace guards, including the janissaries, surround the Medmed's tents. The Anatolian army formed the right wing extending all the way to the sea of Marmara, while the Rumelia army had its camp on the left of the Sultan up to the golden horn. On the other shore of the golden horn, Zaganos Pasha and a few thousand men secured the height behind Galata.

Zaganos Pasha was the second Vizier and close ally of the Sultan. He was absolutely loyal and notoriously belligerent. In the early morning of 5 April, the Ottoman army marched to the siege works (Trenches and ditches), which stretched over 4 kilometres along the land wall. Before the ottoman batteries opened the fire, Mehmed sent the last appeal to Constantine, asking him to surrender. He should hand over the city and submit to Ottoman rule in return for the security of their lives and property. The emperor denied it.

Emperor Constantine and Giustiniani, with 3000 men, were to defend Mesodeichion. In contrast, the Venetian sailor under Girolamo Minoto defended the second critical point, the Blackhernae; the remaining men were spread along the wall, and a small reserve was kept ready under Loukas notaras. Notaras was, in fact, the counterpart of Zandali Halil Pasha. He was the chief minister of Byzantine for Byzantine empire and spoke out for a peaceful solution which scoured to the reputation of a traitor. Among the defenders on the southern sea wall was also Prince Orhan, who decided to side with the defenders because he felt the threat to his life was imminent if the Sultan got hold of him.

1.1.4 Genesis of Ottoman and Byzantine Conflict

We have a brief overview of Constantine's fall and a short discussion on Constantinople's strategic location and Sultan's Mehmed strategy. However, to understand the battle and the respective war strategy, let us now consider each emperor's plans individually. This section will also have visual support using maps and pictures of eminent personalities. Then things we have not touched on above will be more precise and understandable.

1.1.4.1 Genesis of the Conflict

The year 1444 saw Sultan Murat II defeat the European Crusaders at the Battle of Varna. The King of Poland and Hungary, Vladislav, was killed during the battle, which plunged the most essential power and

significant power in central Europe into a crisis, and the apparent result was to follow.

However, the Ottoman was still resisted by another formidable force called Skanderbeg, the mighty Albanian King and the voivode of Transylvania called John Hunyadi. Voivodes denote semi or autonomous governors, predominantly found in Transylvania, Wallachia, or Moldova region before 1700 AD. Sometimes it also denotes a title for the military leader and warlord in Central, South eastern and Eastern Europe that has been in vogue since the early Middle Ages. The latter sent numerous appeals and SOS to the Pope and kings of Europe urging them to fall in line with another round of crusades; However, his call received not much positive response from his European rulers, so he instead resorted to raiding into Ottoman territory only. As Skanderbeg was earlier a vassal of the Ottoman, Murad sent three punitive expeditions against him, but the Albanian forces ambushed and outnumbered all three expeditions.

Meanwhile, Sultan, preoccupied with the internal Rebellion, found it was impossible for him to deal with the Albanian forces immediately as the circumstances would have demanded, and also a campaign against the despoatate of Morea in 1446. The Despotate of the Morea was more or less an independent or semi-autonomous state with some form of tributary paying states to the later Byzantine Empire. In 1226 When Byzantines reconquered part of the Greeks Peloponnese, the Despotate of Morea remained the only legally governed by some semi-autonomous despots of the imperial Kantakouzenos and Palaiologos families starting in 1349 CE. However, this semi-autonomous had outlasted the Byzantine Empire itself, only to fall to the Ottomans in 1461 CE. During the campaign, Murad forces the ruler of Morea future ruler of Byzantine Constantine XI, to accept his vassalship and pay tribute. An attack on Skanderbeg followed this, and Murad had a little edge in the battle of Svetigrad in 1448. However, Skanderberg being an expert in Guerilla warfare, Murad could not pursue him further.

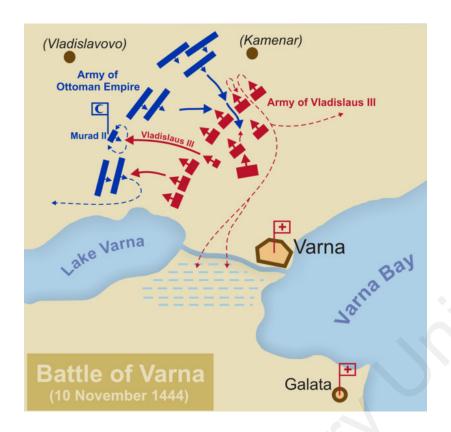


Image Credit: World History Encyclopedia (WHE), Map Showing battle of Varna 1444



Image Credit: WHE, SULTAN Murad II

But what he could do was to build a few garrisons along the frontiers and return to Edirne, formerly known as Adrianople or Hadrianopolis. Meanwhile, Hunyadi was still trying to mobilize another round of crusades. By September 1448, he was said to have raised a force of 30,000 strong army and began his campaign. He was also banking on the despot of Serbia, Mr Durad Brankovic, to give him a helping hand during his campaign. Unfortunately, since the latter was an Ottoman vassal, he instead raided the land of the Serbians.

Now Murad desperately needed to stem the tide of Skanderberg and Hunyadi joining hands against him. In October, Hunyadi moved to the field of Kosovo, anticipating the arrival of Skanderbeg. Some historians claim that Durad Brankovic blocked the latter, while some believed that the Ottoman garrison in the frontier region slowed down the movement of the Albanian forces. But whatever the reason, it could be that on October 17, 1448, the sultan and his forces, with a strength of 50,000 soldiers, reached where the first battle of Kosovo between Lazar and Murad II took place. In this battle, the Polish, Wallachian and Moldovan forces unitedly attacked the Ottoman forces but were pushed back despite early success. Hunyadi attempted to use his cavalry to attack the Ottoman flanks at night, but the Ottoman light equestrians intercepted Hunyadi's tactics and gave him a befitting reply to the crusading forces. This was followed by the trick of retreating by Murad on the second day. Then Hunyadi and his troops pushed head-on the Ottoman light infantry troops.

However, the janissaries or the loyal soldiers made a concerted effort to stop the Hunyadi advances. At this critical juncture, the sultan's flanks encircled the Hunyadi forces; although the leaders of the crusading army managed to escape, half of the troops were smashed in the battle with around 5000 casualties on the Ottoman side. So this battle of Kosovo was a turning point for the Ottomans and seemingly sealed the fate of the Balkan forces for a few centuries.

1.1.5 The Ascension of Mehmed II

Although Skanderbeg's continuous efforts did not yield much result, the Ottoman's focus on Constantinople was clear. When Murad II passed away and was succeeded by his son Mehmed II in 1451, he made his goal clear that his sole aim was to take the Byzantine capital Constantinople. This was when the Glory of Constantinople was also declining, with a

population between 50,000 and 100,000. Emperor Constantine XI was said to have controlled only along the coast and alleged to pay tribute to the Ottoman sultan. Meanwhile, MemedII signed a treaty of a non-aggression pact with Venice, Genoa and Hungary. Then a new rebellion in Karamanids gave him a golden opportunity to cross over to Anatolia and consolidate his power.



Image credit: WHE, Map of eastern Mediterranean in 1450

Although Constantine always attempted to free himself from the yoke of paying tribute to the Ottoman sultan by threatening support to Prince Orhan, Memed's cousin to the throne. Memed converted this adversity into opportunity by declaring all treaties with the Byzantine null and void. This gave the Ottomans the chance to prepare for war. So in April 1452, the sultan ordered to build of a fortress called Rumelihisari on the northern end of the Bosporus to prevent any form of assistance to Constantinople from across the Black Sea.

This gave Constantine a heart-wrenching attitude. He had no choice but to store more supplies, bring his citizens into the City, and send

SOS to the European kings and rulers for help. But a few mercenaries and Venetian ships had turned up led by Giovani Giustiniani, a very popular Genoese captain and soldier, while most Christian monarchs ignored the pleas.



Image credit: Alpha History, Fortress: Rumelihisari



Image credit: WHE.Sultan Mehmed, The conqueror

While on the other hand, some historians believed that the Ottoman possessed somewhere around 100,000 soldiers, 69 canons and 126 ships under the unified command of Memed II, which confronted the Byzantine troops of 7000 soldiers, out of which 500 were supposedly from the Ottoman forces loyal to Prince Orhan and few canons and 26 ships

and more than 30,000 local were forcibly recruited for the showdown. Although the Byzantine and Italian ships were state-of-the-art, their guns were outdated.



Image credit::WHE, GIOVANI GIUSTINIANI

1.1.6 Role of Constantine and Giustiniani

One of the Ottoman canons was so big that it played a decisive role in the war. While on the other side, an Italian commander was assigned the job of taking care of each wall under the overall command of Giustiniani. At the same time, Constantine and his guards remain in reserve in the Blachernae palace. The defenders repaired the wall, and a chain was set across the golden horn designed to prevent the Ottoman from attacking from the seaside. When the vanguard of the Ottoman army arrived at Constantinople Wall on April 1 1453, setting up camps nearby the following days. Followed by the sultan's arrival on April 5 and began to devise a strategy for besieging the City. The first strategy was to place the Giant Canon against Theodosian Wall no 6 of Constantinople and began blasting the walls accordingly. When the canons were so huge, which took

the Ottomans three hours to reload, that perhaps was ample time for the Byzantines to rebuild the damaged walls.



Image credit: the Oxford Dictionary of Byzantium, BLACHERNAE PALACE

Then on the 7th Theodosian wall, the sultan commanded his light infantry and other escorting troops to take on the walls but without much success. While the Byzantine attempted on the 8 and 9 walls, they too could not do much. This resulted in the Ottomans changing their strategy and concentrating only on the 11 walls with all the canons they had directed toward this wall and continued the bombardment until they managed to barge into the fortress.

Meanwhile, during the night, the Ottomans attempted a night assault on the 17 and 18 walls, but the defenders were so intact that they could easily repel the invading troops. On the waterfront, the Ottomans were also unsuccessful in penetrating towards the golden horn.

Additionally, some supporting troops from Venetia arrived on April 20 to join the Byzantine forces. This led the sultan to devise a new strategy and order the ships to be moved across some of the Genoese colonies in Pera. Then the ships were asked to move in the water near the Golden Horn behind the chain on April 28.



Image credit: WHE, Emperor CONSTANTINE XI

Constantine confronted this strategy by sending his Fire ships, but unfortunately, they lost this battle. This made Constantine move some of his troops towards the northern wall, which weakened his defence elsewhere. Some Byzantine canons were subsequently transferred into the bay area but failed to defend the allied ship, which had to return to the harbours—on May 6th Ottoman troops managed to destroy the gate of St. Romanus, and during the night, they attacked all the walls as fiercest as they could and sometimes managed to breach some of the areas of the most vigorous defence that the Byzantine projected. But Giustaniani was courageous enough to fend off the attacker as he arrived.

On May 11, the Ottoman could manage to break open the Caligarian gate and move towards the Blachernae Palace, but the Emperor was able to push them back. The Ottoman sultan ordered and commanded an all-out assault on the 29th with a massive bombardment followed by

a light infantry attack. Despite their numerical advantage, they failed to attack the wall and sieged it from Giustaniani's defence. Memeds artillery could manage to destroy some parts near the St. Romanus Gate, and 3000 Janissaries were pumped into attack these positions. But the Byzantines could establish a brave defence line again, although the Janissaries took one of the towers and hoisted the Ottoman flag.

Meanwhile, the wound inflicted on the Byzantines was so deep that most could not stand against the invading force to defend the frontlines. This was a massive blow to the morale of the defenders. When jew janissaries entered the City near the gate of the St. Romanus, the Byzantine defence began to collapse like the house of cards. While there was chaos when citizens and most surviving defenders boarded the ship in an attempt to leave the City, some historians said that the Emperor made concerted efforts to save the City by putting up a brave counterattack at the gate of St. Rumanus but died defending his city.

1.1.7 Let Us Sum Up

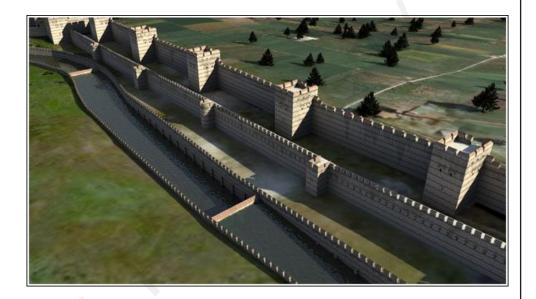
It was a theatrical event of revel and loud clamour at the end of November 1452 when the Ottoman made several attempts to dislodge the empire. However, the Ottoman Empire attempts to besiege Constantinople and end the Byzantine Empire takes on half a dozen occasions. While at the same time. These seizes were interrupted by several factors, such as crusades, civil war, Rebellion, and, most importantly, the invasion of Timur. Nevertheless, by the middle of the 15th century, the Ottomans consolidated their territory and finally entered a period of internal stability. This indicates that the subsequent seizure was inevitable.

When the Ottomans eventually conquered, they sacked, maimed, raped and enslaved people, whatever they could. The spoils were enormous. A common saying goes that one who has been in Constantinople among the Ottomans synonymous with a rich man. Only after three days, the Sultan called the sacking to a halt. According to current estimates, about 4000 people were killed in the attack, and 30,000 were enslaved.

Finally, when the emperor died defending the empire, Prince Orhan flung himself over the wall, Chandali Halil Pasha and Loukas Notaras were both executed. Giovanni Giustianni was the only leader among the defenders who succumbed to his wound on the way home to Genoa. Thus the fall of Constantine marked the end of the Byzantine

Empire. Although it took Mehmed another eight years to reduce the last remnants, with Constantinople's fall, the 1,100 years of existence of the Byzantine Empire ended. Then Mehmed made the city the capital of the Ottoman Empire. It became his foothold on the European shore of Bosporus, the hub of his expansionist plan, and this was precisely what the central and western European states and Christendom feared. Then, after all the arrangements, Mehmed moved to Hagia Sophia to proclaim the Muslim faith. Thus Mehmed achieved the victory he so direly needed to show that he was a capable ruler because he had succeeded where many Muslim rulers had failed, and henceforth he was known as Mehmed the Conqueror.

Although it marked the end of the medieval era, it eventually led to the next phase of the Renaissance, perhaps starting the age of Discovery and colonization process, and an incessant war between a European power and the Ottoman was to follow.



Courtesy: WHE, Theodosian wall of Constantinople.



Map: Showing the Golden Horn of Constantinople

1.1.8 Self Assessment Question

- 1) Discuss the role of Emperor Constantine XI in defending his empire
- 2) Why was Sultan Mehmed called the "Conqueror"?
- 3) What was the part of Murad and Mehmed, the conqueror, in establishing the ottoman empire?
- 4) Discuss the importance of the Battle of Varna.
- 5) Discuss the importance of the Crusade in the fight between the Ottoman and the Byzantine Empire.
- 6) Briefly explain the role of Sultan Murad and Mehmed in their attempt to conquest the Byzantine Empire.
- 7) Describe the Theodosian walls
- 8) Discuss briefly the impact of the fall of Constantinople.
- 9) Discuss the importance of the battle of Kosovo

1.1.9 Reference

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Lesson 1.2 - Renaissance



Image credit: Steve Hersey -FLORENCE, THE BIRTH PLACE OF RENAISSANCE

Structure

- 1.2.1 Introduction
 - 1.2.1.1 Santa Croce
- 1.2.2 The Medicis Family
 - 1.2.2.1 Role of Giovanni De Medici
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1.2.1 Introduction

The French word for Renaissance means rebirth or revival. It is often conveniently applied to all the changes in the realm of society, law, government, science, philosophy, and religion, and perhaps most importantly, it is often focused on literature and art that transformed the medieval world into modern civilization. However, in the real sense, the term was applied during the 14th and 15th centuries, covering the later period of the middle ages, and is referred to as the period of Renaissance.

Since it characterized a transitional period, it was not easy to set a water-tight compartment period where some are said to have begun much earlier than the rest of Europe. The most plausible reason often cited for this reason is the beginning of the study of Roman law that replaced the Germanic custom and cultures dated towards the end of the eleventh century.

Secondly, the rise of cities in Europe, with its characteristic features of accommodating trade and commerce, began simultaneously, while some other distinguishing features of the Renaissance started much beyond the fifteenth century. For Instance, the widening horizon of geographical knowledge and the subsequent discovery of the new world, the resistance to dogmatism in terms of revolting against papal authority that led to Reformation where reason and nationalism preceded the rest and the end of the Middle Ages in different parts of the world.

As stated above, the word renaissance initially applied to the revival or rebirth of men's interest in the art of classical antiquity, and literature began in Europe, so Italy was considered the home of the Renaissance. These revival activities spread to Western parts of Europe from the Alps gradually. The conducive atmosphere for such revival was in the cities of Milan, Pisa, Florence, Genoa, and Venice had become one of the most advanced self-governing cities hospitable for the growth of trade and commerce along the lines of the Greek city-states. In these cities, the

feudal lineage of a person took a back seat, and the person's ability and capability were the main driving forces. Their unique characteristics made them prosperous and wealthy.

Thus, the democratic spirit and the idea of ability and ambition brought considerable wealth that eventually propelled a very comfortable, perhaps luxurious and refined life and gave means to crave for unending possession of knowledge—the desire to possess new things, pictures and the freedom to do so. The reward for such ability and liberty comes from the artist who started painting. Thus, activities with a conducive atmosphere were noticeable from the early period in none other than Florence, a wealthy democratic, industrial-friendly city. There are other uniqueness of Florence where we have seen the emergence of the Medicis family, perhaps under whose patronage the Renaissance flourished. To understand a brief discussion on the role of the Medicis family's history and their love for art would help us better understand the Renaissance.

1.2.1.1 Santa Croce

Florence, in the heart of Tuscany, seldom in the History of humanity, such a city had an impact on the History of art. This is the city where the Renaissance began in the 15th century. Florence was a wealthy and influential city. To show their power, the princes were prepared to spend vast amounts of money erecting monuments and surrounding and hosting the best artists in the world at that time. Some such notable buildings are palaces, squares, and religious edifices are a living testimony to their genius.

Florence became one of the most beautiful cities in the world, a city made sublime by the spirit of the Renaissance. Now let us look at Santa Croce city, where one of the city's most famous basilicas stands, symbolizing the epicenter of Florentine art. Over time, the Santa Croce Basilica represents the pantheon of Florence's outstanding figures in art and science. Amid the large tombstone sits an altarpiece created by Donatello. Buried here, we also have Galileo, the composer Rossini and Machiavelli, but the Jewel in Santa Croce town is the tomb of Michelangelo, designed by Giorgio Vasari.



Image credit: Public domain, BASILICA DI SANTA CROCE



Image credit: Giovanni Dall'Orto, Tomb of Michelangelo by Giorgio Vasari (1511-1574



Image Credit: Jacopo Zucchi, Portraits of Giorgio Vasari



Image credit: WHE, Portraits of DONATELLO

1.2.2 The Medicis Family



Image credit: WHE, PALAZZO MEDICI RICCARDI

Florence owes its standing as the ultimate expression of its artistic genius to one family, the Medici. The city owes its extraordinary journey to them. The Medicis are still regarded as the most significant patron of arts in the History of the world. A brief description of the Palazzo Medici Ricardi would be imperative to understand the reason for their patronage and exceptional professionalism in the arts field. A fresco dating to this period was found in the Magi chapel, the Magus Caspar's procession, one of the three biblical wise men (Melchior and Balthazar).

The Fresco depicts Medici's domination of the city for more than 300 years. Various historians also interpreted the Fresco as the best representation of political propaganda by the Medici. A close look at the Fresco (below) shows that Lorenzo the Magnificent is shown as a child on a white horse; behind him is Pierre on the other white horse and his grandfather Cosme de l'ancien; they were represented in the frescos as a wealthy merchant and influential family in the hearts of the village.



FRESCO DEPICTION OF THE MEDICIS FAMILY

The Medici families were the first to grasp the importance of art in the quest for power. For the Medici, the importance of art squarely lies in two factors.

- 1) The Medici's innate qualities and traditional choice of beautiful and exotic things
- 2) They believed this support for art would provide them with a space to build a reputation, become popular among other European countries, and gradually attract professional artists worldwide.



Image credit: Benozzo Gozzoli, THE PROCESSION OF THE MAGI

1.2.2.1 Role of Giovanni De Medici

One of the most famous figures in the Medici family is Giovanni De Medici, a leading banker in the city. He is responsible for building a considerable amount of fortune for the family. His marriage added this fortune to another banker Julie who became her Princess. Their son Cosimo, the elder, had an heir named Lorenzo the Magnificent, who became the true prince of the Renaissance, the lavish and extravagance patron of the art. He sponsored most artists of the day, including Leonardo da Vinci and Michelangelo, an outstanding figure. This made them occupy almost all the strategic places in Italian society for over 300 years, such as statesmen, people in business and popes, dealing in power and intrigues and placing their wealth in the services of arts and sciences. They started one of the most significant cultural and artistic movements in Europe – *The Renaissance*-



Image credit: Bradley Weber, FLORENCE BAPTISTERY

1.2.2.2 The Beginning of Renaissance in Florence

The creation of the gate of San Giovanni Baptistery is considered to have laid the foundation stone for Renaissance in Florence. In 1401 a competition was organized to decide whom to entrust for the construction,

perhaps the first public artistic competition of modern times. The winner was an unknown sculptor named Lorenzo Ghiberti. He worked for over 25 years to complete this masterpiece of Bronze. Astounded by their beauty Michelangelo dubbed the door the gate of paradise.

Ghiberti had to beat a candidate called Filippo Brunelleschi, a sculptor and an accomplished architect, to win the competition. Following his failure, he set off for Rome and discovered the magic of ancient ruins, but on his return, he was the first to reorganize the city. He was credited for establishing Piazza della Santissima Annunziata Square, where he built Ospedale degli Innocenti (the hospital of the innocent), the first Europe orphanage. Brunelleschi's idea of integrating the hospital in the middle of the square was considered highly innovative then.



Piazza Della Santissima Annunziata Square



Image credit: UNICEF, Ospedale Degli Innocenti (The Hospital Of The Innocent)

Many historians thought such a creative idea was more like a revolution; perhaps suddenly, in the middle of the street, you come across a place full of pure light and life. The innovation was new and softer, perhaps significant to him, almost an obsession to stand out from the Romanesque and Gothic styles because this style belonged to the people from the north, so he needed to find something new and unique to Florence. So it was a kind of new synthesis. He argued and put forward the idea that architecture is not just about interiors and walls, it can also be about an open-air space, and that was considered a real revolution in this realm of art and architecture.



Image credit: Public domain, CATHEDRAL OF SAINT MARY OF THE FLOWER



Image Credit: public domain, Filippo Brunelleschi's unfinished DUOMO/DOME

Being the first to imagine a square in the middle of the city, Brunelleschi was also the creator of the Duomo. The Duomo is the dome of Cattedrale di Santa Maria del Fiore, one of the largest cathedrals in the world, which dominates the Florentine skyline. The cathedral's dimension reflects the ambition of the city. Brunelleschi held and won a competition, and Santa Maria took 100 years to build in the open air.

Brunelleschi had to solve the technological conundrum of how to cover a hole 45 meters in diameter. He opted to give the dome a slightly pointed shape for better weight distribution. His theory was based on the load-bearing properties of eggshells, which he refused to yield when confronted and being pressured to put down his approach. The work lasted for 16 years, and the project remained uncompleted at the time of Brunelleschi's death in 1446. The steeple, usually a tall tower on a building, topped by a superstructure and often comprising or integrating a belfry and other related items, was still missing. However, the work was successfully completed thanks to his engineering feat that he left behind the detailed plan. While the baptistery doors and Duomo gave fame to Ghiberti and Brunelleschi, Florence also nurtured the genius of Botticelli, Leonardo Da Vinci and Donatello.

With the commission being handed down by the wealthy family, Craftsmen evolved into fully-fledged artists. The work of Donatello can be found in many of the large construction around his native city. For instance, the statue of the Bell Tower, completed in 1433, was not accorded such prominent positions, but only when Donatello achieved recognition were they taken out of the alcoves located on the façade hidden from the bell tower. Donatello was almost 80 when people realized the importance of his works. Since then, his statues have been moved to the Bell Tower's main façade. This remarkable homage to the master Donatello is the most crucial concrete demonstration of their artists and art in general by the people of Florence.

1.2.3 Sculpture

In the realm of sculpture, artists embraced the ancient form of the nude male form, busts and equestrian statues—the most significant that of Michelangelo's David. Michelangelo's artistic skill was noticed when he was just 15 years old. He was in the service of Lorenzo the Magnificent. There he discovered the sublime Medici collection of ancient statues. His in-depth study of them and his special interest makes him produce this

monumental statue. It is 4.8 meters high. He was 30 years old when he completed the work. He spent three years of his life sculpting a single block of marble. David versus Goliath has long been an emblem of Florence city. Despite its sensuality radiating from the figure, Michelangelo imbued him with considerable strength. Although some historians critiqued the art by looking at David's sculpture in terms of the disproportionate finger in comparison to the rest of the body and argued that the art instead looks like Michelangelo's hand, the hand of the creative genius who symbolize the strength and power of the city of Florence was compared with the rest of the world.



DAVID versus GOLIATH in FLORENCE

Leonardo Da Vinci, a painter, sculptor and architect, perhaps a visionary genius and an inventor who was so far ahead of his time that many of his projects remained unfulfilled. His passion for knowledge also expressed itself in the field of science. Ignoring the admonishment of the church, he dissected a human body to understand how human bodies functioned. He left more than 200 anatomical charts, which were considered highly detailed for the time. He was credited for drawing the first correct curves of the spinal column and ribs, showing the precise position of the pelvis.

1.2.4 Perfume

The Medicis' legacy in Florence undoubtedly affected every artistic field. They allowed even the Renaissance to herald a revolution in

lifestyle too. Perhaps the History of the perfumer was also traced to this period. A Dominican Friar set up the Officina Profumo-Farmaceutica di Santa Maria Novella. The reputation of the products soon spread beyond the convent's perimeter walls, and in 1612 the pharmacy opened its doors to the public under the patronage of the Medici family. It is one of the oldest perfumeries in the world. But today, the fragrances bought here have evolved dramatically from the Medici era.



DEPICTION OF LEONARDO DA VINCI'S MONALISA PAINTING

This perfume also became one medium of communicating with others, perhaps linked to one's own and other personalities. In other words, perfume determines one status and etiquette. Perfuming gloves were quite fashionable during Renaissance. Because the hand is the point of contact when two people meet and greet each other. There was a feverish desire, fascination for the perfume, and perhaps a sensual gesture.

During the 16th century Cosimo I de' Medici, aka Cosimo the first Duke of Florence and Grand Duke of Tuscany, moved into the palazzo to show off his authority and prestige. Cosimo wanted to restructure the interiors and first thought of entrusting the work to Michelangelo or Leonardo Da Vinci, but Giorgio Vasari was ultimately chosen. Since then, the name of Vasari has been inextricably linked to Medici's house and their desire to conquer. Giorgio Vasari is known for creating one of the most astonishing buildings in Florence –the Vasari corridor-that links two palaces, the Palazzo Vecchio located in the middle of the city and the Palazzo Pitti situated on the other side of the river Arno.



PALAZZO VECCHIO



PALAZZO PITTI

Imagine a richly adorned palace that is more than a kilometer long running alongside and then spanning the Arno River, making its way above the alleyways of Florence cutting a sway through a city's palaces. This corridor was commissioned by Cosimo the first in 1565; it starts from the green room of his wife, Elianora di Toledo. In an era when assassinations were not uncommon, he wanted to cross Florence incognito and safely

access the new Medici residence, the Palazzo Pitti. Elianora 1549 bought this palace and garden, built in the previous century, by a Florentine banker. The Boboli garden was such a fantastic beauty of the time. Spanning over a vast space, this vast Italian-style park with hundreds of sculptural art was being implemented. This part also hosted most of the magnificent festivals promoted and celebrated by the Medicis. All the splendours of the cities can be appreciated and viewed from the Boboli Gardens.

1.2.5 Painting

The last supper by Leonardo da Vinci was first shown in 1499. Although he was not the first to paint this, he was unique in his approach. During the Renaissance, art was used as a medium of teaching, calculated in its composition and intentionally. Leonardo da Vinci's subtle symbolism in his various paintings. Some historians argued that Monalisa's painting was Leonardo himself, imagining himself as a woman.



BOBOLI GARDEN

1.2.6 Renaissance Literature

The Renaissance movement is said to cover roughly 300 years in Europe, where architects, poets and philosophers reconnected with the styles and ambitions of ancient Greeks and Roman civilization. However, it might be vague for us to study the History of Western civilization in the era when the idea of Western exceptionalism was successfully challenged. The main objective we undertook is perhaps to unearth specific inspiring and provocative ideas.

As discovered earlier, Lorenzo de Medici of Florence takes over the family business of the Medici bank that has been operational since 1397. One of Europe's most successful financial institutions with flourishing branches in Florence, Venice, Rome and Geneva. As soon as he took over, he expanded the banking branches to Basel Bruges, Avignon, and Pisa and lent significant sums of money to royal courts, aristocrats and entrepreneurs.

It was not only in the realm of art and architecture; the Medici success story lies in the fact that they believed the primary purpose of spending money, in today's parlance philanthropy, is to promote beauty, truth and wisdom in the world. They started collecting books that came to be known as the Medici Library. He expanded his research to gather more scholarly books, scouring Europe's monasteries, courts and libraries for lost or overlooked text from antiquity. He also patronized many philosophers such as Marsilio Ficino, Poliziano and Giovani Pico Della Mirandola. Under his guidance, these philosophers undertook research into Greek Philosophy. They absorbed the ideas of Epicurus, Cicero and Aristotle's ideas and tried to harmonize Plato's theory with Christianity. Under his patronage, artists were asked to illustrate fundamental philosophical truths.

For instance, the importance of kindness and compassion, promoting serenity and glorifying the state's best qualities and good leadership. The authentic sources for the glorification were the imagination and intelligence with which its great bankers and finances set about spending their money. Monastery of Fulda Germany January 1417, An Italian scholar and humanist, Pogio Braciolini, is on tour in Germany and Switzerland looking for lost Greek and Roman manuscripts. He spends a few weeks in a Benedictine Monastery, hunting around the dusty shelves of its excellent library. When in a forgotten nook, he makes one of the most significant discoveries in the Renaissance. He comes across the only surviving manuscript Lucretius, "De Rerum Natura, on the nature of things", which gives us the complete account of the philosophy and worldview of the philosopher Epicurus. Braciollini and his circle are taken aback, if not astonished.

Epicurus has hugely valuable ideas about the human, passion, happiness, religion, communal life and science. Meanwhile, Braciollini also discovers key text by Cicero, Quintillion and Vitruvius. When he couldn't buy the textbook, he was not averse to skullduggery to what he wanted. He also allegedly bribed a monk to abstract a copy of Livy, the historian, from the library of Herzfeld Abbey in GermanyBraciollini's interest was not entirely in tune with the Renaissance spirit. In contrast, the Renaissance

approaches and focus on History and philosophy differed significantly; its intellectual leaders took a relentlessly realistic view of things. They prefer to run their society by keeping abreast with truth, making people intelligent and building magnificent cities.



POGGIO BRACIOLINI



RUINS OF HERSFELD ABBEY, MONASTERY



POLITICAL MAP OF ITALY IN 1494

Check Your Progress

- 1) Describe the role of the Medici family in the rise and growth of new Learning.
- 2) Who was Poggio Braciolini?

1.2.7 Renaissance and Italy

The section intends to explain why Renaissance began in Italy but not in other European countries. What strategic points does Italy

possess in relation to other European countries? Needless to say, Italy has been the centre for a confluence of all ideas brought about by trade and commerce, and the Norman and the Arabs' invasion of Sicily seems to have brought the concepts of Science and geometry that were successfully cultivated. They also relish the Roman civilization, which was expressed both in art and literature. While at the same time, the admiration for Arab Science and Roman art and literature does not mean shedding the Greek inheritance. This is exemplified by the fact that the Greek traders and their descendants living in one of these Italian cities used the Greek language, and throughout the medieval period, the Italians studied Greek languages.

1.2.7.1 Benedictine Monks and Dante

One uniqueness of the Renaissance is that the Benedictine monks acted as a preserver of all antiquity of pieces of knowledge. With the rise of the learning centre's and universities, Aristotle's writing began to be studied in Latin translations. One of the great reverences for classical knowledge was found in the report of the Italian poet Dante Alieghieri-1265-1321.



Image Credit: WHE, Lorenzo Ghiberti, a Renaissance Sculptor from Florence

In Florence's local language, Dante Alighieri's most celebrated work, the Divine Comedy, describes an imaginary visit to the other world. Vergila Roman's poet guides him through Hell and Purgatory until he meets his lady Beatrice, the personification of love and purity, who conducts him through Paradise.

The Divine Comedy gives an artistic verse of an epitome of all that medieval people knew, hoped and felt, perhaps considered a mirror of the Middle Ages. At the same time, it drew much of its inspiration from Graeco-Roman sources. Dante considers Athens the epicentre of all knowledge. While Homer as "loftiest of poets"; and Aristotle, the "master of those who know". Therefore, his reverence for all classical antiquity qualifies him for the title of *prophet of the Renaissance*. His work also propelled the status of a Florentine dialect to a new height and was considered a literary language of Italy.



Courtesy: BBC, Dante Alighieri, a native of Florence

Check your progress

- 1) What was Aristotle called the Prophet of the Renaissance?
- 2) What are the factors responsible for the birth of Renaissance Italy?

1.2.7.2 Francesco Petrarca

Dante was followed by Francesco Petrarca, aka Petrarch-1304-1374, popularly known as the first modern scholar and man of letters. His interest in classical studies is fascinating. He is said to have gone through the works of Vergil, Horace, Livy, and Cicero" several times, perhaps according to his admission thousand times that too not cursorily but studiously and intently, to inculcate with the powers of his mind successfully. He said, "I tasted in the morning and digested at night. I quaffed as a boy to ruminate as an older man. These works have become so familiar to me that they cling not to my memory merely but to the very marrow of my bones". He is often called the Latin revivalist since he also wrote extensively in Latin and travelled widely in several European countries in search of ancient manuscripts.



IMAGE CREDIT: Alpha History, Francesco Petrarca aka Petrarch-1304-1374

2.7.3 Giovanni Boccaccio

Another outstanding figure was Giovanni Boccaccio, 1313-1375, who was credited with translating the Italy manuscripts version of the Iliad and the Odyssey but, most importantly, remembered for his work *Decameron*. It is a collection of one hundred stories written in Italian. They are supposed to be narrated by a merry company of men and women who have retired to a villa in the country during a plague at Florence.

The *Decameron* is the first significant work in Italian prose. As a result of the concerted of all the above scholars in the revival of classical Learning in 1396, a scholar named Chrysoloras, from Constantinople, began to lecture on Greek at the University of Florence. Even after the fall of Constantinople, numerous scholars came to Italy and produced Greek Grammar. This confluence of culture made Italian cities the melting pot of civilization and eventually saw the birth of *Humanism*.

Thus, the Greek and Latin languages and their works of literature were henceforth the humanities, as distinguished from the old scholastic philosophy and theology.



Image credit: WHE, Giovanni Boccaccio, 1313-1375

1.2.7.4 Role of Printing Press

One of the earliest living testimony to the art of papermaking has consistently been credited to the Chinese people, who made paper from some fibrous material and the Arabs are credited to have made paper out of flax and rags and in Europe, the credit goes to the Moors in al-Andalus. Consequently, the Arab conquest of Sicily was said to have brought art to Italy.

However, the first step in the development of printing was using engraved blocks. They were followed by casting the letters in separate pieces of metal, the same in height and thickness. But it was only in 1450 CE that Johann Guttenberg of Mainz in Germany set up the first movable printing press. Followed by the English printer of William Caxton in 1476.

Thus Roman characters, resembling the letters employed for ancient Roman inscriptions, came into use in southern Europe and England, and the invention of Printing was considered one of the most revolutionary achievements in Human history.



Image credit: WHE, Manuel Chrysoloras

Check your Progress

- 1) Describe the role of Giovanni Boccaccio
- 2) Who was Johann Guttenberg?

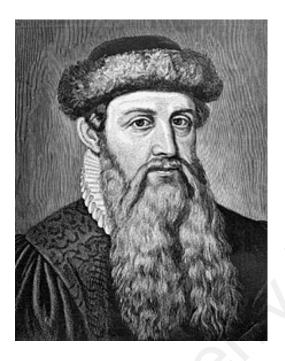


Image credit: WHE, JOHANN GUTTENBERG

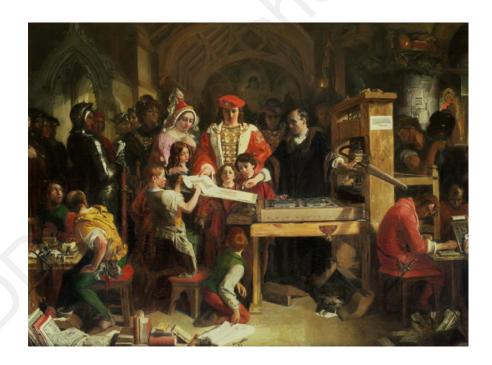


Image credit: Painting by Daniel Maclise, Caxton showing the first specimen of his Printing to King Edward IV and Queen Elizabeth at the Almonry, Westminster

1.2.7.5 Renaissance Art

This section was briefly touched upon while discussing the role of the Medici family and the importance of Florence in Italy during the Renaissance. But some of the issues we have left will be dealt with. For Instance, the Gothic architecture never made a profound impact in Italy. Yet, the genesis of Art revival in Italy can be traced back to the olden days in Greeks Temple and Roman domed buildings such as Long rows of Ionic or Corinthian columns spanned by round arches began to take its roots in Italy, and the adoption of the dome, instead of the vault, for the roofs of churches in St. Peters cupola are one of the finest examples.

These developments in art and architecture gradually influenced other art forms such as Sculptor. One of the most prominent among them is Michelangelo1475-1564; although born in Florence, he lives in Rome. Some fantastic art Michelangelo sculpted are colossal statues of David and Moses. His notable works in paintings consist of the dome of St.Peter. The ceiling of the Sistine Chapel in the Vatican, the Biblical story from the Creation to the Flood. His fresco of the "Last Judgment "thus far is one of the most outstanding paintings in the world.

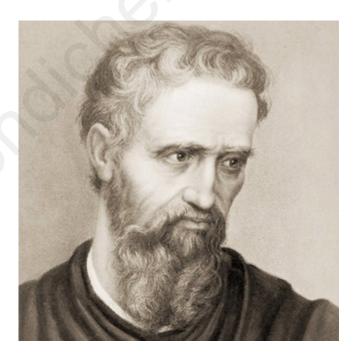


Image Credit: WHE, Michelangelo's self-portrait



Image Credit: WHE, Michelangelo's David



Michelangelo's Moses



Image Credit: WHE, LEONARDO DA VINCI

Leonardo da Vinci (1452-1519) was another outstanding figure who combined himself as an architect, Sculptor, musician, engineer, and painter. His notable works are "Last Supper," a fresco painting of Milan, the ''Mona Lisa."

Then we have Raphael (1483-1520), 'Sistine Madonna, "now at Dresden and the "Transfiguration," in the Vatican Gallery in Rome, some of his outstanding works, although he died before he could not finish the former. The Renaissance painting in Italy reached its zenith during his time.

Check your progress

- 1) Discuss Michelangelo's contribution during the Renaissance period
- 2) Briefly explain the importance of Leonardo da Vinci's paintings.
- 3) Explain the importance of the Printing press during Renaissance.
- 4) Give some vital contributions of Dante Alighieri during Renaissance.



Image Credit: WHE, RAPHAEL'S SISTINE MADONA

1.2.7.6 Renaissance's Musical Instrument

In the realm of Music, we have the three-string Rebec that eventually became the violin after the fourth string was added, and the harpsichord also began to appear, which is said to have been the forerunner of Piano and an instrumentalist and choir-Master named Palestrina (1526-1594A.D).

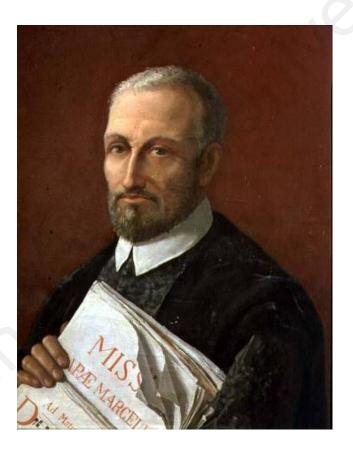


THREE STRINGED REBEC



HARPSICHORD

Who was regarded as the first great composer of melodious hymns. Still sung in churches are all products of the Renaissance.



Palestrina (1526-1594A.D)

1.2.8 Impact of Renaissance

During the middle of the fifteenth century, Scholars from Germany, France, and England, with the impact of the printing press, continued the intellectual movement in their areas. One eminent Humanist during this period was Desiderius Erasmus, born in Rotterdam, who travelled widely in Germany, France, England and Italy and lived in all these places briefly but died in Basel in Switzerland.

He wrote mainly in Greek and is recorded as saying, "When I have money, I will first buy Greek books, then clothes". Such was his dedication to learning the classical language. However, his most outstanding work was published in 1516 AD New Testament in Original Greek with Latin translation and a commitment to the Pope. Until then, the only New Testament was by St. Jerome during the fourth century. This means the Vulgate contains many errors that the Christian community has followed thus far.

Thus the seed of Humanism propelled in Erasmus's translation of the New Testament was destined to have a profound effect in the years to come for our discussion in the following chapter.



Image credit: Alpha History, Portrait of ERASMUS

1.2.9 Let Us Sump Up

Thus in retrospection, we can conclude that Renaissance has resulted in social and cultural awakenings inspired by the Enlightenment philosophers during the age of reason. The Renaissance, which means resurrection, revival or rebirth, was a tremendous European transition from a seemingly barbaric period that transcended dogma and tradition for individual merit, material progress, a much more sound economic system, and self-expression.

The Renaissance represented the first efforts to redeem the world from its state of overall degradation and to spread this spirit of revival and recreation from sphere to sphere of human life.

This cultural awakening movement created a social climate conducive to reform. This was an attempt to revitalize the socio-cultural system by transforming the existing social milieu.

The following points can be further discussed for self-interest as to what extent the Renaissance movement achieved the following points.

- 1) They served as a cultural awakening against the assault on medieval orthodoxy, Tradition and dogma. Or do they represent crucial in the quest and struggle for a new cultural identity and autonomy and subsequent state formation in Europe?
- 2) What was the impact it had on all over the globe?

1.2.10 Self Assessement Question

- 1) What do you understand by the term Renaissance?
- 2) How far did Renaissance usher in the outlook of new Europe and the world?
- 3) Briefly describe Renaissance art and architecture
- 4) Discuss the importance of Renaissance painting
- 5) Discuss some of the Philosopher's ideas for the beginning of new learning
- 6) Does the fall of Constantinople pave the way for new Learning
- 7) Briefly analyse the impact of the Renaissance

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UNIT-II

Lesson 2.1 - Reformation

Structure

- 2.1.1 Objectives
- 2.1.2 Some Important Reformer Priests
- 2.1.3 Condition of the Church on the Eve of the Reformation
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2.1.1 Objectives

The present unit titled reformation-counter reformation-Ignatius Loyola will attempt to achieve the following objectives

- 1) To assess and analyse the connection between Humanism and Protestant Reformation.
- 2) To understand the church's role during medieval and early modern Europe.
- 3) To analyse the fundamental essence of the papal authority
- 4) To understand the role of Christianity during the medieval period and How far does Humanism purge its history?
- 5) To analyse the Impact of Reformation
- 6) To understand the underpinning of Counter-Reformation
- 7) Finally, it will assess the impact of Reformation and Ignatius Loyola's role in the spread of education.

2.1.2 Introduction

The protestant Reformation during the 16th century can be said to have resulted from Renaissance and the Humanism movement in Europe, which apparently shook Europe's very cultural ethos and foundation. This was, in fact, a revolution of religion in Europe, resulting from centuries of simmering discontent regarding Europe's socio and political set-up against the Roman Christian papal autocracy.

Christianity, which grew as a fledging religion in Europe from the First century onwards, institutionalized power centers in Europe by the thirteenth century in the spiritual and temporal space. They have become so powerful that they rivalled a state government. The most glaring example is the Pope, whose authority wielded more power than some monarchs. The rising socio and intellectual movement propelled by Renaissance exacerbated this seemingly rising discontentment.

For Instance, the work of Erasmus had an ever arching impact on the masses that some of the Bible that were in the exclusive domain of the papal authority was now translated into vernacular and some errors were rectified. This philosophy of Humanism has also opened the idea that Man's fate, once determined by the dogmatism of religious philosophy, was now decided by reason and rationalism.

Additionally, some in the Church also began to raise their voice against the corruption in the Church. For Instance, priests like John Wycliffe and Jan Hus began to challenge the Church's teachings, straying away from the Bible's main themes. However, one of the most eminent Priest to bring about this revolution is the



Image Credit: WHE, JOHN WYCLIFFE, 1328 - 1384

2.1.3 Some Important Reformer Priests

JAN HUS 1369-1415

German Priest Martin Luther began questioning the Church in the early 1500s. He believed that some of the practices of the Priest are not less than abusing power and misusing positions, such as the Church giving more importance to the Pope than the Bible, which according to him, was the ultimate spiritual authority. He also criticized the Church's involvement in selling indulgences, i.e., purchasing forgiveness for one's sin by giving money to the Priest. He argued that all these practices are missing in the Bible, so one has to rerun to Bible's teachings. That is, salvation can only be achieved by faith in Christ alone.



Image Credit: Alpha History, JAN HUS



Courtesy: WHE, MARTIN LUTHER 1483-1546

So it was on October 31, 1517, Luther firmly stood on these stated doctrines, which marked the birth of the Reformation. He put up the famous 95 theses, which are essentially arguments against the Church's unfair practices and put up on the door of the Castle Church in Germany, which landed him on trial for rebelling against the church authority by the church officials to defend the so-called 95 theses.

The story behind these emerged when Luther was deputed to Augustinian Monastery in Rome somewhere around 1510 -1511, where he came to sense that the question of spirituality was completely missing among the Priests. Perhaps he was disillusioned that one of the Dominican preachers, Johann Tetzel advocated that sin could only be forgiven by th purchase of a letter of indulgence with approval from the Pope, that perhaps allows one soul to skip the time and purgatory before entering heaven.

However, in January 1521, the Church declared Luther a heretic and served him an ex-communication notice. This was an epochal event because his movement against Papal dogmatism gained momentum while he was excommunicated. He was also blessed by the fact that his campaign came when the printing press was in vogue, unlike his predecessor. Thus, his argument could be copied and soon began to spread like wildfire across Europe.

The movement gradually influenced some of the Priest who was not being able to open up earlier and resulted in splitting Christianity into two significant denominations, i.e. Catholics and Protestants(although they called themselves a reformed church yet since they protested against the dogmatism hence they were known all over the as Protestants). Luther and other priests began translating the Bible into German, English and French, which was earlier the prerogatives of the Nobility. However, one might ask when there are three Reformations in England, Germany and Switzerland. To what extant these reformations shared the same ideology and methods? If so, how do we discuss their approaches to the movement?

Yes, there was a parallel movement in Switzerland around 1522 under the leadership of Huldrych or Ulrich Zwingli (January 1 1484 – October 11 1531), William Farel (1489 – September 13 1565), and John Calvin. Zwingly although they preach the same principle as advocated by Martin Luther, but even more fierce and radical in tone in Zurich. As a result, it took a strong base and was eventually assisted by fellow William Farel mainly to the French-speaking people in parts of Switzerland.



Image Credit: WHE, WILLIAM FAREL (1489 – September 13 1565)

In 1536 Farell met John Calvin, who authored The Institutes of the Christian Religious Doctrine, which essentially was shot in the arms of Farell and co in Switzerland. Now it was not only Martin Luther who could translate the Bible into Vernacular but also Farelland co

in Switzerland. So this message of Calvin spread across Scotland and Transylvania.

Zwingli also disagrees with some of Luther's arguments as well. He abolished Transubstantiation, consubstantiation, Catholic Mass statues and painting on the glass. Zwingly movement also led to the rise and growth of Anabaptism in Zurich, which essentially believed in baptism in adulthood and rejected the Roman Catholic practices of Infant baptism. They also refused to pay taxes and serve in the army. They did not have priests and isolated themselves and the rest of the community.

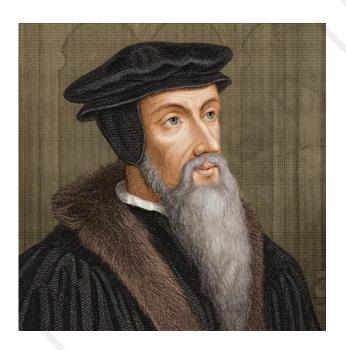


Image Credit:WHE, ZWINGLI

Since they began to differ from Zwingli, they eventually fled Zurich but regrouped in Moravia under the leadership of Jakob Hutter. 1500 – 1536. Who advocated communal ownership of goods, perhaps like primitive communism, were later known as Hutterites. At the same time, another group who went to the Netherlands grouped under the leadership of Melchior Hoffman, a millenarian from 1495 – 1543, who believed that the second coming of Christ would happen in Strasbourg.

While going to Strasbourg, he was arrested there. He was succeeded by Jan Mathys 1500 – 1534, who claimed that the second coming would happen in Munster; this compelled Mathys and his follower to move to Munster in 1534 and attempt to take over the city through an audacious coup popularly known as the Munster rebellion and subsequently expelled bishops and introduced adult baptism there. They also rejected the idea of the trinity and insisted that God alone is divine. However, after facing persecution, they finally fled to Poland and Transylvania.

Shortly after the death of Zwingli, John Calvin carried forward the movement in Switzerland. Born in 1509 in Piketty, although he studied law, he came under the influence of Humanism and the protestants of Paris. Although he considered himself a protestant in 1530, in 1534, Francis I started persecuting the protestants; therefore, in 1535Calvin fled to Basil. Calvin agrees with most Lutherans' principles but shares Zwingley's view on the Lord's supper. He is also stricter on predestinations, claiming God decides who goes to heaven and hell.



John Calvin 1509 1564

As discussed earlier, after meeting William Farel in Strasbourg, Calvin appears more radical by stressing ethics than theology. He is also a civic reformer and believes that the Church is inseparable from the state; therefore, they should work hand in hand to bring total reform in society but strictly based on the Bible. Their principles put the Nobility and the privileged class in a very uncomfortable situation, and they were then asked to leave Geneva. At this juncture, Calvin received an invitation from Strassbourg, a reformer named Martin Bucer (1491 –1551).

Strasbourg was perhaps one of the first cities to adopt Lutheranism. However, in 1541, the citizens of Geneva decided to call back Calvin, but Calvin agreed to their request on the condition that they would not restrict him from doing the necessary reforms. They are Religious education among youngsters. He established four groups of church officials and assigned them a role accordingly pastor to Preach, Doctors to instruct believers in the faith, elders to provide discipline and deacons to administer to the poor. He introduces a consistory, religious code, church tribunal, or governing body.

Although he forbade most of the catholic practices, he allowed usury provided the interested amount was fair. By 1555 his power remained unchallenged. Additionally, when the Protestants refugees from France arrived, the balance tilted towards him. Such was the popularity of Calvinism that permeated even the Low Countries and southern France while, on the other hand, the counter-reformation gear was raised to the highest degree that the road to the conflict could not be averted.



Image Credit: WHE, POPE CLEMENT VII

This movement rapidly gained momentum in England too. So King Henry the Eight started the Reformation for political and religious reasons. Some historians argued that the Monarch was outraged by Pope Clement VII's denial and annulled his first marriage to Catherine of Aragon. So it was in 1534 that the English King declared that from then on, he was the only final authority shredding all papal authority that was once binding on the English Church.

This led to the establishment of the Anglican Church, which marked the beginning of a new reformation movement in England. King Henry also insisted that the Bible should be made. Available to all citizens, perhaps it should be reached every Parish at the earliest. Additionally, the liturgy was prepared in English alongside Scotland to join the reform movement under John Knox, who indeed was inspired by John Calvin. Subsequently, John Knox now established Presbyterianism in Scotland.



Image Credit: Alpha History, KING HENRY VIII

However, until the Peace of Augsburg (1555), which seemingly secured the legal recognition of the Reformation within the Holy Roman Empire, and consequently within European polity, the schism in the

Church was not recognized. This Peace treaty declared and allowed the rulers who adopted the Reformation ethics were now admitted to the comity of nations, and the Pope was legally and practically debarred from excommunicating them in terms of placing them under interdict and from inviting obedient neighbouring potentates to conquer and dispossess their sovereigns.

Thus in the aftermath of this peace, it was no longer possible for the Bishop of Rome, the Holy Roman Empire, to launch a Bull (decree) against a Lutheran prince and expect to have its execution enforced as in earlier days.

While Reformation revolutionized the Christian faith, it had ramifications beyond religion. Before Reformation, for all practical purposes, many Europeans depended on educated upper classes, but now this period marks the beginning of the empowerment of the common masses. This also led to other technological innovations of new ideas, giving many Europeans the freedom to choose what they wanted to do and pursue.

2.1.4 Condition of the Church on the Eve of the Reformation

The most significant aspect of the Church in Europe on the eve of the Reformation was the degeneration of clerical behaviours and morals. Since the fourteenth century, we have heard of several complaints against the Priests. Though they preach the virtue of chastity and purity yet, some of them extensively abuse them; perhaps they do not practice what they preach.

Papal income was gradually augmented—the Church underwent a complete moral degeneration. Many monasteries were the most affected. They have become a centre for corruption. The papacy also reached its lowest ebb towards the end of the 15th and the beginning of the 16th century. We have discussed the role of Jan Huss's attempts at reforms were met with dire consequences.

So, in a nutshell, the year 1500 marked the Catholic Church as very corrupt and worldly, which means religion has become more mechanical and materialistic, where less emphasis was put on penitence and more on the sale of indulgence. There was also resentment against the clergy's

sacramental and juridical powers, exercised during the Mass, church courts and the confessional.

The social background of Medieval Europe can best be described as highly feudal, dominated by the Church and its Clerics. It was essentially governed by dogma, i.e., an unquestionable coherent theological and philosophical. The Church remains the primary arbiter of temporal and spiritual aspects of all life. So we can argue that Reformation was long in the making.

CHECK YOUR PROGRESS

- 1) How far does reformation revolutionize Europe?
- 2) Who was Martin Luther?
- 3) Discuss the role of Calvin.
- 4) Discuss the power and privileges of the papacy
- 5) Discuss the condition of the medieval church on the eve of reformation.
- 6) Compare and contrast the fundamental difference between Luther and Calvin.

2.1.5 Counter-Reformation

The word counter-reformation can best be described as an attempt made by Roman catholic churches to repair the damage in terms of the reputation and ethics caused by the Reformation movement. In short, it was essentially an internal revival movement of Roman Catholicism.

Some of the unique expressions in this revival movement are such as the persistence of solid medieval piety strengthened by a new mysticism and reverence for the tradition of the Church, Christian Humanism, which will essentially remain loyal to Catholicism and a series of institutional reforms, including the administrative changes, doctrinal definitions and coercive measures, which were inspired by the revival of piety.

The attempts to reform began in Spain and, to a certain extent, in Italy. Such kind of expression was first manifested in the Council of Trent. Perhaps many historians would call all the exposition-made and deliberated in the Council of Trent as Counter-Reformation. In this

Council, all the Roman Catholic bishops will come together and pronounce several anathemas running to more than 100, i.e. a formal ecclesiastical curse accompanied by ex-communication.

Some historians grouped the so-called anathema under two headings, i.e. first to respond to the protestant challenges and second to respond to challenges within the catholic churches and address them accordingly. But one must remember that the Council of Trent is not just one time happening but an intermittent meeting that occurred within 18 years, 1545-1563. It was so long that it witnessed the death of two popes, i.e. Paul III and Julius III, primarily because it was held in three phases First phase 1545-47, second phase1551-52 and third Phase1562-63, which will be dealt with later in a more elaborate way when we touch upon the reformation from the Catholic or the Counter-Reformation perspective. To balance the view and nuances of the argument, or in other words, to understand history from both perspectives, i.e. the Reform church and the counter-reform ideas.

The first measure in this Council addressed the challenges facing the catholic doctrines. They insisted that salvation involves both faith and good works. They also hold on to the seven sacraments that need to be followed by all believers, and they still insist that authority in religious matters comes not only from the Bible but also from the collective writings of the Catholic Church.

Additionally, concerning abuses within the catholic churches, they admitted that problems resulted in a series of reforms within the Church.

First, they outlawed simony, the practices of buying church properties or preferment and re-emphasized the idea of celibacy within the priesthood, one of the most contentious points raised by Martin Luther.

They also insisted on proper education for priests to perform their duty efficiently.

Finally, to put forth these reforms, they also decided to take action against heresy.

In fact, in 1542, while they were preparing for the Council to get into action, the papacy was trying to enforce the inquisition already established in the 13th century to stamp out heresy in southern France and Italy. But now, the main targets are the Protestants and the conquered people.

Regarding Transubstantiation, the Council also reaffirmed the idea of "*Transubstantiation*". i.e. the blood and wine of the communion sacrament become the actual body and blood of Jesus. Additionally upheld the principles of the Seven Sacraments, i.e. Baptism, Eucharist, confirmation, Reconciliation, anointing of the Sick, Marriages and Holy Orders. Unlike the Protestants, They defended the selling of indulgence, and the clergy remained celibate and chaste. The Church also began to establish seminaries where the priests were to become more informed by giving them proper training to perform and handle the task accordingly. The Church also started the catholic index, the list of books that Catholics were forbidden to read. This means the counter-Reformation took Catholicism from the point of weakness and expanded its power, at least over the catholic followers.

Check Your Progress

- 1) What do you understand by the term Counter-reformation?
- 2) Briefly explain the steps taken by the pope during the counter reformation
- 3) How far do you agree that the Jesuits were internally a protestant movement?

2.1.6 The Jesuits



Image credit: The catholicsun.org. Portrait of IGNATIUS LOYOLA

Although the contributions of the Jesuits will be discussed in great detail in the later part, since the role of Loyola was considered a harbinger of such measure (counter-reformation within the church) appeared in 1540. Perhaps, the genesis was traced to Ignatius Loyola wrestling with spiritual agonies and physical pain. Unlike Luther, he remained loyal to the Catholic Church; therefore, the Pope declared Ignatius and his follower as a religious order and named their group Society of Jesus, which later on were commonly known as Jesuits.

The work of this society was found in the statement of Pope Julius III's second bull in July 1550. I quote here, "the Company is founded to employ itself entirely in the defense and spread of the holy Catholic faith and to help souls in Christian life and doctrine by preaching, public reading of the scriptures and other means of teaching the word of God, by giving the Spiritual Exercises, teaching Christian doctrine to children and the ignorant, hearing confessions and administering the sacraments. It is also instituted to appease quarrels, help prisoners in jails and the sick in hospitals, and all must be done by the Company gratuitously without expecting any human wages or salary for its labour".

Loyola's methods were straightforward and lucid. He did not choose to attack error in the Church but to proclaim the faith, and he had an unconquerable trust that the sincere ministry of the word and sacraments, the holy discipline of the Church, would save men from error and sin. The most astonishing thing about Ignatius Loyola was that he organized and ran his group like an army that underwent several years of training with a set of strict disciples to be adhered to because the Church's reputation was at its lowest ebb.

Therefore, it was highly essential for Ignatius to keep the faith intact and strong through these measures. They also founded a school where humanistic education thrives alongside religious instruction. Additionally, the *professed* served as a body of intellectually oriented priests who underwent vigorous training for years in the New Learning of the Renaissance methods, which the older orders had most bitterly opposed. Ignatius was very pragmatic in receiving novices, and his readiness to dismiss members from the lower ranks of the society was not only ready but eager to sacrifice, which according to him, where quality matters more than numbers.

This essentially combines the state-of-the-art intellectualism that revitalizes and reaffirms catholic theology, which could also challenge the protestant emphasis on the connection between the Priest and the masses concerning the scriptures. Perhaps among the three phases, the third was directed to one of the most revolutionary approaches, heading towards an educational push.

The Jesuits also advocated that the Catholics could spread education; currently, we have numerous Loyola colleges and universities worldwide. They also perhaps globalized their faith through these educational institutions by combing the doctrine of justification not only by faith but also by combining religion and good works. Let us now study in detail the story of Ignatius Loyola.

He was from the northern part of Spain and was a soldier. In 1521 although he was wounded badly after a battle, perhaps it was the time when Martin Luther made his stands clear against the Roman Catholic churches. During this period, he read two books, Life of Christ and the Lives of the Saints, that changed his life and worldview. This conception shaped his worldview that the world was divided between God and the devil, who stirred momenta in the soul for good or evil, which he might resist or foster, marking the beginning of his Christian faith. Later, this manifested in the opening of his excellent book, "The Spiritual Exercises", after he left for a pilgrimage to Jerusalem and Barcelona from Mansera.

Pierre Lefévre was the first person he got in touch with when he became a roommate of Ignatius at the College of Sainte Barbe, then Francesco Javier later on Francis Xavier. Almost ten of them joined hands and devoted themselves to charity.

He started devoting his time to prayers, and people like Francis Xavier became part of his group in 1534. In 1548 the spiritual exercises as the books encapsulating Ignatius's understanding of prayer would later be called. These trends slowly transformed the Catholic Church into a more acceptable doctrine. They expanded worldwide by combining faith and good works and perhaps became one of the most advanced and radical missionaries.

Loyola's and his group's charity work in Rome started with setting up a Refuge and creating a bread line for the 'downtrodden. In 1539 winter was so severe, compounded by the scarcity of bread, plenty of people who were driven by hunger swarmed into the city, where they slept in the streets. Since there was no action from the Church and the clergy in Rome, the ten poor priests Loyola and his group went out every night to

gather the hungry and sick and provide them food, fire and shelter in the unoccupied dilapidated building.

In order to digest and better understand the two (the reformer and the catholic) sides of the views, let us also discuss some interesting facts about the reformation story here. Some historians called Luther as an external reformer, whereas Ignatius was the true and internal reformer. As such, they wanted to discard the term counter-reformation. Some historians also called Ignatius's paths and methods a Catholic Reformation. At the same time, the Council of Trent was named the corporate side of the catholic reformation and the Jesuits as the personal side of the catholic reformation.

The beginning of the real sense of the church could also be traced to the tremendous Papal schism of 1378-1417. During this period, we have several Pope claiming to be the real head of the church. This period saw the emergence of the concept of Conciliarism, which believes that the Council is above the pope, who can keep order in the church. For instance, "the Council of Constance (1414–18) invoked the doctrine to depose three claimants to the papal throne; it then elected Pope Martin V as the sole legitimate successor to St. Peter, thereby effectively healing the Western (Great) Schism (1378–1417)".

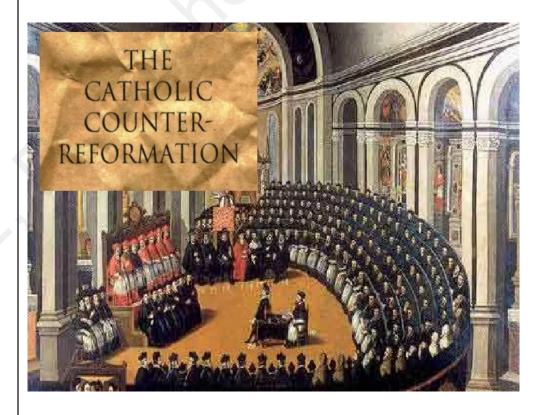


Image credit: WHE, THE COUNCIL OF TRENT

So in the Council of Trent 1545-1563, the first Council of Trent was convened.

2.1.7 First Council 1545-47

1) This Council deals mainly with the issue of Controversy over Protestantism, there was also a deliberation to adopt a conciliatory approach toward Protestantism, but in the end, the Protestant spirits were all rejected. This took place during Charles V, the so-called Holy Roman emperor.

The discussion surrounding three main issues was mainly a decree on the doctrinal about Justification, Vulgate and the role of tradition in catholic theology. The Justification which sparked the first controversy was agreed upon by "faith working in love", which means justification by faith and work.

During medieval periods results of love were synonymous with work of penance for the sake of accruing merit. Further, even in the Augustinian traditions, the object of your love draws you towards it and transforms you to be more like it because Augustine and his followers firmly believe that God is our supreme love. When we are drawn toward him, that relational draw changes us more like him.

2) Concerning the Vulgate, the Council also reaffirmed a fifth-century translation of the Bible in Latin by St.Jerome. They argued that the only official text doctrinally in the context of the Council is, of course, the Vulgate only. They perhaps know that Bible was written in Greek and Hebrew, so they see the complexity of the interpretation and are well aware that in translation, there are all kinds of words, choices and reapplications of verses subtly, so once that is confused.

For instance, when he got the phrase ekklesia in the Tyndale Bible, he did not mean to translate the word to mean the church but congregation. Therefore the Council of Trent holds the vulgate as official but not inspired.

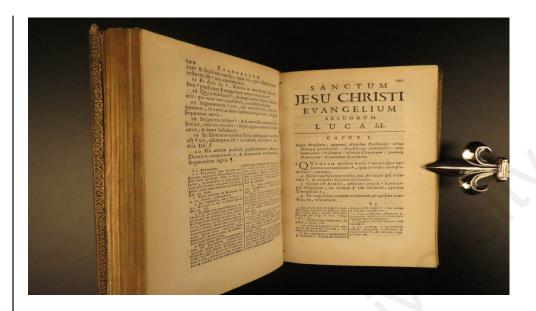


Image credit: Schibantiquarian, COPY OF THE VULGATE BIBLE

3) The third one is related to "Tradition". The basic argument here is that Protestants hold traditions, whereas Catholics firmly hold traditions. When you have a tradition that arises in the church and that is embraced or affirmed at the Council and the papal level, although they did not equate with scripture but believed that they are equal to the belief or grounding on the practice of the Christian life, such as Lent, Doctrines of Mary Or rosary that are very germane to the catholic church, so they believed that these are not scriptural equals but an authoritative interpretation of how we ought to live.

2.1.8 Second Council 1551-52

This Council was the time when there was the Schmalkaldic League and the Peace of Augsburg that dealt with Lutherans and Transubstantiation.

1) Concerning the Schmalkaldic leagues, we have several observers in the second Council, some influential personalities such as Martin Chemnitz, also popularly known as Second Luther. He is famous and known for writing a commentary on the Council of Trent. They are a league of rulers within the Holy Roman Empire who owe their allegiance to the emperor, Charles V., Who was disillusioned with the Catholic Church and had turned to the new doctrines propounded by the reformer Priests Martin Luther and Philip Melanchthon.

Following the Diet, an assembly of Imperial princes, of Worms in 1521, when the emperor tried to persuade Luther to retract his 'unorthodox and differential attitude towards the catholic church, Luther, the former Augustinian monk, instead took refuge with Frederick III, Duke of Saxony.

His teachings spread that suit the prevailing political atmosphere and the Enlightenment philosophy as time passed. In 1529, the Landgrave Philip of Hesse began to influence some of the free cities of the empire and other evangelical rulers to form and bind together in a 'Protestation' as against the traditional church. The term evangelical was an encompassing terminology used by all rulers and priests who wanted to reform their church. Some of the prominent philosophers and intellectuals are Erasmus.

Having rejected the Confession of Augsburg, Charles pursued an aggressive policy to bring what he considered a rogue state back into line. The League was formed in February 1531 as a mutual defence pact between those German rulers who had accepted the Confession of Augsburg as the definition of their faith. The states agreed to a joint defence pact against Charles, promising each other to provide 20,000 infantry and 2,000 cavalry. Members included Johann Friederich, Elector of Saxony, whose wife was Sibylle of Cleves, Philip of Hesse, Anhalt, Pomerania and the Imperial free cities of Frankfurt and Strasbourg.

In 1538 and 1539, Denmark and Brandenburg joined, respectively, and a year later, the Palatinate. The duchy of Jülich-Berg-Cleves did not join: although its duke had rejected papal authority, he remained doctrinally Catholic.

However, during the second Council, the main issue remains regarding the Transubstantiation. Here literally refers to the substance, the form of who I am, i.e. the holy communion; although you cannot see the body and the blood, you cannot see them; they have become a part of the form of the blood and flesh of Christ, or in other words, the essence of the bread and wine become the body and blood physically. So the council rejects the idea that you can affirm physical according to mystery, and to be catholic and to honestly believe in the mass, you have to confirm the doctrine of transubstantiation.

2.1.9 Third Phase of the Council 1562-63

These council's main focuses are as follows

- 1) Purgatory,
- 2) Reforms (relics, indulgences & Bishops) and
- 3) Educational push.

Here the council decided to put its house on its own terms, not heeding any direction the Protestants gave. This means there will be no reconciliation with Protestantism, and howsoever it may be. As stated above regarding Purgatory, the council reaffirmed its stance on the Purgatory. This council also tries to remedy the catholic church problem that has been happening since the middle ages.



Image credit: WHE, Martin Chemnitz

To make a more modern outlook or a systematic fine tuning with the changing world order to galvanise what is meant to be a catholic. Emphasis was given to things such as what can be called relics—such as Relics from the crucifixion of Christ, a thorn crown, and nails. Although there were no regulations on the relics, the third council put regulations on indulgences—regulations such as indulgences that can no longer be sold. How Bishops were to be selected, the protocol to be maintained in the diocese, and be a good shepherds to the pastors.

The main emphasis of this council is a call for setting up schools, institutions, and seminaries to be funded all throughout. The product can be seen today, such as Catholic universities worldwide, such as St.John, Loyola, Gonzaga and many other educational institutions worldwide; perhaps this you can call the incredible impact of the Council of Trent or the Counter-Reformation.

The issue of Tridentine Catholicism also needs emphasis here in our discussion. The word Tridentine in Latin is just the word for the city of Trent, where the council met. This essentially means a Vulagate Latin-Traditional Catholicism. Catholicism that is reformed and reenergized after this council.

2.1.10 LET US SUM UP

The discussion above regarding the reformation and counter-reformation gave us a brief idea of the nature of the following issues.

- 1) The nature of corruption in the church and its role in shaping and moulding the traditions
- 2) It also helps us understand the impact of the Printing Press, which tremendously helps to spread literature and proliferate within a short period all over Europe.
- 3) The period also led to the era of the beginning of exploration and discovery of the new world, which we will discuss in the following module.
- 4) The role of Humanism in how far it shaped and purged the idea of Reason and rationalism over dogma and traditions
- 5) The idea of the rise of capitalism in Europe, when personal ambition and capability were noticed and appreciated over a person's family heritage
- 6) Perhaps the most significant impact of the genesis of German Prosperity could be traced from this period onwards.

2.1.11 Self Assessment Question

1) Discuss briefly the Role of Martin Luther in the Reformation Movement.

- 2) Explain why did Ignatius choose to remain within the catholic church
- 3) Discuss the role of Jesuits in the education sector
- 4) Discuss the role of Calvin and Zwingli's model of Reformation
- 5) Briefly explain the impact of the Reformation
- 6) Discuss the various issues deliberated during the council of Trent 1545-1563
- 7) Discuss the concept of Tridentine Catholicism.

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UNIT-III

Lesson 3.1 - Geographical Discoveries,

Structure

- 3.1.1 Objectives
- 3.1.2 Introduction
- 3.1.3 Chiplog And Navigation
- 3.1.4 Spain And Its Exploration Mission
- 3.1.5 France And Its Exploration Mission
- 3.1.6 England And Its Exploration Mission
- 3.1.7 Portuguese And Its Exploration Mission
- 3.1.8 Spanish Mission To The Indian Ocean Through Western Routes
- 3.1.9 The Dutch And Its Exploration Mission

3.1.1 Objectives

Geographical discoveries, Europe and the wider world" are as follows.

- 1) To discuss and explore, what prompted the Europeans for geographical exploration?
- 2) How far do Columbus, Vasco da Gama, Bartholomew Diaz and John Cabot help us better understand the world around us?
- 3) To discuss the connection between Renaissance, Reformation and Geographical Exploration.
- 4) It will look into the connection between the two units discussed above and the current Unit
- 5) Finally, it will assess the impact of the geographical discoveries on world history and politics and sump up the discussion

3.1.2 Introduction

While addressing the first question in the introduction, what prompted the Europeans to explore geographically? The question remains about the influence of Humanism, Renaissance and Reformation and the subsequent state formation. One cannot shy away from narrating the story of Reconquista, the defeat of the Emirates of al-Andalusia, and

the subsequent marriage of Isabella and Ferdinand, who, in 1492, to commemorate the victory over the Moors in Europe, set out to Columbus for a voyage to satisfy their appetite for more wealth perhaps since their marriage was also blessed by the Pope the desire to spread Catholicism in the newly conquered world.

This means that during the age of exploration, four primary objectives were inherent in the minds of the European, i.e. God, Glory, Gold and spices. Spreading religion was one of the most important motivating factors in the minds of Europeans during this period. Perhaps looking back at the History of Europe during those periods was centre around religion, maybe the most important being the Protestant Reformation, the Kings of Portuguese and the King and Queen of Spain, and Ignatius, whose six followers reached as far as Brazil.

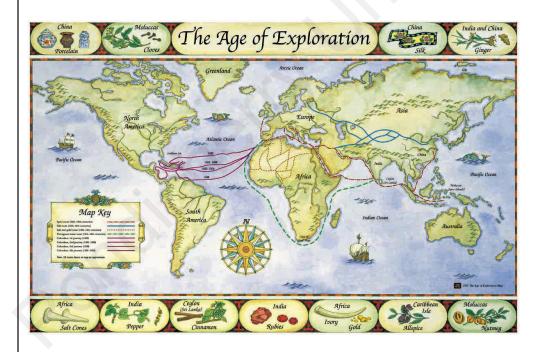


Image Credit: AL FIN

The story of Prester John was also one crucial factor that cannot be ignored. It was placed somewhere in 1145, coincidentally when the Pope called for a Crusade.

Secondly, attaining Glory or glorifying one's nation could have been the other important factor for geographical discoveries and exploration. Renaissance and Humanism might have influenced this Glory that advocated for talent, reason and rationality, encouraging individuals to translate their ambition and talent into Glory and make their name in

the annals of History. So enabled them to set out to explore the world to look for new avenues, new routes, and perhaps look for new opportunities for trade and commerce.

The third vital thing could have been Gold and Silver. The myth created around the fabulous wealth in India, China and South America dared the Europeans to face the threat of death and many hindrances on their way. The progress in Science and mathematician and astronomer Eratosthenes's ideas, which assess the circumference of the earth and the distances to the Moon and sun, have tremendously impacted such adventures because they have developed their ideas of the globe. During those periods and even now, Gold means wealth. Perhaps economic historians would term it as driven by Mercantilism philosophy, i.e. a financial system (Europe in the 18th century) to increase a nation's wealth by government regulation of its commercial interests. So there is a concerted effort on the part of each country to look for signs of wealth for their glory and fame and the countries' economic prosperity.

The fourth important factor could have been the Spice Trade. During the medieval period, the Europeans often heard that there was a spice that came from the Orient if added to the meat, making the taste better. Also, consumption in Cold European countries can keep your body at the required temperature. These spices came to Europe via the Gulf through the Arab, Genoese and Venetian traders. However, in 1453 after the defeat of Constantinople at the hand of the Ottoman Turks, the spice route, compounded by their hostilities with the Genoese and the Venetian traders, was almost inaccessible for the Europeans. Therefore, the desire to trade and possess these spices drove the Dutch and the Portuguese in India and Java-Sumatra region.

The fifth factor could have been the idea of a colony in the overseas territories. Merriam-Webster defines colonialism as "the domination of a foreign state or nation by a foreign state or country: extending and maintaining a nation's political and economic control over another people or area, perhaps first practised by the Romans". Acquiring wealth, fame, and Glory was essential because they produced raw materials for the home countries, and the home countries gave back finished good to the colonies.

For Instance, cotton, sugar and teak are among the finest examples. They all originate from the colony and make their way to Europe, and when they are converted into shirts, furniture, refined sugars and alcohol,

they return journey towards the colonies, and when the colonies buy these products, and their money goes back to the European countries. Hence they have become a backyard for European countries. So it is imperative to understand the motivating factors behind explorations and geographical discoveries.

Portugal was the first to dream of opening new trade routes to the Indies to control the spice trade. The Development of the *caravel* became handy for them, which was more or less state-of-the-art ships to navigate the sea route from West Africa.



Image credit: National Maritime Museum. THE PORTUGUESE CARAVEL

The Portuguese Prince Henry the Navigator generously funded cartography studies and his subjects' voyages. For the Portuguese King, this is one crucial factor in reaching India and China, as the Ottoman has become an obstacle to finding the spice route. These adventures began on Africa's north and western coasts, such as Ceuta, Madeira, Azores, and Angola. They subsequently discovered the island of Cape Verde and moved on to Senegal and Gambia.

While looking for the route to India, Bartholomew Dias rounded the cape of Good Hope in 1488. This was an epochal event for the Europeans as he became the first to enter the Indian Ocean from the southern side. They were also credited with measuring the speed of the ships.



Image credit: WHE, PRINCE HENRY, the Navigator

3.1.3 Chiplog and Navigation

Bartolommeo Crescêncio is also credited with designing the Chip log. A piece of wood attached to a rope with the one we used for flying kites. Knots were tied along regular intervals of the rope. The chip was then thrown into the water as the ship sailed. The number of knots that rolled out on the rope was counted using an hourglass. This number was used to calculate the ships' speed, which is still used today.

To stay on course and keep track of their progress, sailors used Traverse Board. This works basically like a computer. It has a compass rose at the top. Eight pegs holes extended out from each of the 32 compass points. One peg hole represents 30 minutes which were measured by an hourglass. Usually, the task was assigned to someone to track the direction for two hours or eight consecutive peg holes. At the bottom of the board were other holes meant to record the ship's speed every 30 minutes. After

two hours, the watch would change. Everything was reset after the speed was recorded in the logbook.

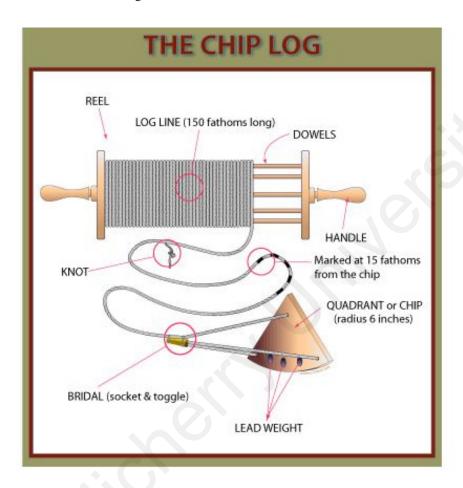


Image Credit: WHE, THE CHIP LOG

To be precise, in 1451, the Europeans had the information that somewhere to the east of the Indies, there was a place where the spices and silk came from. In Europe, spices were controlled by the Genoa and Venice traders. However, in 1453, the Ottoman seized Constantinople and brought down the Byzantine Empire. The Mediterranean and the Gulf somehow came under their control so did the restriction on the movement of the Genoese and Venetian traders.

3.1.4 Spain and its Exploration Mission

In 1484 Christopher Columbus, a Genoese navigator, proposed to the king of Portugal, urging him to fund his travel by sailing west to reach Asia. Columbus believed that Cathay and Cipango (China and Japan) were close by to navigate through the western sea routes. But the King rejected the proposal but immediately proposed to the Queen of Castile in 1486 that too dismissed. Son, it was in 1492 when the Spanish monarchy completed the Reconquista by driving away the Moors from the last bastion of Grenada. Queen Isabella and King Ferdinand now sanctioned Genoese sailor Christopher Columbus to discover the spice routes to India with the promise that he would be made the viceroy of all colonies he discovered and conquered, so it was on August 3 with three ships La Nina, La Pinta and Santa Maria along with 90 men leaves from Palos.



Image credit: Discoverwalk.com, CHRISTOPHER COLUMBUS



Image credit: Public domain, CHRISTOPHER COLUMBUS routes

However, on October 12, they landed in the Bahamas and then sailed onto Cuba and Hispaniola (now Haiti). While looking for the kingdom of Great Khan that he heard from the writings of Marco Polo, there he found an island, quesqueya, which he renamed "La Hispaniola" and built a fort of Nativity. Instead of Gold and Silver, they discovered Tobacco and Maize and took them to Spain along with some natives (Taino). In March 1493, he returned to Spain, leaving 39 men and 7 Tainos and was accorded a

ceremonial reception. This was followed by the treaty of Tordesillas in 1494, according to which the Spanish were to possess the territories west to the meridian of 49 western Hemisphere and the Portuguese the regions to the east. This means Spain now has the territorial domain in the West to find India. In contrast, the Portuguese had the prerogatives of finding India in the east.

During the second voyage, after a few months, this time Columbus had 17 ships and 2000(some account mention 1500) men under his command. This time the mission, as agreed to earlier with the queen and king, was the colony that he discovered would be under his viceroy/governorship. The Spaniards found that the 39 men that he left behind were dead. He occupied the Antilles, Cuba and Haiti and began to convert the natives.

However, the Monarch was displeased with Columbus due to a lack of gold and perhaps no spices to be discovered so far. Christopher Columbus died in 1506 after his fourth round of voyages, Introducing maize, cocoa, tapioca, potatoes and tomatoes, which introduced domestic animals and various diseases that decimated almost the entire native population in this ne found land.

From 1507-08 the word America appeared on the map in honour of another explorer Amerigo Vespucci. Amerigo Vespucci was an Italian merchant, explorer, and navigator from the Republic of Florence, from whose name the term "America" is derived. Between 1497 and 1504, Vespucci participated in at least two voyages of the Age of Discovery, first on behalf of Spain (1499–1500) and then for Portugal.

So from these Spanish colonies, a conqueror, the conquistador, explored almost the entire American continent. To the north, they discovered a land they named Florida. To the south, they went inside the lead and went deep further, finding another sea on the other side in 1517. In 1518 an expedition went along the coast to the north, passed to the peninsula and met the envoys of an Emperor. The governor then decided to send a mission there.

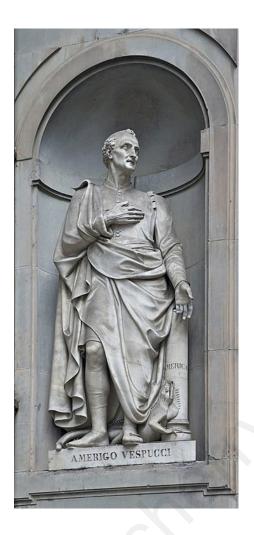


Image Credit: LE Gallierie Degli UFFIZI, Portrait of AMERIGO VESPUCCI

The conquistador Hernan Cortez left Cuba in 1519 for an expedition to the Aztecs. On his way, he recovers a Spanish shipwrecked man who survives 8 Years in Mayan countries and obtains a mistress after confrontations, who will later act as his interpreter. Then he reached Tenochtitlan, the capital of the Aztec empire. These people were impressed by the horses and the firearms they had never seen, so some decided to change sides. Then Cortes is warmly received in the Capital.

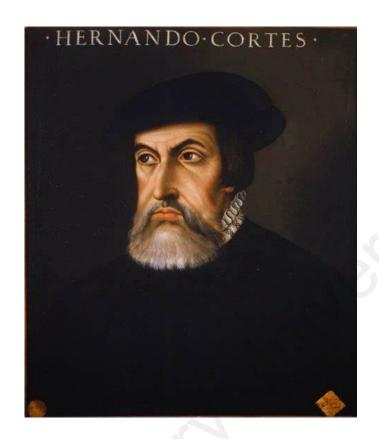


Image credit: WHE, Portrait of HERNAN CORTEZ

However, a war broke out in Tenochtitlan and finally broke out between Cortez and the Emperor and Cortez was chased out of the capital. Then Cortez made an ally with the enemies and rebels of the Aztecs. They then besieged Tenochtitlan and cut off its drinking water supply. But above all, the inhabitants suffer from small pox which decimates the city.

Tenochtitlan collapsed after about 75 days of the siege, and Cortez became new Spain's governor in 1521. Despite all forms of resistance from the native peoples, the Spaniards continue to explore the continent to extend their control in Central America. Spain, who was indebted to German bankers, now authorized them in return to founding a colony in an area where houses are built on stilts, named Little Venice or Venezuela. To the south, the exploration continued to the islands in 1526-7, where certain rumours called the "PIRU" kingdom existed. The navigator Francisco Pizarro then went on to search for it.

In 1531 he learned that an Inca empire was in total war of succession. After several rounds of negotiation through intermediaries in 1532, Emperor Atahualpa organised a meeting in Cajamara.



Image credit: Worldhistory.com, Image portrait of EMPEROR ATAHUALPA

As the discussion went awry, Pizarro arrested the emperor. Nine months later, despite an enormous ransom of Gold and silver received for his release Pizarro executed the emperor and then siege Cusco the capital of the empire, in 1533. Since chaos prevailed in the empire after the execution of the emperor for the control of the wealthy empire, in the North of the Tomebamba, A resistance movement under a general named Ruminahui made a good fight.

However, after struggling to continue the resistance movement, he decided to burn Quito, siege all Inca's wealth, and hide. Even after being captured and tortured, he chose not to reveal where he hid the wealth as if the wealth ever existed. Then Pizarro moved further south in 1535 and founded the capital city called the City of Kings, which would later be called Lima. In 1536 in Cuzco, the Incas rebelled and took the city. Almagro, Pizarro's ally who returns from an unsuccessful conquest to the south, takes the city and proclaims himself the governor of Cuzco. Then a war broke out between Pizarro and Almagro; taking advantage of the prevailing situation, the Incas founded the Kingdom of Vilcabamba, which would continue to put up as an intense resistance centre against the Spanish for another 36 years.



Image credit: HE, Portrait of FRANCISCO PIZARRO by Amable-Paul Coutan, 1835

Further south, in 1538, a group of people called Mapuches also resisted Spanish colonisation.

Now coming towards the North, Spaniards continued exploration and expansion. The Priest Bartholome de Las Casas denounced the cruel treatment imposed on the natives. Thus Spain, in 1542, passed a new law to give back the freedom to the slaves by abolishing the Ecomiendas and reaffirming the equalities of the rights of the natives. However, in Peru, this law is poorly received by the colonists. The viceroy tried to make them apply, and he was revolted against and beheaded. Spain manages to regain control of the colonies and suspend s the conquest of America.



Image Credit: WHE, FRANCISCO PIZARRO Conquest Route

3.1.5 France and its Exploration Mission

The year 1553 saw many European countries willing to compete with the Spanish conquistador, which brought loads of wealth and fame to the mother country. France repudiated the treaty of Tordesillas and thus ventured out for an expedition party to Brazil. Then France Antartique is founded there. But the Portuguese were destroyed in 1560. They then develop colonisation by sending deep inside the land searching for mines and slaves.

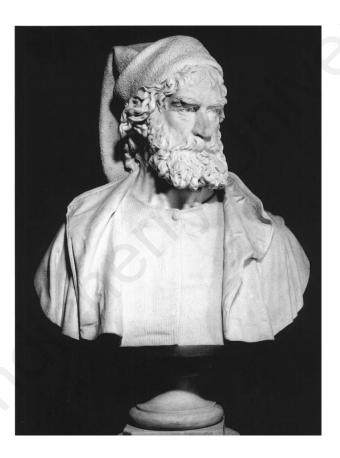


Image Credit: WHE, bust of JOHN CABOT

3.1.6 England and its Exploration Mission

In 1496, England also began to dream of a trade route to India, and an expedition under John CABOT further north was sent and reached the unknown land. Now England, irritated by the monopoly of Spain in the Pacific, secretly funds an expedition team led by Francis Drake.

Notes

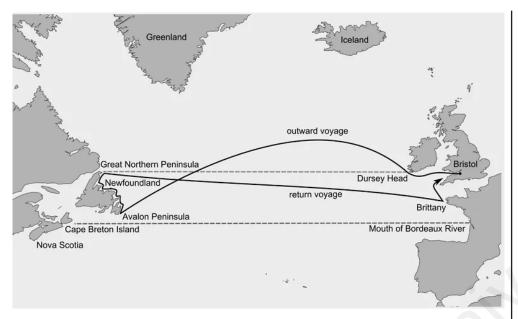


Image credit: WHE, JOHN CABOT's Route to New Found land

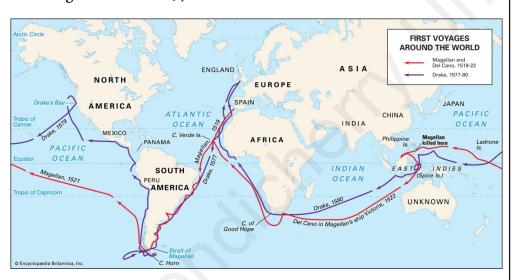


Image credit: EB, MAGELLAN and DEL CANO First voyages around the world



Image Credit: WHE, Portrait of FRANCIS DRAKE



Image Credit: WHE, FRANCIS DRAKE circumnavigation route

Drake manages to plunder Spanish wealth on the Pacific coast. Further North, Drake found NEW ALBION in the name of the English crown before heading west for England. This was when the Spanish monarchy took over Portugal by the Iberian Union of 1580. During this period, England attempted to seize all the Portuguese colonies overseas. England also heard for centuries the city of El Dorado, the cities filled with gold and silver. So this accelerated the competition between England and France and the new arrival and the Dutch. England found James Town its first permanent colony.

As early as 1603, France financed the exploration of ACADIA, Centred in what are now New Brunswick, Nova Scotia, and Prince Edward Island, and set up the first settlement at Port-Royal under Samuel de Champlain, despite severe resistance from the locals. At the same time, the French colony accelerated and founded QUEBEC and New France in 1608; the English attacked Acadia.

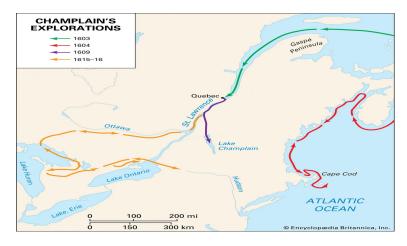


Image credit: Encyclopedia Britannica (EB), CHAMPLAIN EXPLORATION ROUTE

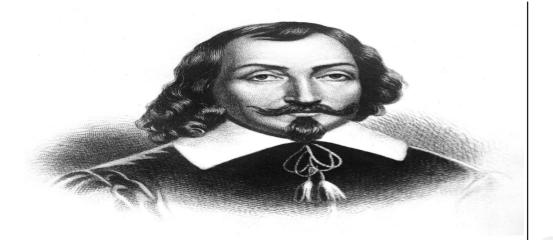


Image Credit: Encyclopedia Britannica (EB), SAMUEL DE CHAMPLAIN

3.1.7 Portuguese and its Exploration Mission

Meanwhile, in July 1497, the Portuguese commissioned four ships to find India following the route which Bartholomew let off and this time by Vasco Da Gama.

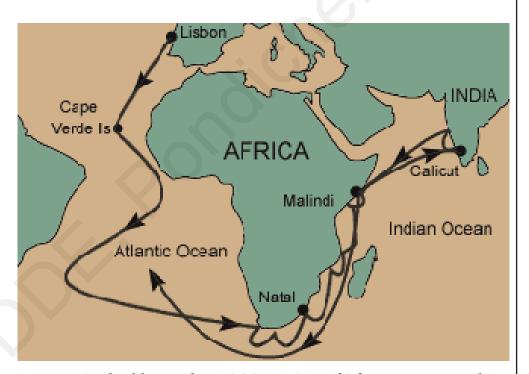


Image Credit: bbc.co.uk, VASCO DA GAMA'S first voyage to India



Image Credit: WHE, VASCO DA GAMA

Once the Portuguese reached Mozambique, they were in contact with several Arab pirates and pilots who took them first o Zanzibar and finally by a Gujarati Pilot to India. When they landed in India, the Europeans were amazed by the wealth they encountered in the Market. The profit earned by the Portuguese in this voyage was 600 per cent when they returned in August 1499, losing ships to the Arabian Pirates who controlled the spice trade in the Indian Ocean region.



IMAGE CREDIT: WHE, PEDRO ALVARES CABRAL ROUTE TO INDIA

In March 1500, Pedro Alvares Cabral led 17 ships to India. While attempting to bypass the equatorial currents, they landed towards the west of the meridian line drawn by the treaty of Tordesillas and reached a place where a variety of exotic plants grows from which a red dye like an ember called "Brassa" in Portuguese was found, which in turn will give the name Brazil.



IMAGE CREDIT: Public domain, PEDRO ALVARES CABRAL, The luckily lost Navigator who discovered Brazil.

So in April 1500, they marked it as the possession of Portugal and then sailed back to India. They established trading posts in Calicut and Kochi. This gave the Portuguese hegemony in the Indian Ocean trade. Meanwhile, after Columbus alleged failures to discover spices, silver and gold, Spain did not relinquish their dream and attempted to discover these in the east through the western route.



Image credit: WHE, Portuguese Colonial Empire in the Age of Exploration



Image Credit: EB, Portrait of FERDINAND MAGELLAN

3.1.8 Spanish Mission to the Indian Ocean Through Western Routes

Ferdinand Magellan was under the service of the Spanish Monarch Charles of Habsburg due to his professionalism in the art of navigation. In August 1519, a flotilla of five ships under Magellan began the spice island through the western route. However, the Portuguese King Dom Manuel panicked at Magellan's activities, fearing losing the monopoly in maritime trade, and ordered the Portuguese to destroy any Spanish ship in the spheres of Portuguese influence.

To evade the Portuguese, they could manage to travel safely in the Atlantic Ocean, but while reaching southern Patagonia, finding no strait to pass through, some of his companions rebelled, but he could prevail over the rebellious comrades with the support of most of the Crew. Finally, finding the strait to cross through the other side of the ocean, now named Magellan Strait. He immediately called the ocean as Pacific and reached the Philippines in March 1521.

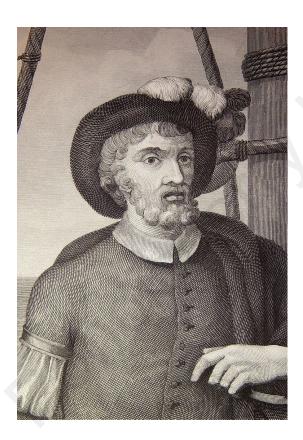


Image Credit: the famous people, Portraits SEBASTIAN DE EL CANO

While trying to strike a chord with the locals, they were duped into a grand dinner, making them feel they were great guests serving them on Chinese porcelain plates, but eventually, the locals killed Magellan, and his comrades could only reach Malacca. After wandering for quite some time trying to evade the Portuguese squadron, the Spanish ship Sebastian del Cano a Basque by nationality, overcame all sorts of trouble from hunger, disease, sea sickness and disillusionment, and most importantly, the Portuguese squadron pursuer finally could now sail for Spain on

September 6, 1522, which marked the beginning of the western route to Asia. Thus, Sebastian de El Cano was the first Spanish to circumnavigate the world, as Magellan was killed in the Philippines in 1521.

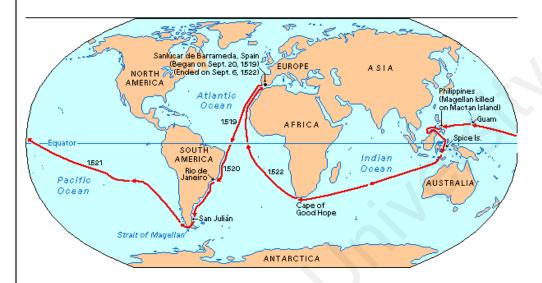


Image credit: Mrs Hamilton, Voyage route of Sebastian de EL Cano

3.1.9 The Dutch and its Exploration Mission

The Dutch also followed the Portuguese and the Spanish after shredding off the Spanish Yoke in the late 16th century. Since then, due to Renaissance and Humanism, there has been a fast pace of trade and technological development compounded with a high rate of urbanization that has begun to possess a large merchant fleet.

On June 5, 1594, the Dutch explorer William Barentsz(1550-1597) decided to find a northern sea route, fearing the Portuguese and Spanish squadron as they still possessed a tiny merchant fleet compared to the duo. Three weeks later, he reached the shore of Novaya Zemlya, an archipelago in northwestern Russia, lying in the Arctic Ocean and separating the Barents and Kara sea. However, he could not push further due to a massive iceberg, so the Dutch explorers were forced to return home.



Image Credit: the famous people, portraits of WILLIAM BARENTZ

In May 1596-1597, Barentsz discovered Spitsbergen, the largest and the only permanently populated island of the Svalbard archipelago in northern Norway. In such a cold winter, it was almost impossible to move further eastward, and some of them passed away in between, and the captain himself died of Scurvy in Novaya Zemlya.

In the early seventeenth century taking advantage of the Portuguese maritime slowly dwindling, the Dutch East India Company(VOC) established several coastal cities in India, Java-Sumatra and Malacca island. As a result of its profits in the spice trade, it began further exploring new land and uncharted waters. The Dutch commissioned Willem Janszoon's (1570-1630) expedition. They explored the west coast of New Guinea and treaded as far as the Cape York Peninsula in Far North Queensland, Australia, thereby becoming the first European to land on Australian soil.

In April 1606, another attempt was to explore the northern sea route to Asia. Henry Hudson (1565 – disappeared June 23 1611) was an energetic and restless traveller. This English navigator began sailing through the Barents Sea and later joined the Dutch East India Company. He now commanded the Dutch exploration to New Foundland and Labrador, the northeast Atlantic coast bordering the modern United States-Manhattan and the Hudson River named after him.



Image credit: public domain, Portraits of WILLIAM JANSOONZ

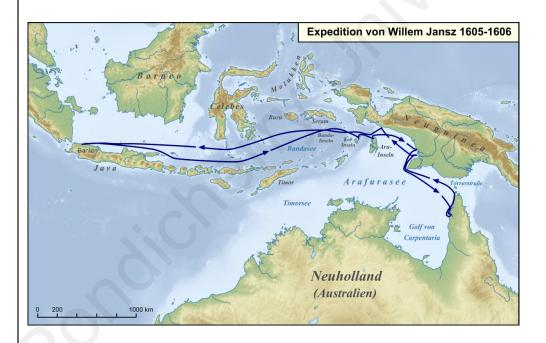


Image credit: National Museum of Australia, Route of Jansoon voyage 1605-06

Check your progress

- 1) Who was William Jansoonz?
- 2) Describe the voyage of Barentsz and his discovery of Spitsbergen.
- 3) Briefly explain some of the reasons for the exploration
- 4) Was there a connection between Renaissance, Reformation and colonial exploration
- 5) Describe Columbus's Voyages briefly

6) Discuss the importance of Pizarro's mission in the Spanish conquest of Latin America.

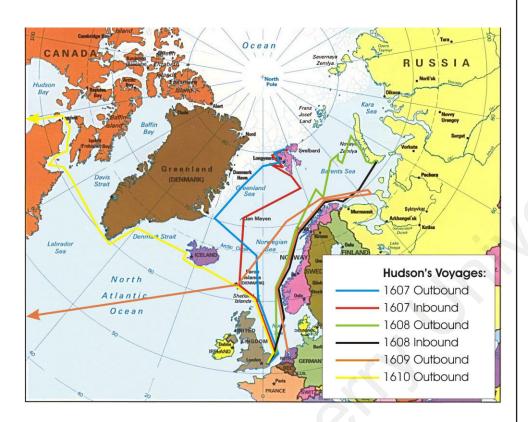


Image Credit: WHE, MAP OF HUDSON ARTIC VOYAGES

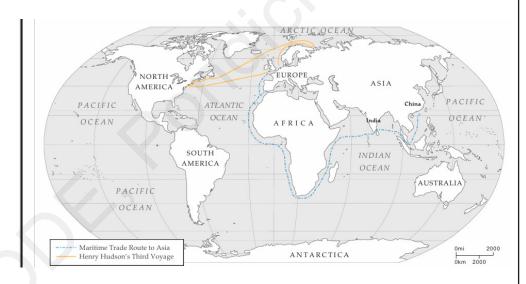


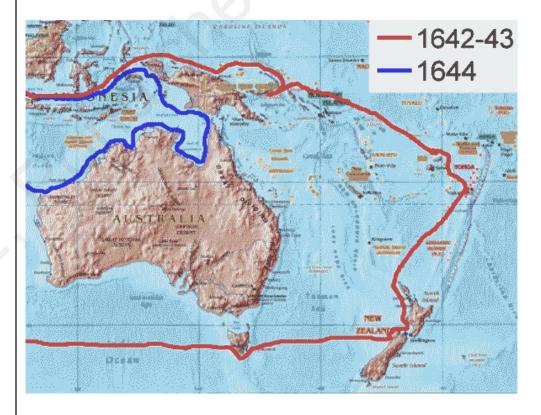
Image Credit: NGC, MARITIME TRADE ROUTE TO ASIA AND HUDSON THIRD VOYAGE

This marked the first establishment of the Dutch in North America. However, in 1610, Hudson joined the English King's service and organized an expedition of northern routes to the east. As they reached

North America, they ended in Rebellion, and Henry himself disembarked in Hudson Bay and disappeared.



Image Credit: New World Encyclopedia (NWE) portraits of ABEL TASMAN and below his voyages from 1642-44



In August 1642, Abel Tasman, a Dutch explorer, set on sail through the southern seas of Australia and discovered an island named Tasmania and explored the western coast of New Zealand, Tonga, Fiji and the northern side of new guinea. In 1644 during the second voyage, Tasman explored the coast of West Guinea, the Gulf of Carpentaria, and the coastline of Northeast Australia. Although he was a successful explorer and discovered quite a bit of a place, his adventures were not much appreciated because he found neither gold, silver, nor spices. But these geographical facts laid the foundation for new and flawless maritime trade routes.

In fact, this period also marked the beginning of the cross-cultural trade, exchanges of commodities and ideas, and animal and plant varieties of fruits that would influence how we look at and study history in the years to come. These discoveries also marked the beginning of colonization and enslavement of the local people for the profit motives of the Europeans, and Eric William, in his *slavery and capitalism*, argued that the slave labour in the tropical colonies propelled England's Industrial Revolution.

This, in turn, exacerbated the disintegration of Feudal relations and the acceleration of capitalism due to the Discovery of a rich repository of Silver in the Andes, Gold in Africa and cotton and spice in India. This age of Discoveries became one of the finest times for Europeans who explored and conquered new colonies that were unknown to them earlier.

3.1.10 European Rivalry In The New World

While the competition continued after the Portuguese regained their independence from the Iberian Union, they retook some parts of Brazil controlled by the Dutch. They (the British) siege Jamaica from the Spaniards to start the sugar cane plantation. They also obtained permission to deal in woods on the coast of New Spain. The growing rivalry between England and the united province of the Dutch turned out to be favourable for England. The treaty of Breda 1667 after the second Anglo-Dutch War, by which the united province ceded the North American territories and recovered Suriname, which the British occupied. New Amsterdam becomes New York.

In the West Indies, mainly the French and the English took over the islands from the Caribs to develop a large sugar plantation economy. This inevitably involved a large workforce, so the labour reserves were located on the coast of Africa and hence the Atlantic slave trade. The life of the slave in plantation firms was so harsh that some historians estimated that they did not live more than seven years. Those ships carrying slaves to the Caribbean then return to Europe heavily laden with gold and silver. This marked the beginning of the Triangular trade. The triangular model became so profitable that the slave trade exploded and involved almost all European countries. Although the Jesuits, Puritans and Methodists opposed these slaves, the profit was so substantial that the planters could convince all the influential politicians and policymakers. So it thrives till 1833 in the British and 1848 in the French colonies.

In the north of the American continent, in 1682, the British found Philadelphia and the French; after an expedition claimed Louisiana, they further expanded along the river to link it with Newfoundland. This expansion was concluded by France's Great Peace of Montreal in 1709 with nearly 30 Iroquois (The Haudenosaunee) native tribes. This agreement was not incensed the British, fearing they would be surrounded again. The British attacked Acadia again and renamed it Nova Scotia. This, along with Rupert's land(Terre de Rupert) and newfound land, were officially annexed at the Treaty of Utrecht in 1713. Slowly and steadily, Britain became a Great Britain maritime power and founded Savannah to stop Spanish expansion from Florida.

In 1735, a Danish captain named Vitus Bering led a mapping expedition on behalf of the Tsar. After exploring the strait now Bering's name in 1741, Bering sight the coast of Alaska and the Aleutian Islands, where he died. The Russians then aggressively moved along this coast and developed the otter-fur trade.



IMAGE CREDIT: National Park Service

The above map explains the Bering land Bridge Theory that the confirmation of a strait between Asia and North America fuelled an

interest in the possibility of a broad plain that might have connected the two continents. Beginning in the early 1800s, American scientists and naturalists started investigating archaeological sites on the east coast of the United States, slowly working their way towards the west coast. The findings of these forbearers to modern archaeology suggested that people hadn't originated in North America but had populated the continent from another place. However, from where and how had yet to be discovered. The two voyages of Bering, the first in 1724 and the second in 1741, confirmed what many people living on the Chukchi Peninsula already knew. Land and even people across the water had been trading and travelling across the Bering Strait for thousands of years.

The Englishman, Captain James Cook, was the second explorer to confirm present-day Alaska. On his 1778 expedition, he produced detailed maps of the Alaskan coast. The results of his exploration helped enlighten the outside world about the Bering Strait region. As news about Bering and Cook's travels reached Russia, Europe, and other parts of the world, theories of human migration between Asia and North America gained strength.



Image Credit: Grunnge.Com, portraits of VITUS BERING

In 1750, tension arose between the French and the British in the Ohio valley to control this region. A war began somewhere around 1755 called the French-Indian War that initially turned advantageous for the French. Then Great Britain decided the deport en-mass the French-speaking Acadian from Nova Scotia. The Seven Years' War occurred in Europe at this critical juncture. Great Britain now imposes a Naval blockade against French colonies, which without any reinforcement from France,

ultimately falls into the hands of the British. In 1763 in a peace treaty, France gave up most of its American colonies. Spain receives the western part of Louisiana in exchange for Florida. Although Great Britain became the maritime trade champion, it cost a lot during this war. To refurbish its coffers resorted to imposing new taxes.

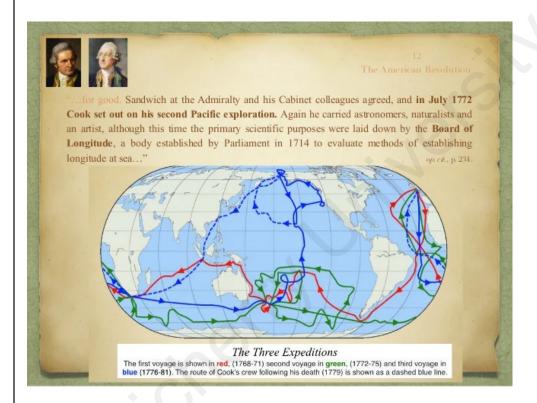


Image credit: JIM POWER, Routes of James Cook's Three Voyages

Check your progress

- 1) Please explains the Bering land bridge theory
- 2) Describe the three Voyages of James cook
- 3) Draw an outline map of the conquest routes of Francisco Pizarro

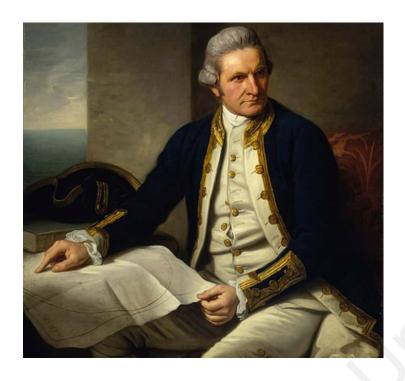


Image credit: beyondsciencetv.com, portraits of JAMES COOK

Lesson 3.2 - Europe and the Wider World

One of the most striking features since the birth of the Renaissance has been the remarkable dominance tested by Europe to the rest of the world. However, perusing the above discussion reveals that it was neither planned nor accepted by others without any resistance. The foundation for European domination was laid in the fifteenth century and began to bear fruit in the sixteenth and seventeenth centuries. The pertinent question that needs to be addressed here is the social and technical abilities that allowed Europe to venture out and explore.

Up until the 13th century, sailors in Europe used celestial navigation. The practice of measuring the distance between the horizons of particular stars such as the sun, the moon and most importantly, the North Star. The simplest way to clarify the ship's direction was to closely watch the sun's movement across the sky. Sailors used to determine the sun's position as it moved from east to West, as it was believed earlier, to navigate their route. At noon they determine north and south by the shadows the sun cast. They would then calculate this distance, giving them their latitude and keeping them on track. At night they would use the North Star as a guide. They could determine their latitude by measuring the angle between the star and the horizon.

The first instances of the diversification of such instruments were seen somewhere in 1500. Devices such as cross-staff or Jacob Staff or simply surveying were used, followed by quadrant.



Image Credit: NAUTICAL CROSS-STAFF dated 1776, on display at Musée National de la Marine, Paris

3.2.1 Nautical Cross-Staff

The sailor would then hold close to their eyes the longer side of the cross-staff peeping through the cross-staff at the sun above it and the horizon below. They would then move the shorter part of the cross-staff to the other side until the sun and the horizon were lined up. Then they read the angle from the scale on the staff and converted it to latitude. However, the cross-staff required the Navigator to look at the sun directly, which was often impossible but could be used when the ship was moving and was handy and reasonably inexpensive.



Image credit: Public domain, Jacob's staff used in navigation to obtain the altitude of the sun, which gives the latitude (17th century)

Now let us discuss some of the explorers' navigation tools, including the Compass, astrolabe, quadrants and caravel. The reason is

that knowing which direction you are walking is crucial information to reach where you are supposed to travel or go. This is especially true when travelling in uncharted territory because using a proper tool that gives you accurate information will help you find your way and lead you back for a safe return. Otherwise, nothing is more fearful than getting hopelessly lost in the wood or uncharted territory where you have no idea how to return home. So in such a situation, people started using the following instruments. However, the first compass was to point figuratively but not accurately.



Image Credit: National Maglab, EARLY CHINESE ORIGINAL COMPASS dated 400 BC

3.2.2. Compass

The original Compass was said to have been invented in China as early as 300 B.C. It differs from the modern Compass in both appearance and purpose, perhaps originally used as a spiritual device to determine auspicious locations for building a house or placing a gravestone. However, as time passed, the Chinese used it as a tool for navigation.

Thus the magnetic Compass was introduced to Europe somewhere around 1100 AD as a result of trade and commerce between China and Europe. This was successfully employed by Europeans not only in trade but also explorations. Earlier, the explorers usually depended on the sun

and the star to determine the direction, so there was a problem when the sun and star were not visible. Here the Compass becomes handy. They were made with a magnetic pointer indicating the directions of the earth's magnetic north. Or in other words, "A compass is an instrument containing a freely suspended magnetic element which displays the direction of the horizontal component of the Earth's magnetic field at the point of observation".



Image credit: marine gyaan. MODERN MAGNETIC COMPASS

However, as the magnetic and geographical north was slightly different, Navigator learned to adjust accordingly. Now trade routes from Europe, Africa and Asia were mapped out by Cartographers. We may be reminded that the Columbus team also comprises men like Juan De La Cosa, a professional cartographer credited with compiling some of the earliest maps of Europe, Africa and Eurasia. He was the one who created in the early sixteenth century the earliest world map known as the European map of North and South America, Europe, Asia and Africa.

In ancient Greece, another tool called the astrolabe was developed, which means to take a star or star taker because it was originally used to look at the star and calculate times. But it was later on used for navigation. This magnetic Compass was essential for ship to document their speed and directions. In modern parlance, we can say that these tools act as the ship's computers or black boxes because it was essential for sailors in uncharted waters. An astrolabe can be described as a bronzed round disc with four holes.



Image Credit: Public Domain, Portraits of JUAN DE LA COSA, The cartographer who accompany Christopher Columbus

Check your progress

- 1) Draw a picture of the cross-staff
- 2) Name the Cartographer who accompany Christopher Columbus
- 3) What is the fundamental difference between the early Chinese and Mariner compass?



Image credit: BBC Sky at Night magazine. A BRASS ASTROLABE

3.2.3 Astrolabe

An astrolabe is an astronomical tool that assists sailors in calculating their latitudes. It measures the altitude of the sun and the star as they relate to the horizon, allowing calculations to be made. Astrolabe is a circle made of wood or brass and requires many circular plates to find specific

locations, so it's limited to only locations with a plate made. It measures the distance between the star and the horizon, which determines one's line of latitude; by calculating their line of latitude, the explorers knew which directions to go. It was also extensively used in the Arabs world before this technology reached Europe in 1100 AD. Most straightforwardly, an astrolabe performs the following functions.

- 1) To tell the time throughout the day and night
- 2) To predict when the Sun will rise and set
- 3) To measure the altitude of an astronomical object in the sky

The Muslim prayer made them face towards Mecca, so it helped them to determine which direction was Mecca from their prayer locations. So it was largely believed that Muslim Spain introduced the astrolabe to other European countries as a navigation tool. Columbus Magellan, also almost all the Navigators, used an astrolabe.

3.2.4 Ephemeris Table

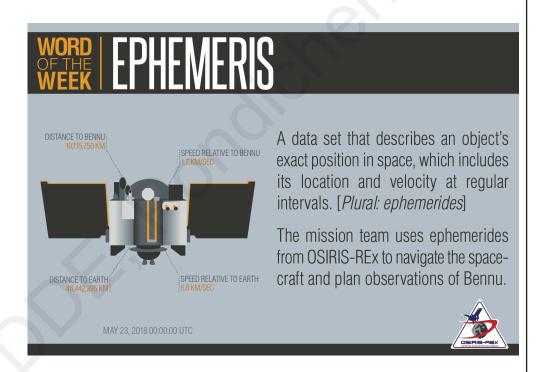


Image credit: asteroid mission of the week

However, it was the Portuguese who used the astrolabe along with a chart table called the Ephemeris. The ephemeris work like a calendar telling certain kinds of stars appears at specific times. This table

Notes

revolutionized navigation techniques because when navigators knew the celestial body could appear at specific times or its position at particular times, they could work backwards to determine their locations, which is more or less like a nautical almanac.

The earliest uses of the Ephemeris were traced to India and introduced through central Asia and Arabia. However, relying on the celestial bodies for navigation had its shortcomings and limitations in times of stormy weather, cyclonic storm, and other natural calamities; here, sailors needed more sophisticated or weathered-proof technology for determining their latitude, and so did Magnetic Compass.

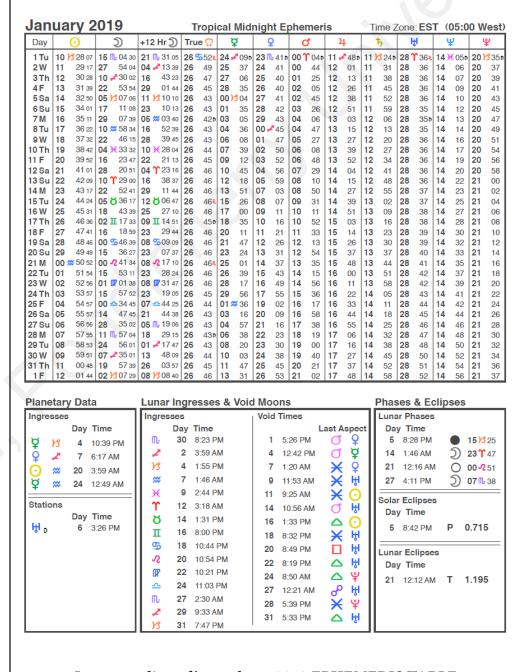


Image credit: café astrology, 2019 EPHEMERIS TABLE

3.2.5 Quadrant



Image Credit: Giordano Ziletti, PTOLEMY USING A QUADRANT

In 1600, the astrolabe was replaced by a more accurate instrument called a quadrant. Edmund Gunter, in 1623 was instrumental in writing on how the cross-staff works and finally invented the quadrant. Sometimes quadrant is also called Gunter's quadrant. The quadrant is made of wood, one-quarter of a circle with degrees marked along its outside edge with a scale of 0-90 degrees. A weighted rope hung down from the tip of a quadrant. The Navigator looked at the sun or star through a small hole in the middle of the quadrant and then checked the degree marked by the weighted rope. It was also used to find latitude by measuring a star's altitude from the horizon. It was handier because it did not require multiple parts to find locations.

3.2.6 Sand Timer

Time-keeping devices known as sand glass or sand timers were also employed by the mariners to keep time or to measure the passage of time. They help maintain proper time for the Crew after spending weeks and weeks on the sea but also help calculate the ships' speed. However, it was eventually replaced by mechanical clocks.



Image Credit: Gold antique, AN HOUR SAND TIMER

3.2.7 Sextant

The sextant was also an essential tool in this aspect. This helped look at the latitude even when the ship moved up and down in the choppy waters.

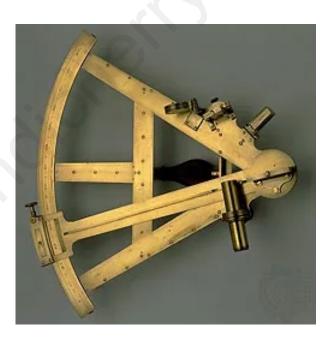


Image credit: Britanica.com, SEXTANT

3.2.8 Changes in Ship Design

The changes in ship design were another factor that helped the fast pace of exploration and colonization. The caravel (a 16th-century small vessel with broad bows, high, narrow poop, four masts, and lateen sails; Columbus commanded three caravels on his great voyage) was a

ship many explorers relied on initially. Prince Henry the Navigator first used the caravel for long-distance trade as early as 1300. But none could determine who first created it, and here was the caravel built, although some historians attributed it to the Portuguese. The caravel used lateen sail (A triangular fore-and-aft sail used especially in the Mediterranean) designed to endure winds. It was believed that the lateen sail was adapted from Arabic technology. The ship's rounded bottom also made sailing in narrow water passages easier and faster.

The second technology in shipbuilding was Galleon, a multi-deck ship suitable for commercial purposes during peacetime and a floating fortress during the war. It was developed during the sixteenth century as Ribbons and bracing of the hulls were made to withstand attacks from enemy ships.

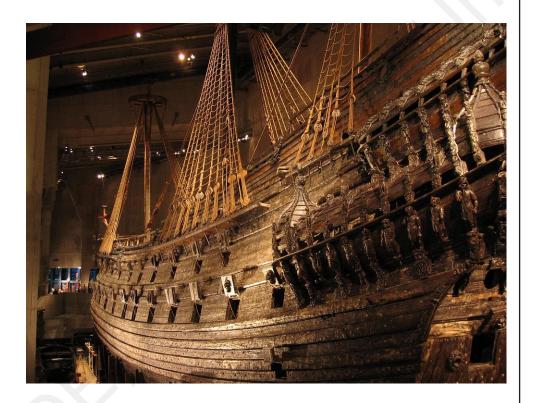


IMAGE CREDIT: WHE, A picture of VASA GALLEON

Thus the above four tools were instrumental in furthering the ambitions of the Europeans in the post-Renaissance and Reformation eras. But the fact remains that without long-distance and cross-cultural exchanges, it would be impossible for the Europeans to make that fast and rapid stride in exploration and Discovery. Perhaps you may also ask what about Marco Polo's exploration of China.

Check your progress

- 1) Describe the importance of an astrolabe
- 2) What is a sextant?
- 3) Brief explain the functions of the sand hour
- 4) What are the factors that led to changes in ship design?
- 5) Explain the basics difference between an astrolabe and a quadrant
- 6) Define Ephemeris

3.2.9 Colonialism and Imperialism

Having discussed the tool for the exploration that gave them an advantage over the rest of the world, let us look at how they implemented these tools to further their exploration, hence the beginning of colonialism. This brings us to one of the most exciting books by Jared Diamond that argue for the theory of geographic determinism, the idea that the differences between societies and societal development arise primarily from geographical causes, which he primarily developed from a Question to YALI, a New Guinean popular leader. This might be applicable in explaining how Europe, such a small continent embroiled in war, disease and violence, could move quickly and control almost all over the world and dominate until the Second World War.

In the process, they shaped it and made us believe that it was their exceptionalism and superiority and one of the ballads of the East and West by Rudyard Kipling- East is East, West is West, and never the twain shall meet. Now it is pretty easy to assume that as a result of technological invention and colonial exploration, they were able to control the world.

However, we could instead put it this way the timing of technology, Renaissance reformation, and Humanism occurred in Europe in that very century. The formation of the Company, perhaps resulting from the mercantilism spirit, was also one important factor. The Companhia do commércio da Índia or Companhia da Índia Oriental or The Portuguese East India Company, the English East.India Company (EIC). Compagnie française pour le commerce des Indes orientates OR The French East India Company and The French West India Company for the Caribbean island, Verenigde Oostindische Compagnie (VOC) or the Dutch East India Company and Royal African Company.

These companies marked the beginning of a Private Empire that laid the foundation of the European empire. They were fast and transparent; therefore, people trusted and invested in these companies, perhaps protected by the government of the respective countries, which gave them a respectable amount of dividends. In 1606 in North America, the Virginia Company engaged especially in the Tobacco trade and the Plymouth Company. Additionally, they were interested in settling into this new world and setting up profitable business centres.

In India, Several battles were fought between these companies, most notably between the French and the British. However, with a small pocket by the Portuguese and the French in India, the British (EIC) became the paramount ruler after the Battle of Plessey in 1757 and Buxar in 1764 with the local rulers after successfully employing the tactics of divide and rule. These private companies that laid the foundation for an empire actually accelerated imperialism's forces. Their modus operandi was the first to set up a railway in the sub-continent under the pretext of development for profit motives.

The railway transported and collected all the raw materials in the sub-continent, swiftly transporting them overseas, and the finished product was brought back for local consumption. This led to de-industrialization in India, and some of the Nationalist and economic historians, Dadabhai Naoroji, in his Poverty and un-British rule, lamented vehemently, followed by the introduction of the Telegraph to relay messages faster and swifter. Additionally, the anthropologist started surveying the colonies, measuring the skull, and naming peoples, tribes and ethnicity to explain that Races in Europe are different from the rest of the world. In contrast, not everyone is born to rule; some need to be civilized and educated, like the Europeans.

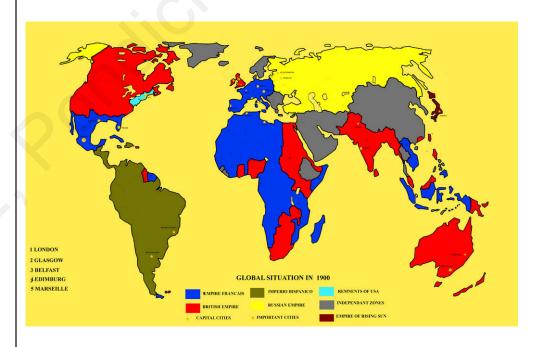
3.2.10 Let Us Sum Up

Thus a retrospective analysis of the aforementioned issues about colonial explorations, we have conclusively proved that the ugliest form of imperialism was practised in all the countries that the Europeans explored. In fact, the worst of its kind was practised in Africa in the form of a system called the Atlantic slave trade, kidnapping millions of lives across the oceans and making them work in the scorching heat after a long and torturous journey of the so-called Middle Passage. Although one might argue that Islamic Africa had already engaged in human trade with

the kingdom of Songhai, Senegambia and Bokongo, the Portuguese began the practice on a large scale mainly for profit motives.

Scramble for Africa was based on the idea that Africa's immense and arid sands intersected with a complete collection of the most ferocious beasts and uncivilized men. One of the earliest European monarchs to throw his head on in Africa is King Leopold II of Belgium. In 1875, he started exploring the natural beauties and minerals of the continent and decided to put his mark wherever the earlier colonial master had not trodden. Then he sent his explorers to the central parts of Africa in 1880 along with Quinine to protect them from Malaria. This alerted other colonial powers in Europe, such as French, British and Germany too.

So all European powers vying for territories in Africa gathered in 1884 in Berlin, perhaps without the knowledge of any monarch or kings of Africa. The demarcation was over the French in West Africa, today popularly known as Francophone Africa, British in Sudan and southern Africa, Italy at the horn of Africa, Germany some parts of east and sections of south-west whereas Belgium large part of Congo. Then the Dutch in Java-Sumatra region, The French Indo china, the British in the Pacific and Australia Hongkong, Singapore. The Portuguese Goa, Canton and Lusophone Africa etc. Thus the colonization was completed by 1915.



EUROPEAN COLONIAL EMPIRE RED (BRITISH) GREY(SPAIN)
BLUE (FRANCE) BY 1900

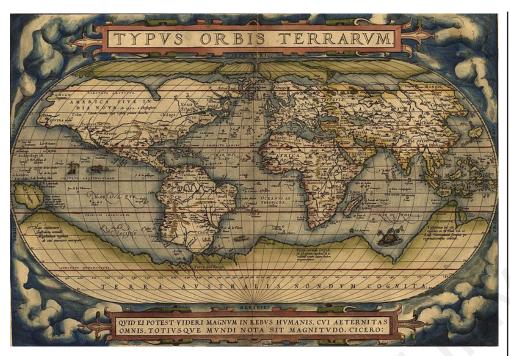


Image credit: Abraham Ortelius - The Library of Congress,
ORTELIUS'S 1570 WORLD MAP, THE WORLD'S FIRST MODERN
ATLAS

3.2.11 Self Assessment Question

- 1) Describe some influential personalities associated with geographical exploration.
- 2) Describe the role of Spanish conquistadors in Latin American colonisation
- 3) Why was Cabral called the navigator, who luckily got lost?
- 4) Discuss the role of Spices and Portuguese in discovering the maritime route to India.
- 5) Briefly discuss the circumnavigation by Del Cano

3.2.12 References

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UNIT-IV

Lesson 4.1 - Rise of Nation States Structure

- 4.1.1 Objectives
- 4.1.2 Introduction
- 4.1.3 Emergence of the State in Europe
- 4.1.4 Nature of State Post-Reformation Europe
 - 4.1.4.1 England
 - 4.1.4.2 Low Countries
- 4.1.5 State Formation During 1500-1648
 - 4.1.5.1 Treaty of Westphalia and the Birth of the Modern Nation-State
 - 4.1.5.2 Luther and his Idea of State
- 4.1.6 Enlightened Despotism
- 4.1.7 Glorious Revolution of 1688
- 4.1.8 Industrial Revolution
- 4.1.9 Alexander Graham Bell
- 4.1.10 Flying Shuttle
- 4.1.11 Water Frame
- 4.1.12 Steam Engine
- 4.1.13 Impact of Steam Engine
- 4.1.14 Industrial Revolution and Factory System
- 4.1.15 Transition from Domestic to Factory
- 4.1.16 Let Us Sum Up
- 4.1.17 Self Assessment Question
- 4.1.18 References

4.1.1 Objectives

Rise of the Nation States, Enlightened Despotism, Revolution 1688, Industrial Revolution and Factory System, the objectives are as follows

1) To make aware the learners of the concept of a nation-state in Europe

- 2) To discuss the rise and growth of Enlightenment ideas and their impact on Europe and the world
- 3) To understand why did Industrial revolution first occur in Western Europe?
- 4) To understand the impact of the Industrial Revolution on human beings and the world
- 5) To uncover the reason for the change in Industry and factory system and its impact on human life
- 6) To discuss the genesis and nature of the Glorious Revolution
- 7) Finally, it will also discuss and analyse the connection between all these factors

4.1.2 Introduction

The term nation-state and nationalism is very difficult to separate. It is like whether the Egg or the chicken that comes first. But we can say that without Nationalism, the formation of a Nation-state would be complex. This means nationalism was a global phenomenon that influenced the entire world and the subsequent birth of nation-states or in a Republican form after the European colonisation. However, the topic here is the birth of the Nation-state in Europe. Our discussion will be confined to Europe.

In the simplest form defining a nation-state would involve a centralised authority controlling and exercising a distinctive territory. This would also involve a certain amount of linguistic and cultural homogeneity as the second component, or that can be called the Nation part. Therefore the concept of nation-states consists of the combination of the duo.

In other words, the state is the one that can be seen on the map where we have adequately demarcated lines over which the state's central power, the sovereign, has control. In theory, the sovereign has control over activities in the state and on the international stage are equal to other sovereigns. Equal abroad with other nations and a master at home.



Image credit: Britannica, Portraits of JEAN BODIN

In the 16th Century, Jean Bodin was the first to lay out the characteristic of sovereignty in his six monographs of the Commonwealth. Bodin's attention to the problem of securing order and authority was conditioned by the bitter experiences of civil war and anarchy in France. The government consists of the power to command. This power is exercised subject to the principles of divine and natural law.

Since then, many thinkers have tried to figure out where the source of sovereignty lies. Almost all believed that the sovereign used by the king was thought to have come from God's "Divine theory of King". This led the thinker to how the king derives his power from God in the laws of nature or social contracts. All this confusion was cleared off by the end of the 18th century, which argued that "the source of sovereignty lay not in the above but in many men and later women below", meaning the source of sovereignty is the people.

So this period was marked by the synonymy of people and nations. The state, which finds its justification for its sovereign power, the monopoly for all affairs within its borders, is happening with the consent of the people/nation. Hence Nation-state. This leads us to another level of argument that,

1) When nations and peoples are taken synonymous, how do you define a people?

- 2) What makes people a people, how do people or groups can share language, race or religion?
- 3) A more encompassing than all the above question is when John Stuart Mill, the great thinker of the time, posed the question, "Is identity of political antecedents, the possession of national history, and consequent community of recollections, collective pride and humiliation, pleasure and regrets, connected with the same incidents in the past"?

So to answer all these questions, he argues that "a historical narrative of a collective memory binds people together more than any other common trait". Although there are several theories related to the concept of the Nation-state, the above simple definition can best explain the concept of the nation-state that satisfy all intellectual and philosophers.

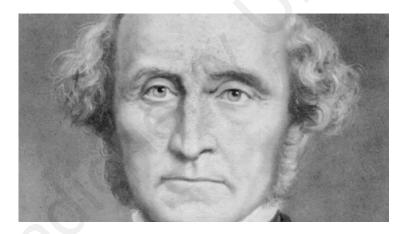


Image Credit: Britannica, JOHN STUART MILL

Secondly, the argument is that when we are confined to the territorially bounded groups with a common heritage. The same Ethnolinguistic people within the same territory. This gives us a question of how these people can become a nation. Some historians argued, "It is an organic process involving culturally similar people wanting to formalise their connections". While at the same time, others argue that governments construct Nationalism by imbibing a sense of patriotism through compulsory military training and indoctrination with ideologically loaded statues of national heroes. Then all these are enforced through a systematic design of syllabus for public education that built a strong narrative for the birth of a nation-state.

However, others held a slightly moderate view and believed that nationalism was an outgrowth of urbanisation and industrialization, which means the actual business of building nationalism, is creating bureaucracies and a new education system, building a large military for national security and defence purposes to fight other nation-states since nation-states are often constructed on the idea of otherness. For instance, the Napoleonic war which helps become the French more of a refined and stronger nation.

However, the other contrasting factor for why a nation-state can also destabilise the multi-ethnic empire was the fall of the Ottoman Empire. That began to crumble apart in the nineteenth century. First, the Greeks, followed by Serbs, Romanian, and Bulgarian, perhaps predominantly Christians by faith, started clamouring for Independence. Egypt, for instance, is also a living testimony to serving nationalism to create both states and to weaken an empire. Muhammad Ali, an Albanian, spoke Turkish but not Egyptian or Arabic, and his ruling family encouraged the Egyptians to imagine themselves as a separate national identity.

So, in a nutshell, a nation-state is one we use to define on the map which territories form this nation and that nation, where sovereignty lies with the people having a centralized authority that exercises control over its demarcated territory and is protected by a strong standing army.

4.1.3 Emergence of the State In Europe



Image Credit: Britannica, PORTRAIT OF CHARLEMAGNE by Albrecht Dürer

Although the emperor Charlemagne story falls outside the purview of the syllabus, it is imperative to discuss the genesis and nature of the Nation state's birth. The name, time and period might overlap, but since it is a matter of Nation-state, we need to begin from Charlemagne but not elaborately. The Empire of Charlemagne was not in any sense a nation-state. This great man had welded many small tribes and peoples with the power of his personality and administrative Capability. The centrifugal process began after he passed away in the Treaty of Verdun 843 A.D. It was divided into three significant territories,

- 1) Frankish,
- 2) German, and
- 3) A mixture of Franco-German.

Here the rights and privileges held initially by the monarch were given away as the price of support to the vassals, which led to the weakening of the King's power. The pressures of powerful and dreaded Vikings from the north and West and from the Slavic hordes of the east were responsible in many ways for this loss of power. This is true of all the areas in which nation-states were to develop, Germany, Italy, France, and England. Spain presents an exception as it was under the domination of the Umayyad Caliphate.

Before the unique developments which gave England and France nation-states, the Empire of the Germans and Italians (known as the Holy Roman Empire) occupied an important position in the political growth of medieval Europe. Although political unity was not achieved, many state-church relationships that later affected the other states were worked out in the Empire. In Germany, the fate of a nation under an elected monarchy was demonstrated; the possibilities of building a German nation-state were gone by the middle of the thirteenth century. All that was left was a titular head who ruled one of the many smaller states within the Empire.

The decay of Charlemagne's empire has been noted and explained by the diverse elements over which he ruled. One of the leading causes of the decentralizing leniency was the struggle between the Emperors and Pope. The attempt made by the duo was to control the lives of the people under their rule. While the Pope emphasized that divine power is the source of his power, in the hierarchy, he considered himself to be right after the apostle Peter. However, for centuries no proper demarcation was made between their spheres of influence, i.e. secular and sacred.

The development of the French Nation-state during this period was toward the rule of an absolute monarchy. These tendencies toward absolutism, begun by Cardinal Richelieu under Louis XIII, were carried forward by his successor Cardinal Mazarin until most of Louis XIV. This royal effort resulted in gathering the lines of power into the king's hands. It also meant that all government business was channeled through the king's court. Institutions which might have been aiding the king in governing the land were passed by to become useless vestiges of the past. Under an able king such as Louis XIV, the more significant problems were dealt with in some measure of efficiency.

However, the successive French emperor under Louis XV and Louis XVI, the centrality and absolutist tendencies caused the nation to flounder more and more until it collapsed into the French Revolution. The end of the absolutism of Louis XIV has several reasons. The following factors would be important.

- 1) First was the state's power, which rested on the finances. Through the labours of Colbert, a reorganization of the state's finances took place. Graft was reduced, and strict accounting was imposed to cut the losses from the system.
- 2) Secondly, the country's trade and commerce were given top priority.
- 3) This finally resulted in France to relatively tide over the financial crisis for the time being, and a surplus of funds was recorded.

As the French treasury was in a comfortable position, Louis could produce an army under the direction of "Louvois", French Secretary of State for War and the military remained at 100,000 men. Herein lay the new power of the French State. Thus internally and externally, the crown was consolidated as the supreme leader of the people.

A different process produced the same results in England and gave deeper roots for a stable society. Owing to the foresight of William of Orange upon the invitation of the "seven immortals", there were few fiefs in England whose population was not directly subject to him. A new outlook toward the nation and one of the most characteristic features of the nation-state also came into being. For instance, the emergence of Domestic and Foreign policy. The parliamentary right of taxation and

giving assent to laws made by the crown made it possible for the King and the nation to be associated with the kingdom's government.

So the powers and rights wrested from John Lackland (in French Jean sans Terre) in the Magna Carta (1215) were confirmed and complemented by other national claims. In 1297 the right of Parliament to grant monies and collect taxes was obtained; in 1551, the Statute of Provisory was passed to counter external interference. There was to be no papal interference in the election of Bishops. Praemunire, a statute of 1553, forbade appeal beyond the borders of England.

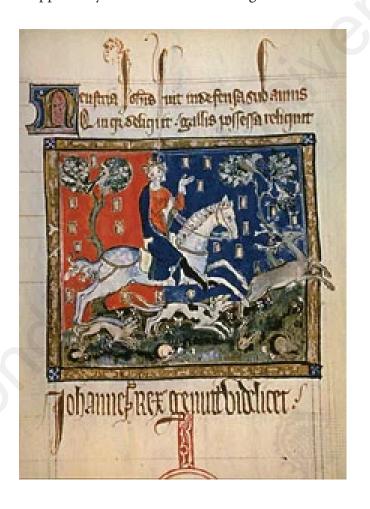


Image Credit: Britannica, JOHN OF ENGLAND, from an early 14th-century illumination

So here, an analysis of William of Ockham and Marsilius of Padua will be imperative. In opposition to the supremacy of the Popes, William of Ockham. He clearly sees the demarcation between two spheres of influence, i.e. the Pope supreme in the spiritual and the Emperor in the temporal. Although he considered the dignity of the Church could be undoubtedly authoritative, both institutions were designed to co-exist

harmoniously. In other words, for the existence of a strong state, their services were complimentary rather than antagonistic unless they needed to remain within their spheres, not interfering in other domains. There are extraordinary circumstances when a tyrannical Emperor or a heretical Pope could conceivably have called for the judgments of one upon the other. Here William Solemn advised that both institutions were for the state's independence from ecclesiastical authority as supreme.





Image Credit: Britannica, WILLIAM OF OCKHAM AND MARSILIUS
OF PADUA

Marsilius of Padua, considered to have lived during the period of an extremist view, also held a similar line of thought. In 1324 Marsilius brought out a very popular treatise on politics which vehemently attacked the political power of the Pope as evil. According to Marsilius, since the state was based on Aristotle's Politics and existed for the good of the people. The state's authority exists in the group of electors, the "legislator", not in any individual group.

He also believed in "elected monarchy", and the Holy Roman Empire of his time was a classic example. This monarch would rule by the people's consent and appoint officials, including the higher and lower clergy. Thus Marsilius opines that the Emperor is a supreme ruler in a caesaropapism state, i.e., the philosophy that puts the state above the church in matters related to ecclesiastical affairs. The Church should have no corresponding right to rule over the state. In his treatise, "Defensor Pacis", the defender of Peace laid the foundations of modern doctrines of popular sovereignty. Here Marsilius articulated such a relationship.

Check your progress

- 1) Define a nation-state
- 2) Briefly explain Jean Bodin's concept of Sovereignty.
- 3) Define JS Mill's concept of classical liberalism.

4.1.4 Nature of State Post-Reformation Europe

In Germany, the political development hinged largely upon Luther's revolt from Rome and the eventual reaction to this revolt. Germany was a vast collection of large and small autonomous states in the early sixteenth century.

The Empire existed with an elected emperor, but an emperor in name only. As the events of the sixteenth century were to prove, this titular leadership was ineffective, for each principality went its way. Each little state was ruled by a prince, duke, count, prelate or some other feudal leader, responsible in theory for supporting the Emperor. Support was assured only when it did not conflict with the prince's interests.

For the people who lived under the rulers of these principalities, as varied a mixture of conditions as states prevailed. In general, the southern part of Germany was more advanced than the north concerning the liberation of the masses. In the South, the people were freer, held land, were reasonably prosperous, and sought political advantages. In the north, the ordinary people were still at the level of serfdom. This condition difference was an important factor in German development during the Reformation. When the Peasants' Revolt came in 1525, it was essentially the revolt of the more enlightened South German peasantry.

4.1.4.1 England

In the year 1500, England, perhaps more than any other country, had come to a real sense of modern national possessing a national identity. In the next century and a half, this was heightened, giving the state a new and unique place in the people's lives.

Under Elizabeth I (1558-1603), the power and prestige of England rise rapidly. She employed all forms of diplomacy and played one foreign power against the other. Her role in consolidating the Church-state relationship at home continued with the solid Calvinist foundation was

very significant. As the supreme commander over the state that exerted control over the church, her international diplomacy brought into conflict with many rising power in Europe and, perhaps most notably, the Roman Catholic states.



Image Credit: Britannica (Jt Vintage/Age Fotostock) ELIZABETH -I

One glaring example was Philip II of Spain, who was determined to restore the Roman Catholic faith and the trouble caused by the English Pirates in the Atlantic Ocean. He sent 130 ships to invade England. At the same time, this was fiercely countered by a strong navy under the command of Sir Francis Drake, which destroyed the Spanish Armada in 1588. This famous Naval battle saved England and Netherlands from the clutches of being absorbed by the Spanish empire.

The years following this defeat of the Spanish are marked in England by new developments worldwide. In 1600 the East India Company for exploration and trading in India was formed, and in 1620 English settlers landed at Plymouth Rock in the New World to add to the colonies already established further South.

4.1.4.2 Low Countries

In the Low Countries, the Netherlands, the sixteenth century was the era of a religious, political upheaval which eventually produced independence for the seven northern provinces of this region. When the revolt began in 1556, it was not a national revolution but a group of states seeking to maintain their sovereignty against intruders wishing to

Notes

impose Spanish rule. It was not until later that a Dutch nationality grew up to help form a nation-state of the seven provinces of the low northern countries.



Image Credit: Britannica, ROUTE OF THE SPANISH ARMADA 1588

Going from the Low Countries to the Alps, we see a similar group of states growing throughout the Reformation era to form the country now known as Switzerland. At the beginning of the period, this territory consisted of numerous semi-Independent cantons. Each had its government, of the lower feudal nobility, a bishop, or a council. For instance, Geneva had three tiers or forms of government.

- 1) The bishop;
- 2) Bishop's "vice dominus", or worldly affairs; and
- 3) The ordinary citizens would meet annually in a General Assembly to elect four syndics and a treasurer.

There is also the General Assembly; the citizens were ruled by a small Council of twenty-five, of which the "syndics" of the year and the previous year consisted of the members. Bishop of Geneva was driven out through the reforming currents and the activities of Guillaume Farrell (Ehrel), a French reformer from Dauphine. Geneva thus became a small, relatively independent community. The canton was henceforth governed by the General Assembly and had this form of government when Calvin arrived in 1536.



Image Credit: Britannica, WILLIAM farel

The development of the Spanish kingdom through this period is of great interest. The reconquest of the Iberian Peninsula had begun in the thirteenth century from several centres of resistance to Muslim rule. The critical point for this period comes with the marriage to Ferdinand of Castile and Isabella of Aragon in 1469.

4.1.5 State Formation During 1500-1648

During 1500-1648 this period was the time when much wealth was discovered in the new world. We have discussed this relatively in the previous chapter, but since it is also imperative for the current units side by side, we will have to take into account some of the topics already touched upon to substantiate our points. Columbus discovered the Caribbean and America under Spanish Monarchy patronage in 1492, and within a decade or so, the wealth of the Spanish monarchy grew leaps and bounds. This wealth began to be coveted in Europe. However, we know that Spain was almost on the verge of bankruptcy after the expulsion of the Moors from Grenada, their last bastion. This considerable number of explorations and settlements in new colonies to colonize the new world was a real boon and fillip to the new nation. In Spain, gold and silver from the new world caused inflation for the commoners, although that beautified the lifestyle of the nobility with pomp and gaiety. Thus as far as the state was concerned, there was a constant denuding of power and health during the 16th century.

By 1598 and the death of Philip II, a creeping dry rot left the country in a state of collapse from which it had never recovered, even though the nation's military strength went on for another half-century.

4.1.5.1 Treaty of Westphalia and the Birth of the Modern Nation-State

To put in a general perspective for Europe, it was not until the end of the thirty years' War and the concluding Peace treaty that it would be naïve to call there was a modern concept of a nation-state in Europe. The Treaty of Westphalia was a peace treaty signed in 1648 following the end of 30(1618-1648) years of War that fundamentally changed how the world was organized that led to the introduction of a state in a modern notion. Because this treaty makes it clear that the concepts of state and sovereignty, mediation, and diplomacy were clearly traceable to this treaty, it also attempted to codify specific international laws and regulations for the first time. However, to understand better the Treaty of Westphalia and the origin of the concepts of the birth of the Modern nation-state, it would be imperative to dig a little into the 30 years war. The Thirty (1618-48) Years' War was a religious War fought between the feuding Roman Catholic and the Protestant estates within the Holy Roman Empire. The importance of this War lies in the fact that although it began as a religious conflict, as the War went on, it shifted its paradigm towards the political

arena as they began to fight for control over a territory. That means the attempt to dominate one religion over the other changed into a war to control territory because it essentially involves the rule to determine the religion over the territory he controlled. Now the three crucial concepts that are fundamental to the peace of Westphalia are as follows.

- 1) The treaty established Religious tolerance of other states. Before this treaty, the state viewed all other foreign nations and policies as good and evil. The parameters to judge this is their professed religion. In fact, the papacy objected to this for fear that it would weaken their dominance and influence because the Pope was the spiritual and temporal head of all catholic monarchs. The rule of the state now replaced the role of Christianity in the state.
- 2) The peace treaty also established the concept of diplomacy and negotiation. Before 1648 war was the only accepted means of diplomacy. When a country was not acting in the way you disliked, then War was naturally a means of rectifying or achieving your aims. However, this treaty changed such a system that even after the war, states were a means and an agency of negotiation because parties could agree to or disagree they could compromise. Now diplomacy and negotiation were prioritized against war, and they became increasingly important.
- 3) The peace established the idea of state sovereignty; this is one of the most relevant parts of the topic under discussion for us. The peace treaty gave provincial princesses substantially increased power while reducing that of the holy roman emperor. This means the local rulers get more autonomy and exercised more power as the central authority of the Holy Roman Empire lessens. Now Princess could levy taxes, to declare War and to ratify peace treaties. All these power were once the prerogatives of the Holy Roman Emperor.

So the peace treaty replaced the feudal system with a system of coequal sovereignty entities. So this sovereignty emerged out of the Westphalia peace treaty, which emphasizes the idea that each nation-state had exclusive control over its territory, implying that states are not encouraged or restrained from interfering with each other internal or domestic matters. Every state has an equal right to sovereignty, whether large or small. The best way to check his neighbour's ambitions is to approach the other neighbour and establish a balance of power. Thus, this peace treaty built the

framework of the history of modern international relations, thus creating the concept of modern nation-states, and the idea of sovereignty became the basis of modern international treaties and conventions.

4.1.5.2 Luther and his Idea of State

Luther saw the state as the authority ordained by God for the maintenance of a disciplined society. As the Father rules the household, the prince must be the final authority in the state. Since the Father will use his fist to maintain order, and the minister will use his power of speech to demand justice, the state must use the sword to discipline its members.

Luther saw no place for a theocracy. There must be a separation of the Church and the state, for when Church and state are joined together, one must dominate. But in making the temporal power superior to the spiritual, he left a weakness in the Lutheran cause in the form of caesaropapism, for Luther had taken away the government of the Church, Pope, bishops, and priests in the technical sense. Since the princes were the highest powers in the realm, they came to be the chief administrators of the Church. Then, as the Church's administrators, they became "emergency bishops", so to speak, in the emergency. The position has remained, however, as the norm for the Lutheran states as a department of the state government beyond the extremity out of which it was created.

The development of the French Nation-state during this period was toward the rule of an absolute monarchy. These tendencies toward absolutism, begun by Cardinal Richelieu under Louis XIII, were carried forward by his successor Cardinal Mazarin. This royal effort gathered the lines of power into the King's hands. It also meant all government business was channelled through the King's court. Institutions which might have been aiding the King in governing the land were passed by to become useless vestiges of the past. Under an able king such as Louis XIV, the more significant problems were dealt with in some measure of efficiency. But under his successors, Louis XV and Louis XVI, the centrality and absolutist tendencies caused the nation to flounder more and more until it collapsed into the French Revolution.

Check your progress

1) Compare and contrast the nature of state formation in England and other European countries.

- 2) Discuss the contribution of William Farrel
- 3) Discuss the importance of the Spanish Armada 1588
- 4) How far do you agree that Luther gave the state independence from the papal authority?
- 5) Describe the importance of the Treaty of Westphalia.

4.1.6 Enlightened Despotism

There are three components to understanding the concept of enlightened despotism.

- A) The Philosopher: who advocated that enlightenment involves the following elements or points
 - I) Individual Freedom
 - II) Freedom of speech
 - III) Freedom of Religion
 - IV) Freedom of Press
 - V) Right to own Private property
 - VI) Freedom to assemble
- B) Despots: The Ruler who holds absolute power
- C) Enlightened Despots: A ruler who understands and knows his subjects' problems and needs and initiates a reform to address those needs.

During the seventeenth and eighteenth centuries, Europe began to witness a new political structure, i.e. a new Monarch with absolute power who was worried about the commoner's rights called enlightened despotism. So the seemingly contradictory concept of enlightened despotism and enlightened absolutism, we need to understand what is Enlightenment a product of the European intellectual movement? The Enlightenment philosopher advocated reason and rationalism, which means any discursive analysis of events is necessarily supported by factual data, not merely conjecture or simply argued because tradition sanctioned it. They also endorsed individual rights such as religious freedom and freedom of speech.

Conversely, the European political system was characterized by enlightened despotism. During this period, most European nations were absolute monarchies, implying the monarch had absolute power. This may be slightly different from the constitutional monarchy, where the power of the Monarch shall be limited and exercised as per the laws enshrined in the constitution. Therefore enlightened absolutism is a political system headed by an absolute monarch that believed in enlightenment philosophy. The French philosopher Voltaire first advocated this idea. Voltaire wanted France to become a constitutional monarchy, which was very unlikely, so he proposed that an absolute monarch surround himself with a philosopher to help make a rational and reasonable decision. So a monarch who ruled like this was called an enlightened monarch or enlightened despot. The enlightened monarch tried to institute enlightenment reforms but kept all the political power without creating a constitution.



Image Credit: Britannica, François Marie Arouet De VOLTAIRE(aka VOLTAIRE)

This means that enlightened despots allowed freedom of speech, freedom of religion, and individuals to own private property. They also supported art and education and reasons that the overall welfare of the people benefitted them as monarchs. Although many European monarchs tried a system of enlightened despotism, three stand out as the most exemplary.

1. JOSEPH II (AUSTRIA), the Holy Roman emperor from 1765-90, was determined to act and deliver the best for all his subjects. He instituted a reform to make education more accessible, which revolved around a tolerance policy for religion and promoting the German language across his empire designed to promote unity. He also advocated peasants' freedom and should not be treated like a slave of the serfdom system in which the poor worked for the lord, who derived all the profits of the peasants' labour.



Image credit: The Emperor by Anton von Maron, 1774. JOSEPH II



Image Credit: Staatliche Museen Zu Berlin—Preussischer Kulturbesitz ,FREDERICK the great

2. FREDERIC II, popularly known as Frederick the Great, was the King of Prussia from 1740-86. His main contribution was the modernization of the Prussian government judicial system, making it possible for men of non-noble origin to become judges and other government officials. This means he preferred Merit over privileges (Nobility). He also abolished torture. Build up the city and revitalize Berlin, and build canals. Prussia was thus able to operate one of the most effective and efficient bureaucracies under Frederic the Great, with a strong military and robust economy.

Although Frederic seemingly did not respect freedom of religion and press but allowed Jews and Catholics in a predominantly Lutheran-dominated country. He was also an ardent supporter of art and philosophy, enabling the flow of enlightenment ideas in Prussia seamlessly flows. He called himself "The first servant of the state". He also freed the serf from the crown land. He also streamlines the law code. Frederick was on Austrian succession (1740-48) by annexing Selesia as part of the Austrian-Habsburg monarchy.

This problem ended in the treaty of Aix –la –Chapelle, which means Prussia was a great power now and was predominantly present in and around any happenings in Europe after it got Silesia. This somehow changed the power of Austria's enlightenment.

Frederick William I, who made Prussia a European power, could be an absolute despot or monarch but not an enlightened one. Empress Maria Theresa, 1740-80 of Austria, too. Although she brought some reforms, such as secular education and inoculation from smallpox, she did not embrace the idea of enlightenment. She also censored many books, including those of Voltaire and Rousseau.

3. CATHERINE II, popularly known as Catherine the Great- Empress of Russia, 1762-96. As an enlightened ruler, her reign was often called the Golden Age because of economic prosperity. She has modernized Russian along the European model. He founded several cities filled with marvelous art, architecture and Music.



Image Credit: Britannica, CATHERINE the great

The other significant achievement was that Russia grew in size, political and economic stability was at its height, and seemingly was one of the world's most incredible powers. She was also an ardent advocate of education and founded the SMOLNY institutes, the first state-sponsored institution of Higher education for women in Europe. Russia also hosted many prominent European artists, economists, scientists and philosophers during her reign, including Voltaire. She also reorganized Russian law and wrote the NAKAZ.



Image Credit: Public Domain, SMOLNY INSTITUTE, Saint Petersburg

Thus, we can conclude that Enlightened Despots were rulers who made changes based on the Enlightenment Philosophers' ideas. The serf was a peasant farmer who was legally tied to the land. In some places, they were freed, but in some, they were not. Although the nobles and rulers feared many changes regarding the status quo, the enlightened despots successfully carried out their objectives broadly, but some enlightened despots were more pragmatic and successful than others.

The reason for this could be because some leaders are so obsessed while others are obstinate that it backfires on them. This is why some changes carried out were undone once the despot ruler passed away, and their reform and changes passed by accordingly. In some cases, like Russia, the depots got so well with Voltaire and enjoyed Discussion Company.

Check your progress

- 1) Define the term enlightened despotism
- 2) Discuss the role of Catherine the great and her reforms in Russia
- 3) Compare and contrast Joseph II's and Frederic II's reforms

4.1.7 Glorious Revolution of 1688

Historians and writers used the term glorious revolution for the events that occurred in 1688, leading to the dethronement of King JAMES II of England by his daughter and son-in-law William of Orange upon the invitation by the seven immortals to safeguard the Protestants' faith in England. The history of England is very fascinating indeed. Several political upheavals are traced to the invasion of England by Normans in 1066; changing from an Anglo-Saxon to a Norman monarch led to a complete upheaval of every English institution. However, the Glorious Revolution's historical background was much more fascinating as it was an Invasion rather than a political revolution in its intent.

The genesis of the said revolution began somewhere in the 1860s, but the accurate picture unfolds in 1865 when James II became the King of England and had a daughter named Mary, who was married to William, an important figure in the Dutch Republic from the House of Orange, so he is commonly referred to as William of Orange. This is very important because James II, a king, needed an heir, and Mary, his daughter, married

William of Orange. Since it was the history of the 17th century, religion is and therefore, constantly influencing all spheres of political life.



Image Credit: BBC, JAMES II OF ENGLAND



Image Credit: William III by Jan Wyck, commemorating the landing at Brixham, Torbay, 5 November 1688



WILLIAM OF ORANGE AND MARY

From the inception of his rule, JAMES II affected many reforms where he wanted to accommodate Catholics in all critical positions. However, much to the chagrin of the kings, England and Scotland were Protestants. On 30th June 1688, William received an invitation from the so-called "seven immortals", urging him to intervene in England militarily. The seven immortals that joint sent an invitation to William of Orange as s follows.

- 1) Charles Talbot, Earl of Shrewsbury- Tory Peer
- 2) William Cavendish, Earl of Devonshire-Whig Peer.
- 3) Thomas Osborne, Duke of Leeds –Tory Peer
- 4) Richard (Lumley), Earl of Scarborough
- 5) Henry Compton, Bishop of London
- 6) Edward Russell, Earl of Orford- Former Navy CAPTAIN
- 7) Henry Sydney, Earl of Romney, Former MP (who wrote the Invitation)

The letter Dated 30 June 1688 reads as follows

"... We have great reason to believe that we shall be every day in a worse condition than we are and less able to defend ourselves, and therefore

we do earnestly wish we might be so happy as to find a remedy before it be too late for us to contribute to our own deliverance; but although these be our wishes yet, we will by no means put your Highness into any expectations which may misguide your own counsels in this matter, so that the best advice we can give is to inform your Highness truly both of the state of things here at this time and of the difficulties which appear to us. As to the first, the people are so generally dissatisfied with the present conduct of the Government in relation to their religion, liberties, and properties (all which have been greatly invaded), and they are in such expectation of their prospects being daily worse that your Highness may be assured there are nineteen parts of twenty of the people throughout the Kingdom who are desirous of a change". (Courtesy: National Archive UK)

Although they (the seven immortals) do not constitute essential machinery in the government, some have fallen in the king's favour. The reason is that many of them always disagreed with the policies and changes introduced by the king. Most importantly, the intolerant attitude of Catholics and the push to accommodate many Catholics were not taken likely by these seven immortals. This is why many historians often cite that the Glorious Revolution was not an invasion but an invitation. As stated earlier, perusing the history of revolution through invasion, we can infer from 1169 that during the Norman invasion of the Island, they were invited by a king in Ireland to come over and help him reclaim his kingdom.

In Oct 1687, it was announced that Mary of Modena James's wife was with a child, and it was announced by one of the King's close aid Mel Forte that the child was a boy. The story then is if this is true that James will have a catholic heir to the throne. In April 1688, it was announced that the French imposed herring taxes and tariffs on the Dutch ships to shore up a royal navy in the event of a Dutch crossing the English Channel. Something that is significantly prescient (Perceiving the significance of events before they occur) because during the second Anglo-Dutch war in 1672, the English and French joined hands against the Dutch. That was a formidable force for the Dutch to reckon with, so the Dutch did not want such to happen again.

In other words, this could be why William wished to avoid conflict with the French because the monarchy was a hardcore and fanatic Catholic; if such an alliance happened again, the future of the Netherlands would be doomed. Or this would mean the formation of two strong,

powerful Catholic countries with mighty armies and navies against the Dutch. In July 1688, the Dutch deliberated over the issue of whether or not to increase the size of the troops and the navy, and it went in favour of increasing where 9000 sailors and 21 warships were added to the existing military strength.

Meanwhile, it was also believed William met one of the immortal seven-Edwar Russel in early April. William asked Russell to send a formal invitation to him urging him to rescue the faith of the English nation from the threat of Catholics posed by King James and his cabinets. Thus, looking at the chronology of the timeline, it is evident that William was never in a dilemma for an invasion, even before receiving his self-instructed invitation.

- 1) Oct 1687, James expected a catholic heir
- 2) April 1688, William request to William Russell for a military intervention
- 3) In June 1688, William Cousins arrived in England to prepare the ground to see if the people
- 4) They would support William if he intervened militarily.
- 5) In June 1688, he received the invitation from the seven immortal, bolstering Dutch preparations for increasing the military strength.

The Dutch, with a military strength of 15,000 men, several thousand non-combatants, and 13,000 German mercenaries, shore up the Dutch borders in fear of French retaliation and 260 transporters. Coincidentally it was in 1688 that the French were busy in Phillipsburg, and the Dutch happily sailed through the English Channel without any hindrance. On 19th October 1688, he began to cross the seas. However, due to stormy weather, he was forced to return and lost one of his battleships on 21 October. After a short lull, careful deliberation, and analysis regarding the weather and sea pattern, they finally decided to begin again on 1st November 1688.

This time as winter sets in, the wind changes its course and direction. Sensing that something was not right, perhaps James being aware of the move, William put the navy in charge of the Earl of Dartmouth to closely monitor the English Channel and instructed him to relay any information immediately. However, the change in direction, although the Dutch could

manage to navigate favourably, was not that easy for the Earl of Dartmouth and finally could not prevent the landing of the Dutch ship Brixham, near Torbay in Devon, the south-west of England that precisely gave William of Orange the first victory practically because there was no naval encounter between the two power.

William's second advantage after landing his forces was that James II's army was enormously large, but most were protestant and primarily anti-catholic, so loyalty to the King was absent or very scant and being Protestant meant a patriot's Englishman at that point. So there was, of course, no major battle but some skirmishes, among which mention may be made of the Battle of Wincanton and Battle of Reading.

The most intriguing thing is that when someone invaded a country, why was no major battle fought during the glorious revolution? Some historians often suggest that Torbay was carefully chosen by William, which was far from London. This could have given William a strategic advantage in that the news of his landing far off from the city may frighten the few soldiers who were loyal to James II.

Additionally, William had an enormous amount of information regarding the unpopularity of James II in England, and he intended that once the troops landed, the news might force the government to collapse on its own. Therefore James had only one option left but to flee, and his natural choice happened to be France; while attempting to escape on 11 December 1688, he was captured by local fisherman and sent back to London. When William and his troops closed it on London on 18 December 1688William relocated James to Ham, a strategic place William to lead James escape through an easy route, and finally, on 23 December, he could make it to France. This did not back off James II from his plan of coming back, and there he started preparing.

Meanwhile, a Parliamentary process was going on in England regarding whether William could be made the King and his wife, Mary, an heir. Since Mary was his wife, she became the queen and ruled as a co-monarch. This also sends a strong message that the Dutch and the English became natural allies against France (Louis XIV), an Anglo-Dutch Alliance that reversed the Anglo-French Alliance, which was William's real intention, and Dream eventually came true in 1688.



Image credit: Koninklijke Bibliotheek, national library of the Netherlands, WILLIAM entering London, 16 September 1688.

Although there was no major battle fought in 1688 yet, in 1689, some rebellion broke out in Scotland and Ireland, which was an indirect invitation for James II, who was preparing for a fight back to the throne from France, and he made it to Ireland in 1690, where we have seen a major battle popularly known as Battle of Boyne. Here the combined forces of France and English troops who remained loyal to James II and Irish troops under the command of James II, numbering 40,000 troops versus the soldiers of William. This victory scored by William made him the De facto King of England. Hence King William III and Queen Mary II. Although the coronation ceremony was already performed in 1689.

It is also interesting to discuss the demand made by the people at that coronation ceremony before discussing why William allowed James II to flee? The documents at the coronation ceremony started with complaints such as religious intolerance like Subverting Protestantism and disarming them while arming the Catholics. Then issues such as dispensing and suspending laws without parliamentary debates, maintaining a standing army without parliament's consent and putting hindrances to a free and

fair parliament election. The statement of Grievance or the document of demands in a short form can be listed as follows.

- 1) James II was a papist
- 2) He taxed without people's consent
- 3) He possessed a standing army during the time of Peace
- 4) He allowed the papists to be armed and disarm the protestants
- 5) He also appointed partial, corrupt and unqualified jurors
- 6) He also imposed excessive fines and bail
- 7) He often interfered in parliamentary elections, i.e., obstacles in the way of free parliamentary elections.

Thus, the situation in England at that very critical juncture can best be described in the words of John Locke, according to whom "the social contract was broken". Absolutism was under attack, which was later codified after the Revolution. The codified laws are passed in the form of the Bill of Rights, Parliament's exclusive right to create and terminate. Which seemingly reaffirmed the Magna Carta of 1215, but it must be made clear here that 1215 gave a foundation of limited government where the king still was subjected to his own law, but after 500 years, the Glorious Revolution laid the solid foundation where the parliament but not the King that had the exclusive right to create and terminate any laws. This practically means that the crown of the Monarch, the sovereignty, was shifted from the King's palace to the Parliament. Perhaps the transfer of Power is the most significant achievement of the revolution.

Therefore, the concept of Parliamentary sovereignty can be said to be the product of the Glorious Revolution. According to A.V.Dicey in his "Introduction to the Study of the Law of the Constitution", He writes "Parliament thus defined has, under the English constitution, the right to make or unmake any law whatever: and, further, that no person or body is recognised by the law of England as having a right to override or set aside a legislation of parliament".

Thus, the Glorious Revolution of 1688-89 set up an institution with, if not complete sovereignty or expansive power in the United Kingdom – the legal right to legislate on any topic without question, but parliamentary sovereignty does not come without criticism. This means

that parliamentary sovereignty also comes with proper checks and balances. Therefore, the Glorious Revolution created a separation wall between the monarchy and the Legislature. In a nutshell, it can be put as follows.

- 1) Free Parliamentary election
- 2) Parliaments must meet frequently, but not as per the king's desire earlier.
- 3) The protestants should also have arms as per their requirements
- 4) The Right to Petitions
- 5) The appointment of Qualified jurors

Above all this, Parliament supremacy and the ceremonial Monarchy were the most significant achievement. In due course of time, this became a role model worldwide who wanted a strong people's representative government.

Not only this, but it also impacts the whole world; perhaps let us look at the US Bill of Rights.

- 1) Prohibitions of the Cruel and unusual punishment
- 2) Prohibitions of Excessive Fines and Bails
- 3) Right to bear arms or guns law
- 4) Prohibitions quartering troops during peacetime
- 5) The right to freedom of speech
- 6) The right to a fair jury trial

Something more exciting in this revolution is the questions such as why he(William) did not execute James II? knowing very well that he would stage a comeback to reclaim his throne or at least put him in captivity and make him sign all his dictates in the Prison. The answer to this is given by some historians that following the vents of 1649 in England, the English civil war, and the execution of English King Charles I, resulting from the open parliamentary trial of the king but in 1660 when Charles II the son of the executed King, he relooks to this case, and almost everyone including those who gave the sentenced were persecuted by Charles II son. Some began to be hounded by this and fled across several parts of the world, and some were assassinated, some mercilessly killed. Therefore, historians

often gave this reason why William' decided to follow a passive policy in dealing with JAMES II.

While some argued that William could not be that harsh on his father-in-law primarily because he intended to ally with the English against France. Now even from counterfactual historical analysis, we can also pose a question like what could be the ramification if William had not been successful? Since it is the Victor, not the vanquished, who writes history, although we now call it a Glorious Revolution, it could have been called a failed Dutch Invitation, a failed conspiracy by the seven immortals, or even a failed Protestants conspiracy against the Catholics, or the seven traitors instead of immortals.

Now coming to the reason, what actually caused the Glorious Revolution? Why did the people of England invite Mary's Husband to invade England? All these questions can be addressed, if not answered, by looking back at James II's policies and reforms.

To answer the above question, let us pose a counter question as to why he consolidated his position by putting all Catholics in a critical role. First, for James II, whose brother Charles II was just a converted Catholic on his deathbed. However, James II was an ardent and devout Papist from the beginning. We can safely argue that James II was a catholic monarch in a majority protestant country.

This led to a second question as to whether the tension between the two (the catholic monarch and Protestant subject) was the ultimate result of the revolution or whether a catholic monarchy in the 17th century effectively ruled England at that critical juncture. The first open division came between the Tories and the Whigs. While the Tories favour James's succession, the Whigs are against it by an act of parliament. During those periods, the parliament was the King's prerogative, and he could call and dissolve at his will. So when such a debate occurred, there was a Monmouth rebellion in 1685. This rebellion's main agenda was to keep James from taking over the throne.

Secondly, the issue of the hanging Judge Jefferey, who presides over the bloody Assizes. Over 1000 people were executed, and some say they were deported to West Indies for treason. Over 200 were either hanged or drowned. In such kinds of torture, people were usually hanged in public whose intestines were cut open or pierced where people were made to see the suffering and cruelty and finally quartered, which means your limbs,

hands and some parts of your bodies were dismembered. In one instance, one woman was sentenced to be burnt alive for harbouring fugitives but, in the end, was beheaded.

Third, James' decision to abolish the *Test Act*, requiring a person to be religiously fit, does not go well with the majority of Protestants subjects. This means that only those who took baptism in the Church of England were fit to run the Public office. In other words, you must be a disciplined Church of England member. But James II instead issued a declaration of –indulgence- which means a non-enforcement of the law against Catholics and non-conformists. So any Christian, even if they are not a member of the Church of England, can be tolerated.

James II now urged the Bishop of the Church of England to announce the Indulgence declaration, which meant a Catholic monarch dictating terms to the Bishop that I am the head of the state, so if you don't, you are free to leave. That was the message that the King wanted to convey to the people of England through the Bishops. Now seven Bishops came out in the open to request the kings not to enforce such kind of law, and the King responded to this request with Sedition charges.

Unfortunately, when they were put on trial, the King could not get the desired verdict, and they were found not guilty. So James' attempt to annihilate or subdue Protestantism in England was unsuccessful. In utter disregard for the judgment against the Bishops, James openly declared, "God hath given me this dispensing power, and I will maintain it". He is trying to make the point that he still derives his power from God, not from the Bishops. "Divine right of Kings" I rule and derive strength from God and Parliament, and Bishops are not above me; that is essentially what he wanted to convey to the people of England. This could be the first sign that paved the way for the Glorious Revolution of 1688.

- 1) Cruel and unusual punishment inflicted on the peoples
- 2) Suspended the Test Act.
- 3) Intimidating Bishops by urging them to declare the Indulgence Act in the church
- 4) Invoking Divine rights of King.

He then dismissed the Lord of the Treasury after he refused to renounce his faith in the Anglican Church. As mentioned earlier, the announcement of JAMES II having a baby Boy in 1688 sparked another raw of succession that his daughter Mary was married to William III, Prince of Orange, and a protestant. However, the glorious part of the revolution can be inferred from the two to three skirmishes (not the actual battle where there was major bloodshed) may be why it was called a Glorious Revolution, but it will be imperative for us to discuss some of the ensuing battles in Scotland and Ireland. The Glencoe Massacre of Scotland in 1692 occurred because they didn't swear allegiance to William and Mary primarily because Charles and James were the Scottish royalty.

The English Bill of Rights 1689 was the first positive consequence of the Glorious Revolution, which limited the King's powers over that of the parliament.

Check your progress

- 1) Why did James II decide to abolish the Test Act?
- 2) What do you understand by the term "the seven immortals"?
- 3) Why did William of Orange need an invitation to invade England?
- 4) How far do you agree that the term Glorious Revolution was a misnomer?
- 5) Briefly explain the reason why William did not execute James II.
- 6) Explain the leading causes of the glorious revolution.
- 7) Briefly analyse the impact of the glorious revolution.

4.1.8 Industrial Revolution

The term industrial Revolution can best be explained by Robert Gordon, an economist, who said, Imagine you live in 1820 in England, probably you work in simple agriculture tools and travel in a bullock cart ...imagine you close your eyes and wake up in 1920; the transformation that you will see probably could be the best explanation of Industrial Revolution brought to Human life. He further argues, "When we invented steam engines, steam ships, locomotives, factories making cotton fabrics, and then the telegraph. All of those things were invented in the century between 1770 and 1870. And they set the stage for the inventions that happened after 1870".

One of the finest examples to explain how the space and foundation for the industrial revolution were laid by the invention telegraph, considered one of the most significant inventions in human history in reducing the time for communicating a piece of news. Before this invention in 1844, the fastest means of communication was through a horseman, and if the message was supposed to be communicated to overseas countries, then the speed of a sailing ship determined the time. For instance, the famous example of the Battle of New Orleans, won by Andrew Jackson in January 1815, three weeks after the peace treaty was signed between Britain and the United States.

4.1.9 Alexander Graham Bell

So after the telegraph, of course, the human mind started imagining, "Well, what if we could find a way for people to talk over these wires instead of just sending dot-dot-dash-dash Morse codes?" And that dream was realized promptly in 1876 by Alexander Graham Bell and his competing inventor.

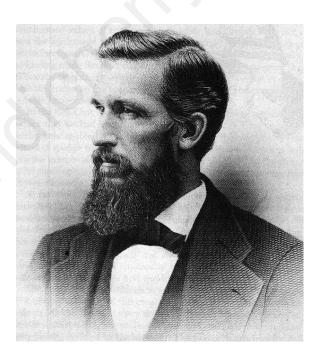


Image credit: circuits today, Portrait of ELISHA GRAY

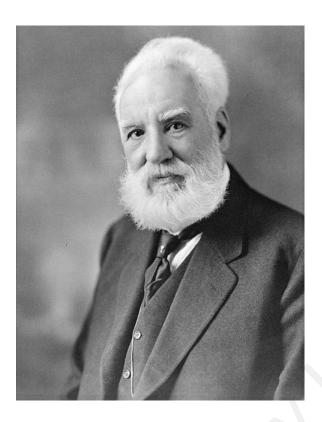


Image credit: Library and Archives Canada / C-017335, PORTRAIT
OF ALEXANDER GRAHAM BELL

Bell beat the competitor — whose name was Elisha Gray — Bell beat him to the U.S. Patent Office by about three hours. And if it had not been for that, we would have had the Gray telephone system instead of the Bell's telephone system.

Therefore the fundamental distinction between pre and post-Industrial revolutions can best be sump up as there was seemingly no progress in human life between the Roman Empire and the late Middle Ages. To substantiate this reliable finding in England based on data and statistics show that over 400 years, between 1300 and 1700, economic growth was only at a rate of 0.2 per cent a year. And to put that into concrete terms, something growing that slowly, at 0.2 per cent, requires 350 years to double.

4.1.10 Flying Shuttle

One of the most remarkable features during this period was that the European population multiplied after several internecine wars, plagues and diseases. Meanwhile, during this period, products such as tea, chocolate, coffee, corn and grains came to be used, which essentially meant life was longer, as did the population rise. So once you have a surplus and have nutritious food, people start to have more time to learn, think and experiment. Many artisans invented minor improvements to existing mechanical devices. One of the most important and earliest in this regard can be John Kay's Flying Shuttle, which increases the pace and productivity of weaving that requires weavers to use a more significant and more extensive thread.

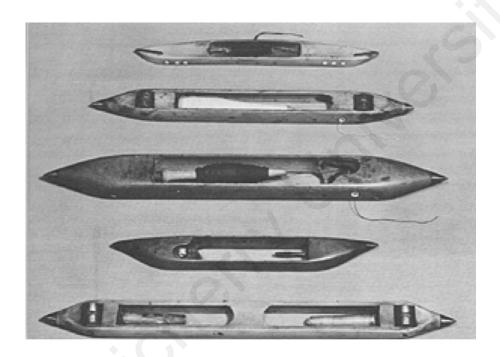


Image credit: History.com, FLYING SHUTTLE by JOHN KAY

This necessitated the invention of the spinning jenny by James Hargreaves in 1764. The spinning jenny was a machine used by working women at home. It allows a person to spin the thread at a breakneck speed. In England, we have also come across Ellen Hacking and her husband, John Hacking were credited with devising carding machines to straighten fibers' and woollen fibers for spinning.

4.1.11 Water Frame

During the same period, Richard Arkwright and his partner invented the water frame, another spinning engine that used water power. And when the spinning machine could be linked to a central power such as water, many could be placed within a single building resulting in the rise of factories due to such pressure to increase production for domestic and global markets.

Check your progress

- 1) Discuss the important factors that led to Industrial Revolution in England.
- 2) What is the significant impact of Alexander Graham Bell on the Industrial Revolution?
- 3) How far does John Kay speed up the pace for the Industrial Revolution



Image credit: history.com, Portrait of JOHN KAY



Image credit: Terry Littlewood, THE CARDING MACHINE



Image credit: who invent.com, THE SPINNING JENNY



AWKRIGHT's WATER FRAME C1775

Then we have Johann Friedrich Bottger, who promised the king of Saxony how to make porcelain as the Europeans suffered from a feverish

desire to possess Chinese porcelain from the Dutch and Portuguese merchants.



Image credit: the famous people, RICHARD AWKRIGHT



Image credit: Saxonia Museum für saechsische Vaterlandskunde, J F BOTTGER

Such madness in terms of the rush to invent and sharpen was reflected in two things among the European nobles and elite classes, i.e. Porcelain, which marks the sin of royalty and affluence and the second one is Pineapple which was very rare and expensive too because it was challenging to produce domestically. So Bottger could discover porcelain technology somewhere around 1708. This brings us to the question of what the Industrial Revolution revolves around?

It gives the idea that the Industrial Revolution was a combination of multiple factors where people put their brains and times together in bringing a series of incremental improvements rather than it blew out all of a sudden, as the term revolution suggests. Our discussion also offers that line primarily because the idea of textiles, heat-resistant cups, and ware were all a product they imitated and made changes and improvements in other parts of the world, such as India and China. Meanwhile, all these incremental improvements also necessitated some adverse effects like employing cost-effective orphans under age children from the orphanage and the subsequent diversification of the slave trade in the Caribbean island.

4.1.12 Steam Engine

The transportation of the raw material and slave labour requires a heavy machine now relying on the steam engine.



Image credit: Britannica.com JAMES WATT

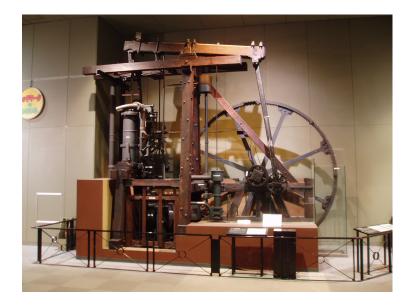


Image credit: madeupinbritain.uk, STEAM ENGINE dated 1769

In 1776, English man James Watt launched a steam engine that improved and replaced the earlier model. While looking back at the Greek, Roman, Ottoman Egypt and Ming China, they were all very familiar with the steam engine, but then what is the uniqueness of James Watt's Steam engine that replaced animal and water power not only in mine but also in textiles and other manufacturing industries. Humans have relied solely on their muscle power for centuries, followed by animals, wind, and water.

However, the issue remains how great steam power was? They also revolutionized human life, replacing human labour when transportation eventually became an automobile replacing manual operations. Now the application of steam engines in trains demands another kind of demand since it speeds up the urbanization process to construct a major railway line and small and mini station hub to house the railway's primary and secondary employees such as shopkeepers, pharmacies and construction workers. This means all aspects of human life were profoundly affected.

Meanwhile, it also led to the proliferation of social structures or classes, such as the aristocracy and the peasants and the bourgeoisie and the proletariats. The etymological derivation of the bourgeoisie refers to people living in towns and cities burg/Bourg. However, the term came to refer to the factory and industry, banks owner, including those who own a large tract of land for raising livestock. At the same time, on the other hand, the Proletariats comprised many of those factory workers who lacked all the essential items to support themselves and instead rendered labour for the factory owner who exploited them at their will.

In between, we have seen the rise of the middle class in Europe, such as doctors, lawyers, teachers and other professionals who are skilled in serving society. The transformation also affected Woman's life. In the preceding centuries, they worked in farms or workshops alongside their artisan husbands or as seamstresses, hat makers and weavers. During the early days of industrialization, women working as weavers or spinners often now switched to factories.

Industrial Revolution also brought about rapid urbanisation of towns and cities at a breakneck pace. For instance, Manchester saw a growth of population from 20,000 in 1750 to 400,000 in 1850, although we have seen the emergence of some adverse effects such as slums and spread of diseases filled with garbage sewage and muddy street forget about the animal excrement which was more or less the same in other cities as well. Thus industries began in England and eventually spread to low countries where trade made the capital flow, and because of the flow of the capital, the imitation of several products was redesigned and crafter to a much higher level of sophistication.

So the question arises was the Industrial Revolution a true revolution? It spread so unevenly that the eastern part of Europe saw les cities, and people's living conditions were so poor it could be described as hand-to-mouth during that period. From a historical perspective, looking at a revolution would mean a complete change in the socioeconomic and political structure of the people. Although we might be tempted to draw an analogy with the French Revolution 1789, The English Revolution (1640-60) and the American Revolution (1765-83), yes, indeed, the Industrial Revolution made fundamental changes in the lives of human beings, although the impact might vary from place to place.

Now let us analyse the reason why Industrial Revolution occurred in Western Europe? Theories such as

- 1) European exceptionalism
- 2) The invention of science and technology
- 3) The Age of Reason and the Enlightenment might have facilitated the freedom to think.
- 4) The geographical exploration and the search for colonies, gold, silver and spices

5) England's geographical position makes them look out for a backyard

The answer to the previous question is posing another question, i.e. thus, the above four factors were responsible for the invention and discovery of scientific technology, perhaps known elsewhere before European people seemingly invented it. So to discuss these issues, let us first take up the case of the Steam engine.

4.1.13 Impact of Steam Engine

Now a glaring example could be the electricity produced almost all over the world either from coal or nuclear or hydropower, perhaps be called a product of steam engine because they also nothing less than just water and heat. So a comparative analysis of Europe, China and India would make some sense to our discussion. Historians like Kenneth Pomeranz best explain these phenomena through the concept of the great divergence theory. Being an expert on China, he was one of the most well equip professors for the job. He argued that the two land masses diverged somewhere around the 19th century, never to meet each other again in the wake of the industrial revolution. His basic argument was before the industrial revolution. Europe and Asia essentially had the same level of socioeconomic development. They also had the same economic growth, market expansion and standard of living.

Additionally, the efficacy of their social institutions and economic regulating bodies were the same. So he argued that this divergence happened around 1800 when England skyrocketed its economic development ahead of the rest of the world. For this, Pomeranz points out two main reasons, i.e. the abundance of Coal and the plantation colonies. While on the other hand, Asia, with its feudal polity or oriental despotism, could not venture out to create a backyard or colonies in other parts of the world. He also argues that during 1800, Europe was marked by unique development features such as demography, markets and consumption of luxury goods and labour. This was the latest study regarding the Industrial Revolution and its impact through a new framework.

However, Prasannan Parthasarathi' in his famous book "Why Europe Grew Rich and Asia Did Not: Global Economic Divergence, 1600–1850". Who revised the Great Divergence thesis and argued, "Early modern Europe was indeed different from Asia, but not in the way we think". He further states that "the difference cannot be found in a scientific spirit,

property rights resulting from the Age of Reason and the Enlightenment philosophies". As commonly agreed before, European exceptionalism lies with the Age of reason, enlightenment and the presence of a modern nation-state that facilitated the birth of the industrial revolution and hence European modernity. In contrast, the priority of the Asian states had been the prevention of famines. This argument has been deconstructed and was mainly classified under four subheadings. First, "it is shown that the invention of mechanical devices in British cotton textiles was induced, more than we realize, by competition from Indian cotton. The inventions were more an outcome of exogenous factors than endogenous ones".

He argues that "India was the world's workshop in the seventeenth and eighteenth century. Europeans could enter this advanced trading-manufacturing world thanks to their fortuitous access to American silver".

Third, he further commented that "the new technologies in cotton and iron in Britain would not have been possible without state aid in the form of protection afforded to domestic textiles and encouragement given to the mass use of coal".

Last but not least, based on well-documented data, he argued that "in the 1700s, levels of living were similar between India and Britain, markets were integrated and property rights protected in India, and India had an energetic intellectual life, in particular, science and technology were of sophisticated where the technologies were later transferred and reinvented". This was the main argument put forward by Prasannan Parthasarathi's, the fundamental reason for the difference in Europe and Asia's economic development.

Then coming to some of the technology effectively used for furthering colonial exploration that eventually helped them throughout the industrial revolution, conquest and economic development, items such as Gun powder, compasses, paper and shipbuilding technology cannot be ignored. However, a perusal of their place of origin and the invention of the said tool were traced to China and India before Europeans. The question remains whether China's despotic empire or the idea of freedom might have restricted the Industrial Revolution from happening, for they have all the facilities and technical know-how before the Europeans. To such a question, some historians argued that Song China was one of the most freedom-loving people, and the question of the despotic Chinese empire might not have necessarily prevented them. Therefore, considering

all these factors, we can say that in China, as does Europe, all the basic necessities for the industrial revolution are not missing; if then, what prevented China and India?

The answer to the above question lies in the fact that British had the advantage of Coal, but So did China because coal was supposed to have improved transportation and communication because one of the significant factors in the industrial revolution was the successful utilisation of energy, so does England plenty reserved of coal just nearby. In contrast, the location of coal in China was geographically distant from its urban centers. This coal immediately replaced wood in Europe but not in China. So coal mining was labour-intensive, so to pump out water from the mines, there was necessary to invent steam engines. The result is that a steam engine produced coal in large quantities, and there was an uninterrupted or perennial power supply; hence industrial production remains uninterrupted. This also sped up the construction of railroads and the steel industry.

The emergence of a diversified Wages system, for instance, in 1725, wages in London were equivalent to 11 grams of silver per day, whereas, in the Netherlands, it was nine silver grams per day. Meanwhile, in Beijing and elsewhere, they were below 4 grams of silver and in India, below 2 grams. High wages combined with cheap, abundant fuel or energy created a conducive atmosphere for the industry that continued to sustain itself in the long run, hence the industrial revolution. According to Robert Allen, "high wages relative to capital units was a key force in the industrialization of Britain, and thus it explains why the Industrial Revolution was British before if was anything else". And further argues that "these conditions enforced industrial revolution by offering firm and strong incentives to invent technologies that substituted capital and coal for labour".

The question of Indian cotton imports to Britain was another factor further speeding up the industrial revolution. The modus operandi is that Indian cotton supplies the industry's raw material at a meager cost and creates a large market. The profit earned from these was successfully utilized by the British in Machines to increase production, thus making India a de-industrialized nation by offering the European market uninterrupted raw cotton.

Check your progress

- 1) Define carding machine
- 2) Discuss the impact of the steam engine and who invented it.
- 3) Who invented Water Frame?
- 4) Who invented the spinning Jenny?
- 5) Who was J.F Bottger?
- 6) What are the factors responsible for England's industrial revolution?

4.1.14 Industrial Revolution and Factory System

What is a factory system? According to Charlotte Moy, "The factory system is an approach to manufacturing that arose during the Industrial Revolution in England to replace the cottage industry and putting out system. Its primary innovation was to combine machinery and other new technologies with the division of labour to lower production costs and increase efficiency. Due to the rise of the factory system, production began leaving households and artisan shops to be located in plants and factories instead".

The experience of workers changed dramatically as a result of being in coordinated, disciplined factory settings instead of a household. Not all early factories took the same approach to managing labour. Distinct systems, such as the Slater and Lowell Systems, arose in the United States. After the Industrial Revolution, the factory system continued developing and innovating features such as interchangeable parts and assembly lines".

As stated earlier, 1750- 1900 was instrumental in making England one of the most powerful nations that dominated almost two-thirds of the world until the WW-II. Let us discuss how much the industrial revolution created urban cities, slums and residential colonies. To understand this, we will discuss how the factory system's growth led to the rise of cities, towns and slums when people moved from the countryside to urban areas. Therefore the following issues need close perusal.

- 1) To understand how the domestic system is essentially different from the factory
- 2) How do machines create factories?
- 3) The factor behind the emergence of how factories propelled the growth of the cities.

4.1.15 Transition from Domestic to Factory

In 1750, almost all the consumption was produced domestically in England, with women and husbands working at home making shoes, hats, and gloves; since it was domestically produced, I meant at home, so the system was commonly referred to as the Domestic system. Since it was produced domestically, so it caters for the local markets. In short, things were not made in the factory in large quantities. So the shift from the cloths spun in the spinning wheel domestically and the George Walker's costumes of Yorkshire in 1814 marks the beginning of an industrial revolution through the factory system.

The earliest known illustration of John Blenkinsop's steam locomotive on rails, where a man working at Middleton Colliery in south Leeds, is as follows:

"One of these workmen is here represented as returning from his labours in his usual costume. This dress is of white cloth bound with red and may probably be ridiculed as quite inconsistent with his sable occupation, but when the necessity of frequent washing is considered, surely none could have been adopted more conducive to cleanliness and health. The West Riding of Yorkshire, it is well known, abounds in coal, the consumption of which is prodigiously increased by the general use of steam engines. In the background of the annexed Plate is a delineation of the steam engine lately invented by Mr Blenkinsop, an agent at the colliery of Charles Brandling, esquire, near Leeds, which conveys above twenty waggons loaded with coals from the pits to Leeds. By two of these machines constantly employed by the labour of at least fourteen horses is saved".

This text lucidly explained the transition from domestic to factory system, i.e., the era of mass-produced goods for domestic consumption to the age of the global markets. Where things are made quicker, faster and cheaper in price but of superior quality. The proliferation of cotton mills was another boon to the factory system. The cotton mills gave people a choice as to what kind of clothes they preferred, such as woollen, cotton, and linen, which suited their comfort and were fashionable.

John Kay invented the flying shuttle invented in 1733 was a boon in this regard, which enabled weavers to produce faster and helped them use wider strips of material to produce a wide variety of products.

So, in a nutshell, it was the invention of the following machines that helped the Industrial Revolution and its factory system that changed the socio-economic life of the people.

- 1) 1769, the water frame by Richard Arkwright, It is powered by water and handy for factory use.
- 2) 1784, The Mule was invented by Samuel Crompton to make the thread qualitatively finer and more robust.
- 3) In 1786, the power loom was invented by Edmund Cartwright. This machine slowly replaced all weaving activity, powered by water or steam.

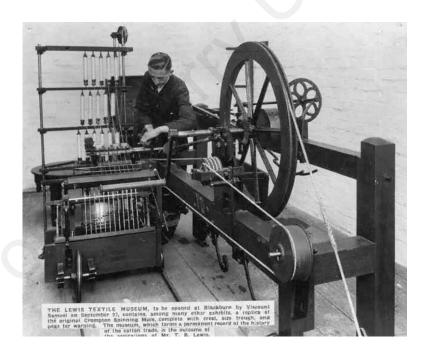


Image credit: gettyimage, THE SPINNING MULE by Samuel Crompton

So all the machines, as mentioned above, led to the emergence of the so-called factory system. Therefore, the combination of steam with the new methods of converting Iron into steel made ships, bridges and textiles much quicker and more effective. They began to use machines which people would typically do by hand. Now man-operated machines across the country. This produced goods and led to the proliferation of urban centres as medicines, leather goods, clothes, and other goods had to be produced in each factory. This facilitates the movement of people from rural areas to urban centers to work in the factory. Cities like Leeds, Belfast, Manchester and Nottingham had cotton and woollen mills. Manchester was, in fact, the first industrial city. Cotton mills also grew enormously, which employed over one million people in 1850. Cotton was one of the most valuable materials factories produced, which England traded with other countries on a broader scale. Cities such as Glasgow, new castle, Sheffield and Cardiff made steel for building ships and bridges.



Image credit: BBC.History, portraits of EDMUND CARTWRIGHT

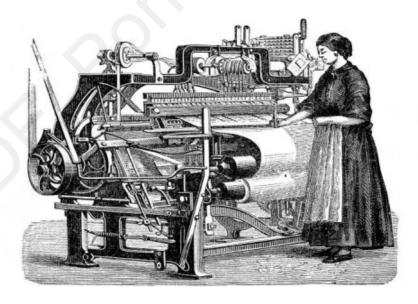


Image credit: textile valuechain, Portraits of Women handling

POWER LOOM

Cities like Sunderland used steam power to improve coal mining. In Birmingham, they build automotive vehicles. London was famous for the production of varieties of clothing, also in medicines and engineering. Thus, the Industrial Revolution transformed the farming nation into an industrial nation. In hindsight, we cannot forget the adverse impact of the industrial revolutions, which led to the loss of Jobs by skilled workers and produced enormous environmental pollution. Perhaps working in a factory also exposes you to several harmful chemicals apart from the danger of injury caused by mishandling machines.

Now let us discuss Richard Arkwright's impact, the first to build mechanized carding and spinning as a factory system at Cromford in Derbyshire. He powered his factory using a water wheel, and the factory operates round the clock (24x7), so it necessitated and introduced a shift change system. As a result, he could produce many clothes with varied choices that were cheaper than the domestically produced ones, so he became one of the wealthiest men in the city.

The uniqueness of the factory system is that since people work in shifts, the manager or the master needs to regulate and keep time well informed to the workers. So they introduced a timekeeper called Knocker –upper or factory system and diversified the employment system. The *knocker-up or knocker-upper* was a timekeeper operational in the Netherlands, Britain, and Ireland. When alarm clocks were neither cheap nor reliable, their job was to wake people who slept during work or at home by knocking at the windows to enable them to get to the factory on time. People often called this system a Victorian alarm clock.



Image credit: lancashireminingmusuem.org, KNOCKER UP ON MARSH'S ROW TWIST LANE LEIGH – POSSIBLY 1930'S



Image credit: Shutterstock, Samuel Greg's Quarry Bank Mill

The said engine interestingly also help improved Samuel Greg's Quarry Bank Mill, a cotton Mill in Cheshire to the south of Manchester, for innovations in machinery and its approach to labour relations.



MAP OF THE BRITISH EMPIRE IN 1886

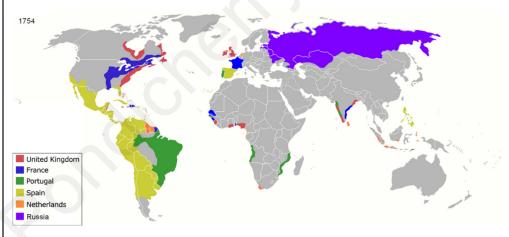


Image credit: Andrei nacu, European colonial empires at the start of the Industrial Revolution

4.1.16 Let Us Sum Up

There is no doubt that the industrial revolution brought many dramatic changes in the life of human beings. Following are some of the noteworthy point

1) It changes the style of farming and the subsequent transfer of knowledge to the outside world.

- 2) It revolutionized human life, creating a safe drinking water system, construction of the railway, a new Iron bridge and a road to speed up societal welfare.
- 3) It led to urbanization; new towns and cities emerged, and improved sanitation by building new canals.
- 4) It led to the formation of a stratified society, changing the standard of living and helping to form trade unions to help address and mitigate the plight of the working people.
- 5) It also created differences among human beings regarding eating habits, food and nutrition, which affect family life and its impact on women and children.
- 6) It eventually propelled England and other European nations to dominate the world by converting the colonies into the source of raw materials and the customers of the finished products.

4.1.17 Self Assessment Question

- 1) Define the term nation-state.
- 2) Who are the enlightened philosophers, and briefly describe their ideas?
- 3) Can Glorious Revolution be termed an invasion?
- 4) Describe the reform carried out by the Enlightened despot
- 5) Describe the evolution of the Factory system
- 6) Define industrial revolution
- 7) Describe briefly the technological invitation and its impact on the industrial revolution.
- 8) How far do you agree that the Industrial Revolution help England dominate the world?
- 9) What do you understand by the term factory system?
- 10) What do you understand by the term *knocker -up* during the Industrial revolution?
- 11) Describe the role of the Power loom and spinning mule.
- 12) Explain the dark side of the industrial revolution.

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UNIT-V

Lesson 5.1 - Louis XVI And Europe



Image Credit: Joseph-Siffred Duplessis, Portraits of LOUIS XVI

Structure

- 5.1.1 Objectives
- 5.1.2 Introduction
- 5.1.3 Causes of the French Revolution in Brief
 - 5.1.3.1. The Estate General 1789
- 5.1.4. The National Assembly
- 5.1.5. Declaration of the Rights of Man and the Citizens
- 5.1.6. Civil Constitution 1790
- 5.1.7. Role of Women During the French Revolution
 - 5.1.7.1. The Rights of Women: Olympe De Gouges
- 5.1.8. Emergence of Sans Culottes
 - 5.1.8.1 Guillotine
- 5.1.9 Louis Xvi

- 5.1.9.1 Turgot's Reform Proposal
- 5.1.9.2 Jacques Necker and his Reform Proposal
- 5.1.9.3 Alexandre De Calonne
- 5.1.9.4. Assembly of the Notables
- 5.1.9.5. Assembly of all the Three Estates
- 5.1.10. Let Us Sump Up
- 5.1.11 Self Assessment Question
- 5.1.12 References

5.1.1 Objectives

Louis XVI and Europe on the eve of the French Revolution" will attempt to achieve the following Objectives for the learners

- 1) To describe and understand the social structure of the old regime in France
- 2) To discuss and analyse the main reason for its economic instability
- 3) To look into why Louis XVI had to summon the estate General in the end?
- 4) To critically evaluate the role of the queen in the Revolution
- 5) Lastly, a summary and concluding analysis will be given.

5.1.2 Introduction

The atmosphere of Europe on the Eve of the French Revolution can best be described as Social unrest, economic instability and the desire for political reform. To understand this issue and perhaps to achieve our stated objectives. First, let us discuss the Old Regime's social structure, divided into the following lines.

a) The First Estate consisted of the Clergy, the most privileged and wealthy class who owned 10 per cent of land without being subject to taxation. The only schism here is that some distinction was made between the High Priest and the Parish Priest. The only noble thing that they did was take care of schools, hospitals and orphanages for the people.

- b) The Second estate consisted of the Nobility. This estate, manned by army officers, runs the court and perhaps most government jobs. They also owned land and maybe were against the philosophy of Absolutism.
- c) The Third estates are the Common man who pays taxes or everyone else

5.1.3 Causes of the French Revolution in Brief

So a simple analysis shows us that while the elite classes are exempted from paying tax with all status and comforts, the common bears the entire burden and constitutes almost 97 per cent of the population, whereas the other two constitute 3 per cent. So the question is, what does it matter even if 3 per cent of the populations are exempted from taxes? This issue will be discussed in threadbare in the following section when we analyze the life of Louis XVI in the following sub-section.

Regarding economic instability, the French government always has a deficit spending, which means the revenue collected and the expenditures were inversely proportionate. The seven years wars also caused them a colossal deficit compounded by a lavish lifestyle at the court and palaces. As a result, the King had no option but to increase taxes.

The American Revolution (1775-1783) also caused France much financial burden. France's military and economic assistance was vital for American success. The repercussion of the seven years wars where France lost almost all its colonies in North America was, still haunted the French miserably. The check and balance system in international politics would also have come to play here. The American Revolution was the best opportunity to pay back for France to the British. This military and financial assistance had practically drained the French exchequer. For instance, the budgetary crisis of the French national budget during the 1780s was the Debt constituted 50 per cent; the Military formed at 25 per cent, public work at 19 per cent and the maintenance for Versailles at 6 per cent.

Several factors constitute this. King Louis XVI was considered a weak king, although Louis somehow put down the aristocratic section; during Louis XVI's time, there was an aristocratic resurgence. They became more powerful and began dictating terms and conditions for which the king was helpless. In 1787 the king called an assembly of Notables, hoping to get representatives from the nobility and the church to agree to be taxed.

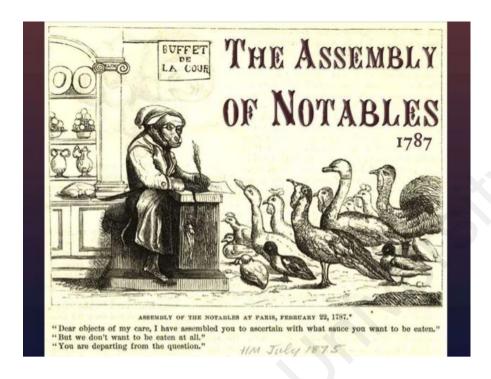


Image credit: Alpha History. A cartoon depicting the first Assembly of Notables as geese and other fowl

Roland Mousnier argues, "An assembly of notables was an expanded version of the king's council. Several times each year, whenever the king needed to cast a wider net in search of information... he would enlarge his council with personalities chosen... for their 'zeal' and their 'devotion', their 'fidelity' to the sovereign... Such an assembly was not an abbreviated version of the Estates-General".

The above cartoon explained it all. Where the king/master asked the Ducks and the geese with what sauce they would like to be cooked? As the Frenchmen used many sauces for cooking. In return, the Ducks and geese replied, "We don't want to be cooked or eaten at all, "Then the master said you are departing from the question". Now the question remains the Notables argued that if you wanted to be taxed, then better convene the Estate-General so that it can be an assembly that is more inclusive than the notables. So they urged him to call, which had not been convened since 1614, meaning the Estate general met in 175 years. The estate general was, in fact, not necessary for the absolute monarchy.

5.1.3.1 The Estate General 1789

The country also witnessed poor harvest, and the 1780s were remarkable for soaring essential commodity prices. Jacques Necker

advised him to tax the rich to solve th financial problem, but Louis XVI was not a resolute king. In 1789 the estate general convened an advisory body for th king. They were not a binding parliamentary body but a whole bunch of people. Although they seem not to have been a source of trouble under an absolute monarchy, gathering such a whole bunch of people would undoubtedly be caused heated debates and exchanges. The first and foremost reason is that after 175 years, the estate general sounds like an outdated concept in the French political system.

So a perusal of French history related to the convening of the estate general, each estate was supposed to cast one vote as a group, which means the clergy had one vote, The Nobility one vote and the Third estate one vote accordingly. The issue is that the two upper classes, the clergy and the nobility, which seem to have only 3 per cent of the population, would have two votes, whereas the third estate, which constitutes 97 per cent of the population, would have only one vote.

The conclusion that we can surmise is that the idea of convening itself would be a travesty of justice even before the estate general was summoned. That means the class that formed 3 per cent in France was a majority as against the class that formed 97 per cent of the population. Meanwhile, the rise of the Bourgeoisie, consisting of the Lawyers and merchants, manufacturers or the people who had money and were professional class, contributed very much to the society and economy but did not possess what the Clergy and the nobility possessed and the tax exemption.

This decision for change was best put forward by one of the Clergy members, Abbe sieyes, in a famous pamphlet entitled Qu'est ce que le Tiers-etat? i.e

What is the Third Estate? He answers it - Everything.

What has it been heretofore in the political order? They are - Nothing.

What does it demand? They wanted - To become something."

So he essentially means to argue that the third estate constitutes and forms the country's lifeblood. Sieyès further argued that "Third Estate representation must be equal to or larger than the First and Second Estates combined. He called for voting at the Estates-General to be conducted by the head (that is, by a tally of individual deputies) rather than by order (the Estates voting in blocs). This shaped the demands of the Third Estate at

the Estates-General, culminating in their decision to break away to form the National Assembly".

So the third estate proposed some reforms such as Doubling the third, and the second proposed reform is related to "Vote by head", which means each delegate votes individually, not by group. The idea is that some of the nobility and clergy who had their roots in the third estate before they attained their current status and people like abbe Sieyes could vote along with the commoner causes. Since Louis XVI is not a resolute king, so does his indecisiveness. He agreed to double the third, but voting must go in the old way as a group but not individually. Finally, th estate general was convened on May 1789.

Immediately when the Estates general was summoned, the *hat fiasco* erupted pertaining to the hat sumptuary law. The story goes like this at the meeting when King Louis XVI takes his hat off, followed by the Clergy and the Nobility and then after a short and uncomfortably confusing pause, the Third Estate started taking their hats off. So looking at all the delegates, Louis put on the Hats again, which he wanted to convey to the third estate, which does not possess any rank and file, that the King is not their equal.

On June 17, 1789, the third estate left the assembly and declared themselves the national assembly. This means they represent the French nations.

5.1.4 The National Assembly

Once, the third estate declared the National assembly without the approval of the King. Three days later, the King responded by locking, and then the third estate responded by going out of the assembly to the nearby Tennis court, where they took an oath not to adjourn the National assembly until they adopted a Constitution or, in other words, given France a constitution. Since France was an absolute monarchy, the issue became very interesting and led to a confrontation.



Image credit: Alpha History, Jacques Louis David, Painting of the Oath at the Tennis court

A close look at the Picture in the middle, where three people dressing indifferent attires actually represent the three estates joining, gave a powerful message. Finally, Louis XVI had no option but to recognise the National Assembly as a law-making body and urged the first and second estates to join the National Assembly. While on the other hand asked for more soldiers to be stationed around Versailles.

Meanwhile, in Paris, there was a ripple effect of sensing something strange happening in Versailles when the army was being called in. Now they look at the Bastille, a symbol of tyranny and oppression since the middle ages. Only prisoners of outstanding notorious in the eyes of the Monarchy were put in here. For instance, Marquis de Sade is known for writing a depiction of libertine sexuality and sex crimes. The story also goes like this: Marquis de Sade screams aloud by shouting at the top of his voice that "they will kill us all". So it was on 14 July 1789 that the Parisian mob got together to storm the Bastille. Where the mob went on a rampage, found the leading officials and cut their heads off and vaulted on the pole of a stick.



Image credit: Public domain, Portraits of MARQUIS DE SADE

Meanwhile, in the countryside, too, due to grain shortages resulting from bad harvests, they were waiting for an opportunity to rally against the monarchy. Some rumours spread like wildfire that fuelled the anger against the Monarchy and the aristocrats that the grain shortage was a man made by the aristocrats to starve the peasants. So there was a massive peasant revolt all over the countryside. However, there was no such aristocratic conspiracy to starve the peasants. When a problem occurs, it all happens simultaneously. The peasant revolts were responded positively by the National Assembly on August 4 by abolishing the Feudal system, which applies to abolishing feudal dues, Nobles hunting rights and tax exemption, Popularly known as the Decree of 4th August.

5.1.5 Declaration Of The Rights Of Man And The Citizens

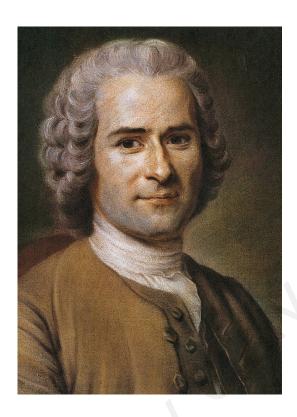
It was passed on August 26, 1789; this document liberated the French from an absolute Monarchy. This was the first time they experimented with a constitutional form of governance based on the natural rights of man. People were no longer the subjects of man or king but citizens of a nation. Let us now discuss some factors influencing the declaration of the rights of man and citizens. Two eminent personalities influenced this declaration of rights.

- 1) Thomas Jefferson a classical liberal, a champion of the rights of man and a crusader for civil liberty and perhaps a U.S. Ambassador to France.
- 2) Jean Jacques Rousseau, an Enlightenment Philosopher, "Government on the interest of general will".

So the main influencer for the declaration of the rights of man and citizens are a Jeffersonian on "individual right and liberalism", and Rousseau's "Democracy and the general will" will have to be analysed here. Some intellectuals accused Rousseau's ideas of being a proto-socialist. But to ascertain whether Rousseau's ideas were proto-socialist and perhaps to have a fruitful discussion, let us look at the main documents and explore and analyse them step by step.



Image credit: WHE, Documents of the rights of man and citizens.



Portraits of JEAN-JACQUES ROUSSEAU



Portrait of THOMAS JEFFERSON by Mather Brown

The preamble goes like this, "The Representatives of the French people, organized in the National Assembly, considering that ignorance, forgetfulness, or contempt of the rights of man are the sole causes of public miseries and the corruption of governments, have resolved to set forth in a solemn declaration the natural, inalienable, and sacred rights of man, so that this declaration, being ever present to all the members of the social body, may unceasingly remind them of their rights and duties; in order that the acts of the legislative power, and those of the executive power, may at each moment be compared with the aim and of every political institution and thereby may be more respected; and in order that the demands of the citizens, grounded henceforth upon simple and incontestable principles, may always take the direction of maintaining the constitution and welfare of all".

In consequence, the National Assembly recognizes and declares, in the presence and under the auspices of the Supreme Being, the following rights of man and citizen:

Source: Frank Maloy Anderson, ed., The Constitution and Other Select Documents Illustrative of the History of France, 1789-1907 (New York: Russell and Russell, 1908), pp. 59-61

The documents essentially hint that when you forget the rights of man, all sorts of misery start to emerge. In other words, when the rights of man that constitute a part of the government body where rights and responsibilities go together, then the National Assembly was able to declare in the presence under the auspices of the supreme being not that of the holy trinity but the Civic Deism the above 17 articles.

Articles:

The first articles reflected on Rousseau's ideas of social contract where he argues, "Men is born free, but everywhere he is in chains". So Rousseau's ideas were quite handy for the framers of the Declaration of the Rights of Man and Citizen now that "Men are born free and remain free and equal in rights. Social distinctions can be based only on public utility". So the social distinction in terms of addressing Mr President and Honourable Prime Minister is not basically of his birth and inheritance but because of the office of merit and the constitutional position he or she occupies.

The second article, "The aim of every political association is the preservation of the natural and imprescriptible rights of man. These rights are liberty, property, security, and resistance to oppression",. In these articles, when the ideas of liberty and so on are mentioned, we have traceable elements of Jeffersonian ideas of individual rights and liberty. The third article, "The sources of all sovereignty reside essentially in the nation; nobody, no individual can exercise authority that does not proceed from it in plain terms". This article makes an inference to sovereignty, which is more or less an inference to the idea of a Nation. This idea of a nation's sovereignty which can be derived from the people but not divine rights was essentially the main idea of the French Revolution.

Additionally, this article determines to transform the French from dividing their estates or classes into one Nation, No more first estate, Second and third estates, No hereditary nobility and special protection rights for them are no more or gone. Now sovereignty resides in the nation that derives from its people, so it is more of a Rousseau than a Jeffersonian idea.

The Fourth article "Liberty consists in the power to do anything that does not injure others; accordingly, the exercise of the rights of each man has no limits except those that secure the enjoyment of these same rights to the other members of society. These limits can be determined only by law", and The fifth article, "The law has only the right to forbid such actions as are injurious to society. Nothing can be forbidden that is not interdicted by the law, and no one can be constrained to do that which it does not order". So in the fourth and fifth articles, the Jeffersonian classical liberalism is reflected. The basic idea of the function of the government is to keep me from hurting someone else. Suppose I am doing anything else that does not hurt someone else, then that is none of the government's business. This means that the government has the right to intervene if someone is hurting someone else, which is the classical principle of liberalism. However, when it comes to the government's functions concerning politics, economy and society, the government should not step in unless legitimate harm is being done.

The sixth article, "Law is the expression of the general will. All citizens have the right to take part personally, or by their representatives, and its formation. It must be the same for all, whether it protects or punishes. All citizens, being equal in its eyes, are equally eligible to all public dignities, places, and employments, according to their capacities, and without other distinction than that of their virtues and talents". Here in this article, we have seen the resonance of Rousseau's ideas "Law is the expression of the general will" which might sound seemingly contradictory with regard to the above articles which argued for the right of the government to step in only when legitimate harm is done but here, for instance, if the general or the majority public say do that don't do that

how are we going to enforce the so-called general will here. So the article is basically a democratic expression that may have no value to the liberal ideas and values attached to it.

In articles 7.8.9 and 10, "No man can be accused, arrested, or detained, except in the cases determined by the law and according to the forms it has prescribed. Those who procure, expedite, execute, or cause arbitrary orders to be executed, ought to be punished: but every citizen summoned was seized in virtue of the law ought to render instant obedience; he makes himself guilty by resistance".

"The law ought only to establish penalties that are strict and obviously necessary, and no one can be punished except in virtue of a law established and promulgated prior to the offense and legally applied".

"Every man being presumed innocent until he has been pronounced guilty if it is thought indispensable to arrest him, all severity that may not be necessary to secure his person ought to be strictly suppressed by law".

"No one should be disturbed on account of his opinions, even religious, provided their manifestation does not upset the public order established by law". Perhaps sound more or less like the U.S. Bill of Rights. However, here a perusal of the article would mean. You have the right to a free opinion, even in Religion, as long as it does not subvert the public order. But the Bill of Rights would mean we have the right to peaceful assembly, but as long as we are not doing anything violent. These articles seemingly would have given more arms to the government beyond the framers of the declarations of the Bill of Rights, and citizens would have envisioned the government for which some historians would argue that it foreshadowed the reign of terror in the years to come.

Then article

- 11 "The free communication of ideas and opinions is one of the most precious of the rights of man; every citizen can then freely speak, write, and print, subject to responsibility for the abuse of this freedom in the cases is determined by law".
- 12. "The guarantee of the rights of man and citizen requires a public force; this force then is instituted for the advantage of all and not for the personal benefit of those to whom it is entrusted".

- 13. "A general tax is indispensable for the maintenance of the public force and for the expenses of administration; it ought to be equally apportioned among all citizens according to their means".
- 14. "All the citizens have a right to ascertain, by themselves or by their representatives, the necessity of the public tax, to consent to it freely, to follow the employment of it, and to determine the quota, the assessment, the collection, and the duration of it".
- 15. "Society has the right to call for an account of his administration by every public agent".
- 16. "Any society in which the guarantee of the rights is not secured, or the separation of powers not determined, has no constitution at all".
- 17. "Property being a sacred to and inviolable right, no one can be deprived of it, unless legally established public necessity evidently demands it, under the condition of a just and prior indemnity". So the above articles are all a mixture of Jeffersonian ideas and Rousseau's philosophy of Democratic and almost proto- Socialist ideas. The other issue that can be made clear from the articles is that it also paves the way for an identity crisis pertaining to liberalism and foreshadows the Reign of Terror based on the radicalization of the simplistic reading of Rousseau.

5.1.6 Civil Constitution 1790

Now let us talk about the civil constitution too, although slightly beyond our syllabus just over a few months. The primary aim of forming this constitution was to bring the privileged estates into the new French nation. However, the decree of August 4th had already abolished their privileges, eliminating their feudal benefits and the Noble's inheritance right and tax exemption.

Now the attempt is to bring the Clergy on par with the other citizens. The first instance of bringing the clergy on par with the other citizens began with the confiscation of church property by the national assembly in 1789 and subsequently abolished religious order in 1790, such as nuns and monks, which looks more or less similar to what Henry VIII did during the English reformation. The national assembly abolished mandatory tithe. Hence people will tithe as they were personally convicted with the Bible instruction but not necessarily because the Pope or Bishop

says so or the government will no longer collect the tithe. This means the church also comes under the state.

The civil constitution also aims to transform the French Catholic Church into a civil religion. That is a state religion but not a religion that is a particular class of privileges' of the state but a religion that function as a part of the state. There are several key ingredients in this civil constitution.



Image credit: Alpha History, A depiction of clerics moving their possessions out of a cathedral

The first reform concerns the election of Bishops and Priests. Before 1789-90, you must be from the Nobility to become a bishop or priest. Following are some of the intended reforms of the civil constitutions.

- 1) They (Bishops and priests) were not accountable to the people, so from now on, they will be elected democratically.
- 2) Once elected, they must swear an oath of allegiance to the state to ensure they will be loyal to France first, then to Pope.
- 3) They were to be regulated by a standard pay scale for the Bishops and the priests depending on the congregation's size and their duty.
- 4) They were also placed under a strict attendance policy to be present for the church and other spiritual services. This means they will

have to obtain prior permission from the state officials when they are supposed to travel outside their diocese and area of operation.

Overall the above regulations are meant to bring the Bishops and priests in line with the ordinary people, the oath of allegiance to try and confirm that they are first French citizens, so does the loyal first to the state than the spiritual authority of the Pope. The standard pay scale also, in fact, was to streamline the financial burden that the monarchy usually overpaid them, and the Bishops and priests were so powerful that they often shunned their duty for personal work, so all these measures brought the Bishops and the priests on par or equal French citizens. In other words, the civil constitution attempted to remedy all the abuses and anomalies between different estates of the old regime.

Now the question remains: Did the civil constitution of the clergy contribute to creating a freer and less regulated state? Yes, indeed, if we look at the French Revolution, they sought to create a freer and less regulated state. However, the civil constitution of the clergy in reforming the church in France to the extent that it is liberal, the fundamental question remains, When a church is accountable to the state rather than liberals as the idea of Liberalism and the liberals would have wanted, Does the Idea of less regulated state the central theme of the revolution was defeated here?

The civil constitution's creation was a bridge between the Revolution of 1789 and a more radical revolution of 1793 and 1794. Therefore, instead of trying to liberate the church by separating it from the state, the National Assembly chose to regulate the church by placing it under state control.

The French clergy were also divided into *Swearing Priests and Refractory priests*. The former was on board with the civil constitution of the clergy. They have no problems swearing an oath of allegiance. In contrast, the latter does not really appreciate the civil constitution of the clergy. They are more conservative and are followers of tradition and dogma who do not believe that the state should not interfere in the church matter. However, when we compute the data, there are areas where the swearing and the refractory have their say unevenly. That means the impact of the French Revolution was not uniform all over France. Look at the cartoon for the rally taken out by the Refractory Priests.



Image credit: WHE, PROCESSION OF REFRACTORY CLERGY

The procession interestingly depicts the Refractory clergy showing them with long noses, which offers an anti-Semitic gesture making them look like Jews who were seen as outsiders. The question now arises concerning this painting, whether it was a freedom or a chaos? The answer that can be said, slowly and steadily, is that the French Revolution is getting radicalized.

5.1.7 Role of Women During the French Revolution

The Irony of talking about the role of women in the French Revolution is that in the old regime, all men are not equal yet. Additionally, European traditions also gave men and women separate spheres. Where the public domain was for the man and the household chores for the women were not to be in politics and the decision-making process. Men are supposed to make rational decisions, whereas women are emotional. Now let us bring in Rousseau, an influential Enlightenment philosopher who wrote the book *Emile*, a treatise on the nature of education and the nature of man. However, Rousseau discusses and talks about Sophie, perhaps a woman.



Image Credit: Susan Heep, Nuns and Priest running around celebrating civil constitutions.

Here Rousseau says, "Mother, do not make a decent man out of your daughter. Make a decent woman out of her". He argues that women's central role is how to please a man. Now the big question is, although the Enlightenment philosopher talked about rationality and progress, there was no serious attempt to bring political equality for women to the philosophers.



Image credit: WHE, WOMEN MARCH ON VERSAILLES

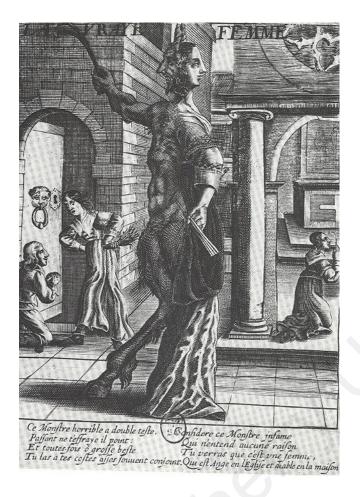


Image credit: World history common. An early modern view of
Women

The above portraits are of an early modern view of a woman, a 17th-century engraving from France. In the front, a woman is depicted as very beautiful, but behind her, sorts of demons look like women. The image shows the women's true imagination that they might be appealing in looks but is devils in nature and spirit.

The other characteristic description of women was also hysterical. Jess Zafarris argues that "Hysteric" and "hysterical" were equally of common uses when the word surfaced in English in the very early 1600s in medical contexts from the Latin hystericus, or "of the womb," which in turn came from the Greek hysterikos, meaning either "of the womb" or "suffering in the womb," and the base noun hystera, or "womb" (i.e., uterus)". Looking back at the biblical story of human creation, the serpent first tempted the women and was the driving force behind original sin.

This essentially means women are an agency that possesses the inherent ability to corrupt and influence on man, so they have to be kept in their proper spheres.

Now let us also trace the story of Pandora's Box. In the Greek version, Prometheus tells Epimetheus to beware of never trusting a gift from Zeus. This woman may look so beautiful, but all kinds of evil will surely follow, and sure enough, she got the box and with a note don't open the box. So the woman does what she was told not to do. This motto means men follow the rules, whereas women don't, so they deserve a separate sphere.

This kind of historical narrative will give you a glimpse of what Marie Antoinette was supposed to do. Perhaps she was considered the wrong woman at the wrong time when many things were supposed to happen or take place there. So the question now is, Does Marie Antoinette as a woman possesses the inherent capability for corrupting influence on man?

Why did we call her the woman at the wrong place and time? Because a young Princess of Austria married the King of France at a very turbulent time. She was also embroiled in a wrong scam, the "Diamond necklace scam", which she has nothing to do with. Additionally, when the angry people are outside the place demanding bread, she looks at the cake she ate and says, "If they don't have bread, let them eat cake. So women often become a scapegoat for all the wrongdoings or royal extravagant. They also called her "L'AUTRICHIENNEC" Chienne, in French, means a dog or a bitch. Perhaps it could also be an Austrian woman, but in presence, it was derogatively affixed to her. She was, in fact, the main target of the women's march on Versailles. After much negotiation, they were willing to go to Paris and guillotined in 1793.

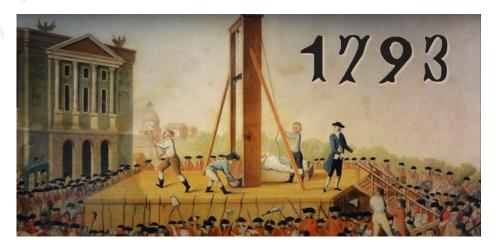


Image credit: Tom Richey, MARY ANTOINETTE FACING THE GUILLOTINE

5.1.7.1 The Rights of Women: Olympe De Gouges

Olympe de Gouges, the Declaration of the Rights of Woman (September 1791)

DÉCLARATION DES DROITS DE LA FEMME ET DE LA CITOYENNE,

'A décréter par l'Assemblée nationale dans ses dernières séances ou dans celle de la prochaine législature.

P

Les mères, les filles, les soeurs, représentantes de la nation, demandent d'être constituées en assemblée nationale. Considérant que l'ignorance, l'oubli ou le mépris des droits de la femme, sont les seules causes des malheurs publics et de la corruption des gouvernemens, ont résolu d'exposer dans une déclaration solemnelle, les droits naturels, inaliénables et sacrés de la femme, afin que cette déclaration , constamment présente à tous les membres du corps social, leur rappelle sans cesse leurs droits et leurs devoirs, afin que les actes du pouvoir des femmes, et ceux du pouvoir des hommes pouvant être à chaque instant comparés avec le but de toute institution politique, en soient plus respectés, afin que les réclamations des citoyennes, fondées désormais sur des principes simples et incontestables, tournent toujours au maintien de la constitution, des bonnes moeurs, et au bonheur de tous.

En conséquence, le sexe supérieur en beauté comme en courage, dans les souffrances maternelles, reconnaît et déclare, en présence

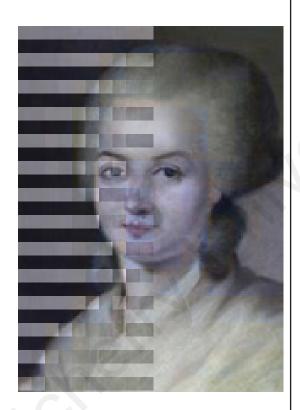


Image credit: WHE, OLYMPE DE GOUGES AND HER DECLARATION OF RIGHTS OF WOMEN AND FEMALE CITIZENS

Thus the women of France also declared 17 articles related to women's rights and citizens.

Check your progress

- 1) Discuss the role of Rousseau's Ideas in the French Revolution
- 2) How far do you agree that the Declaration of the Rights of Man were more of a Jeffersonian idea than Rousseau's?
- 3) Describe briefly the social structure of the French on the eve of the Revolution.
- 4) Who were the swearing and refractory Priests?

5.1.8 Role of Jacobins, Cordeliers and the Girondins



Seal of the Jacobin Club from 1789 to 1792



EMBLEM OF THE CORDELIERS

It is amply clear that any revolution cannot happen and succeed without being ideologically motivated and directives from such an ideological boss. Some politically motivated intellectuals or the political club that guided the French Revolutions were Jacobin, Cordelier and the Girondins between 1789 and 1799.

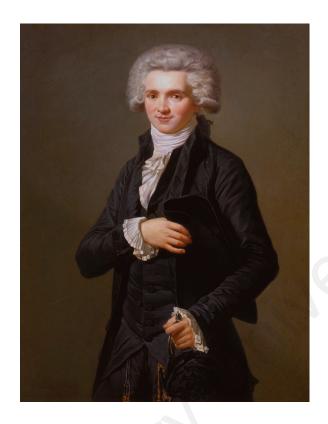
When the third estates broke away and rechristened themselves as the National Assembly, later the National Constituent Assembly, however, all the members were pro-revolutionary and had a relatively free and less regulated state vision for the future of the French people. There are always differences between them. The leaders often haggle over issues such as to what extent the movement should take a break, step forward, left and rightwards.

The first significant clubs that emerged were popularly known as the Jacobins, whose eminent and radical leader was Maximilien Robespierre. After the Jacobins came the Cordeliers, although formed in 1790 under the able leadership of George Danton, Desmoulins and Jean-Paul Marat, who possessed a very radical militaristic path to revolutions. Desmoulins, known for his oratory skill, gave a high voltage politically motivated speech a day before eth e storming of the Bastille. There was also a group called the Girondins.

Unlike the Jacobins and the Cordeliers, they believed the best way to move forward in a revolution was through non-violent and diplomatic efforts. Jacques Pierre Brissot, also known as Brissot de Warville, was the most influential leader. The fundamental problem is that all of them wanted a revolution, but their paths and methods were so different that, in the end, they were a victim of the revolution itself in the quest for a New France.

One of the first things the National Assembly approved was the declaration of the rights of man and the citizens, which we discussed earlier. There were several expectations in different sections of this document. One of these groups was the Jews of Northeastern Paris, demanding equal treatment with other revolutionaries in the country as per Article 10 of the declarations. The petition was first brought to the constituent assembly on the 20th of January 1790, and full rights were granted in September of the following years.

The Jacobins were also pressing for more reforms before the revolution, and perhaps one of the leading causes was the financial insolvency of France. The Monarch was said to have replaced the finance minister and advisors several times but to no avail. The financial problems in the country got exacerbated by the revolutions. The Jacobin tried to fix this issue by introducing the *Law of Maximum*, which fixed the price of bread and other necessities, aiming to keep the rising prices under control and feed the masses during this period. However, Jacobin's law of the maximum was largely unsuccessful. The reason was when the merchants were advised to sell the food prices less than the actual cost price they spent, resulting in the merchants closing their shops where the common masses ran helter-skelter to find their own means of livelihood.



Maximilien De Robespierre by Pierre Roch Vigneron



Image credit: Library of Congress's Prints and Photographs division, GEORGES-JACQUES DANTON



PORTRAITS OF CAMILLE DESMOULINS



Image credit: parismuseescollections.paris.fr, Portrait of JEAN-PAUL MARAT



Image credit: François Bonneville's Portrait of Jacques-Pierre Brissot de Warville

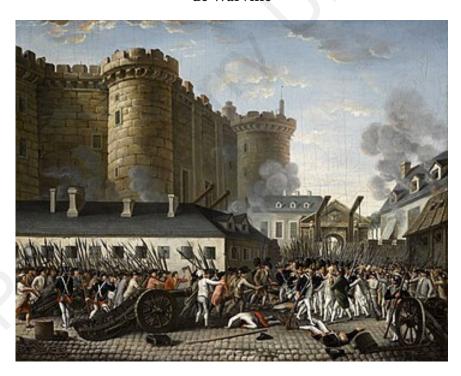


Image credit: WHE THE STORMING OF THE BASTILLE, 14 JULY 1789

On the other hand, Since the Girondins were instrumental in forming the National Guard during the initial stage of the revolutions, they now gave back some power to the monarchy in the form of a constitutional monarchy, which was less radical than the Jacobins wanted; this was one point where the revolutionaries leaders would get radicalized in the ensuing periods.

In contrast, Maximilien Robespierre feels that how can there be Monarchist, which is basically against the actual call of the revolutions that aim to get rid of the crown and the first two estates. Now all he needed was an excuse to purge these counter-revolutionaries. This opportunity came on 10th August 1792 Legislative Assembly ended because the King used his power to veto a law against the will of the Jacobins.

Three days later, the Jacobins, the Cordeliers, and the commoners stormed the palace and sent them to a temple, a fortress in Paris which was then used as a Prison. Since the Legislative Assembly was seemingly a non-entity now, the National Convention was formed, A parliament of elected representatives.

Thus France was declared a Republic. The Jacobins, Cordeliers and many independents merged as the Montagnards(the mountains) under the leadership of Maximilien Robespierre Robespierre, Danton and Desmoulins. Their only commonality is their hatred for the Girondins, who usually have the majority. However, with the significant presence of the independents, the Montagnards now have a hope to regain control of th political spheres.

Additionally, the September massacre, where over 1500 antirevolutionaries were executed, gave the Montagnards some sort of breathing space. Since then, the support for the Montagnard grew lips and bounds, so King Louis XVI was executed on 21 January 1973 when the Montagnards outvoted the Girondin. Girondins' biggest mistake was to arrest and execute Jean-Paul Marat, known for spewing venoms against the Girondins and continuing to put troubles their way.

In April, the Girondins had him arraigned before a Revolutionary tribunal. His acquittal of the political charges brought against him (April 24) was the climax of his career and the beginning of the fall of the Girondins from power. Soon after this, Montagnards formed a law passed to eliminate anyone opposed to the revolutions. When the revolutionary was created, then the Reign of Terror began.

5.1.8.1 Emergence Of Sans Culottes



PORTRAITS OF (LEFT) SANS-CULOTTES, COMPARE FIGURES WEARING CULOTTES RIGHT

The word Sans Culottes means without knee breeches", a label for the more militant supporters of that movement in the French Revolution, especially from 1792 to 1795. The Revolution seems to have been over; A political order was founded not on the Ancien Regime but on the Constitution. This is when everyone wants to move on and starts a new beginning posing their faith in the Constitution. After the Tennis courts' oaths laid down a certain hope for a New France, there was a lot to be fixed. Open defection, looming economic insolvency, in fact, the National Assembly was a task upon a daunting one.

The Jacobins thought there were miles to go before the revolution came to a successful end. One year after the Storming of the Bastille, the famous "Fête de la Fédération on 14 July 1790". This gave them a high morale boost to their movement to carry on. Those masses who came for the celebration did not part immediately and hence mingled with the urban Paris, who were now ideologically motivated; this added to their growing strength and also a boon that boosted the morale of the Jacobins.

As stated earlier, for the Girondins, The clergy was reined in. Feudalism was a thing of the past, equitable laws were enacted, and the King became a constitutional Monarchy. So it was quite a success for the Girondins. While on the other hand, the Jacobins still felt the need for reform based on Enlightenment ideals and theories. So the National Assembly embarked on modernization, liberalisation and centralization, in other words, a rationalization of the legacy of the Ancien Regime.



Image Credit: Joseph Boze, Portraits of THE COUNT OF MIRABEAU

The Jacobin now proposed that the 83 departments of France would be reduced to 81 and organised into districts of 9 departments each that would make up 9 communes; this was how the new France reorganisation would look like. Followed by administrative, taxes zone, and various dioceses would have their areas redrawn too.

Comte de Mirabeau was a leading light in this regard, who took into consideration, ancient geographical divisions and ethno-linguistic groups. He proposed that 13 districts of France be reorganized into 83 regions that generally correspond with extant Parishes. Each new department was to have its own elected assembly and commune. They were bound to the National Assembly in Paris and their regional parliament. Theoretically, this system made law, justice, taxation, administration and voting not only efficient but also more representatives. The proposal was passed in July with the existence of 83 departments now established, a complimentary form of tax reform began. Taxes such as internal custom barrier and Gabelle, "The gabelle was a duty payable on salt. Used chiefly as a food preservative and also in manufacturing and industry, salt was an essential commodity

in 18th century France" Were abolished. This really suits the ears of the peasants and the mercantile classes. They also look forward to reconciling the Ancien regime and the new constitutional order. Some even suggested that the nobility's right of the protected needs to be protected.

This does not augur well for the minds and souls of the common masses. Since voting was still the prerogative of the bourgeoisie, lawmakers, bankers, politicians and senior bureaucrats, although it was a path-breaking achievement from the ancient regime, the real revolutionary spirit of the revolution was still a far cry. Additionally, the revolutionary became more radical because they felt they were left behind; for instance, the Cordeliers commune in Paris spontaneously erupted in protest to the limited voting Franchise. In such a situation, the enemies of the moderates George Danton was one such agitator. In 1790 Jean Sylvain Bailly, Mayor of Paris, wanted to arrest George Danton.



JEAN SYLVAIN BAILLY Portrait by Jean-Laurent Mosnier, 1789

Although he escaped, yet threatened to return by gathering supporters to overthrow the government, forcing the mayor to back down, the Cordeliers, while facing the threat of being banned, finally rallied around their superbly capable and charismatic leader and formed an official political club-The Society of the Friend of the Rights of Man- also known as the Cordelier Club.

The commune petitioned the Assembly for the redistricting measures to be scrapped entirely and push forward the active citizenship status to be conferred upon all the citizens of the entire district. They demonstrated true civic egalitarianism with members open to any and all citizens for a small amount of payment-two *sous a* month- Perhaps the most potent revolutionary forces in France-the disenfranchised and now thoroughly disillusioned masses had an example of glaring political organisation which could afford them a collective voice and collective protection from abuses. It was during a politically fraught day that the term describing hardline revolutionary Parisian entered common parlance –*sans culottes*- literally without pant. Knee breeches, about their lack of fashionable and expensive embroidered pants called 'culottes. They were not interested in compromise, amnesty for the aristocracy, or incrementalism.

Now the Cordeliers club and the sans-culottes soldiers were determined to see the revolution go through its logical conclusion regarding annihilating the Ancien Regime's vestiges. Camille Desmoulin wrote, and Danton spoke. In the combination of the duo, a great display of patriotism and revolutionary zeal gel very well. The perfect combination of the duo was considered to be the most incredible partner in the revolution. Camille Desmoulin was the one whose invectives had guided the commoners to storm the Bastille. A day later, Danton arrived with an armed militia and demanded entry to the still-burning Fort Prison.

As the Paris commune tried to redistrict the city under Bailly to eliminate the Cordeliers, it was Danton and Desmoulins who directed the passion of the mob. Through the 1790s, the Cordeliers Club was galvanised, eventually becoming an unofficial and unrecognized parallel metropolitan authority. The club leadership formed the Cordelier assembly in mock imitation of the national assembly, with Danton and Desmoulins manning the club's backbone and even accommodated Jean-Paul Marat's printing press, amplifying an already extremely influential propaganda machine.

So, if the reclusive propagandist's work boosted the Jacobins, it provided an absolute deluge of popular support for the Cordeliers club. Based on this wave, Danton could ride all the way into public office, winning membership in Paris commune in August as a representative of the Cordeliers. But there was a hitch. Under Bailly's instruction, the specific requirements were altered last minute to make it impossible for

someone to assume office without the approval of the other Commune's members, and there was no way anyone would approve Danton's ascension into ranks; being thwarted, he retreated to the Cordeliers.

Nonetheless, investing in people proved to be a shrewd decision for him, and the irresistibly popular Cordeliers were a persistent thorn in the commune's side, prompting them to issue a slew of decrees aimed at maiming the club. Now changes in the voting pattern adversely affected the cities and the departments too. Throughout the summer, a resurgence of the old nobility as a viable political force occurred. As land-owning citizens, nobles dropped their noble titles but kept up their avaricious antics. Recasting themselves as experienced public servants, they handily won the local election with votes from their friends and lackeys.

This contrasted with a trend in the cities where the older generation of the Noble d'Robe.-bureaucrats and civil servants judges, minister-retired in vain protest against the new constitutional order. Replacing them was a younger generation of liberals who populated the now vacant government and judicial positions. Indeed this younger generation would later inherit the Revolution. Urban areas had long been a hotbed of liberalism and revolution, but this pattern was now being baked into the cake of the new French administration. As evinced by the emergence of the Cordeliers, the cities were now the lynchpins of the Enlightenment and revolutions.

On the other hand, the countryside was proving a sanctuary of ousted monarchist reactionaries and nobility. Therefore, the national assembly's decrees and ordinances went largely unheeded in the outlying provinces. Even with their rationalization reform, it was challenging to enforce any form of such laws. Regional parliaments stubbornly held to their ancient rights and resisted any attempts at such rationalization or centralization. Breaches between the central government in Paris and their affiliate department of parliament were worsening.

By August, the divide was so deep and unbridgeable. That divide also extended to the peasantry, who were already alarmed at the National Assembly's anti-clerical streak; vast swathes of their rural peasantry turned against the assembly almost overnight after the registration of the civil constitution of the clergy. Even though the law was as yet un-enacted, never before had the assembly passed the law so profoundly unpopular. Much of the goodwill they had earned by repealing hate taxes and custom

barriers evaporated when the peasantry was to choose between support for apostate in the National Assembly or the Catholic Church.

Further alienation occurred in August when the National Assembly successfully reinstituted free trade on grain- one of the new areas it would have been prudent for the assembly to maintain Ancien Regime style mercantilism. Fixed prices for bread became a thing of the past, and soon, urban and peasants were feeling their pockets as well as their stomachs empty. As mentioned earlier, the Rumors of the grain plot reemerged, fuelling the peasantry's worst nightmare. The only people who benefitted from free trade were noble, able to engage in speculation and grain merchants who could once again charge whatever price they fancied. Hungry, angry and dejected to the resurgent nobility and the intransient clergy, these enraged peasants flock. With relations between the people and the National Assembly all-time low, the stage was set for another upheaval.

5.1.8.2 Guillotine

This instrument was devised for merciless killing, where a person who committed a crime was made to lie on the wooden blocks facing upward towards the sharp tool that would fall on the neck. Almost all the leaders during the revolutions, including the King and the queen, met the same fate under this barbaric justice system. The horrendous scène of such merciless killing was described by one of the British Parliamentarian Edmund Burke, whose comments on the horrific incidents of Marie Antoinette, lamented that "Little did I dream I should have lived to see such a disaster fallen upon her, in a nation of gallant man, in a nation of man of honor, and of cavaliers! I thought ten thousand swords must have leaped from their scabbards, to avenge even a look that threatened her with insult". That surely man of honor should have stood up to defend their queen. But Burke goes on to say that "the Age of chivalry is gone; that of sophisters, economists and calculators has succeeded, and the glory of Europe is extinguished forever.

Check your progress

- 1) Describe the role of Jacobins, Cordeliers and Girondins.
- 2) Who were the sans culottes'?
- 3) Describe the role of Danton, Desmoulins and Marat
- 4) What was the National Assembly all about?

- 5) What do you understand by the term the "Oath at Tennis Court" on the eve of the Revolution?
- 6) Briefly describe the grain-plot theory.

5.1.9 Louis Xvi

The life and times of Louis XVI



Portraits of LOUIS & MARIE ANTOINETTE

Louis XVI was crowned at 19 and married the Archduchess of Austria until she became Maria Antoinette (originally Maria Antonia), the daughter of Maria Theressa. Indeed inheriting a monarch's throne as a teenager some problems are going to happen. The King's sole mission was to get the people's love and affection, although he was a little revolutionary. The first reform that he pushed forward was an act of religious tolerance for people to choose from. This religious tolerance was extended to Protestants and Jews as well.



Portrait of VERSAILLES LAWN

Although an absolutist Monarchist, he always wanted to get the public's approval; this implies his most significant challenges. Which certainly would cause humiliation if not insult to the self-conceited and status-conscious nobility. This was when France was heavily indebted, and the finances were precarious. The irony of the French system was that the people with all the privileges and money were exempted from paying taxes; therefore, the king could not raise more money, and these were the same people behind all policies and decisions in the king's courts. But the King had to do something.

By 1775 Louis was extremely busy dousing several riots, which in one way foreshadowed the 1789 Revolution. The involvement in the American War of Independence also heavily caused the French exchequer. During that period, on the advice of the Finance Minister, several reforms were mooted to raise taxes to boost the economy to pull the country out of the financial imbroglio. This resulted in the finance minister becoming so unpopular to the extent that people started protesting and burning the effigy of the Finance Minister.

Loui's lavish lifestyle and expenditure at the Versailles were also other factors. The Palace of Versailles, known as the home of Europe's most potent and popular monarch, utterly lost its charms and popularity after Louis XVI stepped in. This palace was also known for its artistic breeds, lavish entertainment, passionate love affairs and perhaps outrageous scandals. However, some selected Nobility was dancing and merrymaking in the palace due to its financial insolvency, the state on the brink of collapse. Now a new queen in this beautiful palace faces the

biggest challenge in the history of France. As stated earlier, she could have been coming at the wrong time, or she was born ill-luck and accused of all crimes and scandals she was never associated with.

In 1775, Versailles celebrated the coronation of the New King and the Queen. Although the king sometimes privately expressed his dislike for being in the palace, there was full hope on the part of the people for their new king and Queen. However, once he took over the charge, he wanted to rule grandly like a typical absolute Monarchist, as did his predecessor Louis XIV. While at the same time, he also wanted to be popular among the people and obtain their acceptance and approval. So this makes him take a new line of governance that he has to take into the confidence of all estates in the country. In keeping with the Enlightenment ideas and philosophy, he wanted to be more humane and modern, i.e., more just and philanthropic, so he called himself Louis the Philanthropic.

The first initiative he started with his family. He inoculated all his family against Smallpox, which had taken aback all his courtiers; that had never happened with any monarch in France's history. This was wildly experimental and perhaps very dangerous; that somehow raised hairs at that time, so there was a lot of rumours and discussion going on in the country that if the King died. But according to him, it was how a modern has to lead and moves on with the changing time. Interestingly there was seemingly another story going on in the palace. Although the king and queen seemed happy in Public, there was a problem with the Royal marriage. This some historians would consider a disaster, although designed to produce an heir from the combination of two Royal families, the Austrian and the French.

The Kings seem to have not tried to consummate the marriage. The royal family's private life was a matter of grave concern as rumours and gossip surrounded the royal palace that the King failed in his manly duty in the bed chamber. This story has become a matter to ponder for the historian and sharply divides their opinion. Some historians argued that during the first seven years, the king's bedroom story was not manly, or both were not interested in consummating the marriage or were utterly innocent in producing an heir or sex education.

Some historians argued that looking at the private life of Louis XIV and XV, it was unimaginable that the XVI would be innocent in the bedroom affairs. So this led historians to argue that Louis XVI seemed to

be suffering from a rare medical problem called Phimosis "Phimosis (from Greek φ i μ ω σ i ς phimosis 'muzzling') is a condition in which the foreskin of the penis cannot stretch to allow it to be pulled back past the glans". At the same time, he was internally battling the problems of producing an heir; he also had to redress some of the issues that had blighted towards the end of Louis XV's reign, i.e., the poor financial situation. French during that period had the memories of famine that killed millions, so most of the people subsisted on a bare minimum, although the Clergy and the Nobility had a lavish life. So when we talked about the financial problem that haunts Louis XVI, it actually began at the time of Louis XIV.



Portrait of TURGOT by Antoine Graincourt, now in Versailles

5.1.9.1 Turgot's Reform Proposal

Anne-Robert-Jacques Turgot, His finance minister, is an enlightenment product, so he recognised the importance of landed wealth and the need to tax it. Turgot tried to practically demonstrate to the Kings and his ministers some lessons on life beyond and outside Versailles. For instance, at one of the dining tables, Turgot brought bread; the King was interested, but the other minister responded by telling him we thought you were a minister, but we did realise now that you are a baker. The King's interest in the Turgot bread demonstration made some historians argue that Louis was an enlightened king who was really interested in reforming France and placing France back to its past glory.

Since the palace was filled with many landed aristocrats, many were unwilling to cooperate with Luois in his endeavour to pull France to the most powerful nation in Europe. Some were Loui's relatives, so it was a gigantic task. So if Turgot reform went through, both upper estates would have to pay taxes like other estates. This idea, although novel and pragmatic for the future of France, was opposed tooth and nail by the landed aristocracy. Perhaps they were outraged by such an idea which they thought was entirely against France traditions. This eventually made Versailles an isolated island of fun and frolic in the ocean of poverty because the Nobles were living life as kings, entirely relying on the state exchequer and pensions but utterly oblivious to the political affairs of France. Moreover, since the opposition to Turgot's reforms came from men within the council who thought and argued that Turgot's proposal would break and weaken the traditional social structure in which the Noble and the Clergy had a privileged position relative to the rest of the society. This reform would stir up the hornet's nest of vested interest groups.

While on the other hand, Queen Marie Antoinette loved to dance and gamble in the most fashionable Parisian salons was another issue that the commoner disliked. During such socializing incidents, she often heard gossip about Turgot's reform, which they cleverly told her aimed to undermine the Monarchy, and she conveyed this to the King. The greatest critics of these reforms came from none other than the Kings own brother Count of Province, popularly called Monsieur, who held them from the belief that France could and should follow all traditional social structures, i.e. the Three estates under the King must stay and their privileges cannot be altered if not disturbed.

These rising rumours' and gossip in France and the strong opposition within the Versailles courts faced Louis in a critical position, and he began to lose faith in Turgot's reform. He was now in a dilemma about which way to turn because the economy was on the verge of ruin where the expert's opinions were sharply divided, and the fundamental issue of if the reforms were not carried out. Then will the French state survive with the present structure to face th ever-changing world? In the initial stage, it appears he was firmly on the side of Turgot's reform, comes what may, but eventually lost his grip on the council and the Versailles when his own brother opposed it, so his support for Turgot dwindled as the heated argument went on he finally dismissed the one whom he was looking for, to save France from anarchy.

Historian argues that Louis sacking of Turgot was justified by the king over the allegation that "Turgot wanted to be me, so I don't want him to be me" For that reason, the minister was dismissed from his position. Instead of receiving appreciation and support from the council, the King's dismissal of Turgot sends off a message that makes Louis XVI a more vulnerable and indecisive King. Meanwhile, Louis had at least something to celebrate now that he had finally become a family man after eight years of marriage to Mary Antoinette. First, a daughter, then finally a son named "Dauphin". But this does not decrease his burden on the governance front. Day by day, the need filled the National treasury, so it was now Jacques Necker who was going to advise Louis XVI.



Portrait of JACQUES NECKER by Joseph Duplessis

5.1.9.2 Jacques Necker and his Reform Proposal

Jacques was one of the wealthiest men in Europe, perhaps the most prosperous Geneva banker. France having him, undoubtedly, could benefit from such kind of rich and professional sound in finance matters. Necker arrived in Versailles when England faced a rebellion in its colony that Louis wanted to support. As mentioned earlier, France, after its defeat in the seven years' War and losing some of its colonies in North America, was looking for an opportunity to stab England, and this Rebellion seems and sounds like the best opportunity for France. On the other hand, Louis XVI was also in a dilemma; if they did that, it would be construed as supporting

insurgents. Perhaps many of these insurgents were Republicans or avowed Republicans. So they decided to take a middle course by approving the aid but insisted that it should be done secretly by sending some covert skullduggery weapons and arms to help the Americans fight against the colonial master.



BENJAMIN FRANKLIN, on the United States \$100

All this support caused the fortune of France's finances, and Louis now turned to Jacques Necker, his new finance minister, and Necker immediately arranged a loan from his banker's friends. This essentially means a European absolutist monarchy financed the world's first democratic revolution (America). Louis's investment in America seems to have paid off after the decisive victory of the Revolutionary Americans at the Battle of Saratoga. This gave him confidence, and now he considered going into an open war with Britain. He threw a grand party at Versailles to welcome one of the drafting members of the American War of Independence, Benjamin Franklin.

Although he was a democrat who did not believe in the rules of Kings and Princes, what appealed to them was the chance to do down the country they hated so much that they wore the image of the map of Britain on their backside during the celebration. So when Benjamin Franklin arrived at the celebration, he appeared to have the status of a celebrity. There was some sort of *Franklin mania* as everyone present wanted to be seen together with the man. Although the war paid off some of the French in avenging England for their defeat in the seven years' War, and the celebration continues, the financial situation was alarmingly precarious.

Necker had done what he could to borrow almost from all his banker friends now; the task left to him was to contain the royal spending.

But the problem remains, and it squarely lies with the question, how do you tax the rich when the tradition does not permit it? Then Necker advised Louis XVI that the only way to restore the public finances was to make taxes mandatory for everyone. Everyone, I mean everyone, he quipped. But if such reform were done, this would essentially set the clergy and nobility against the king and Necker, which was very clear and dangerous for the duo looking at the past experiences. Against this backdrop, he held hostage the introduction of the reform law in the Parliament because the three estates voted as a group where the first two estates constituted only 3 per cent, had two votes, one each for each estate, and the third estate constituted 97 per cent had only one vote.

Nevertheless, it was necessary to tax the affluent sections of the society. Necker diplomatically pursued this plan by publishing a paper to eliminate the unnecessary but lucrative jobs enjoyed by courtiers at Versailles. This began to set a familiar argument during the time of Turgot. But this time, Louis decided not to back off like Turgot's time; instead chose to stand firmly on Necker's reforms. He states, "If anyone finds faults with it, I shall break him like glass". Fortunately, this time Necker got a shot in the arms, and the support also came from the Queen, Marie Antoinette urging her husband to stay strong and remains solid behind Necker's reform. But the biggest problem is that Louis XVI was always in a dilemma, never a decisive man to look up to by his people. This time again, Louis fired Necker like Turgot.

As the American War of Independence ended, Louis was hoping for some economic boon to the country, if not at least from favourable trade relations with them, but America preferred to trade with England; this embarrassed Louis XVI when he said that "77 ships we supplied but nothing comes back". Turgot once said that the first Gunshot France provided to America would drive France to bankruptcy, but he was proved wrong only by a few years because the impact of that war was terrible.

Check your progress

- 1) Who was Louis XVI?
- 2) What was the original name of Marie Antoinette?
- 3) Discuss the role of Turgot, Necker and Calonne and their attempt to reform France's financial imbroglio.

4) Explain the term Franklin's mania.



CHARLES ALEXANDRE DE CALONNE, Portrait of Calonne by Élisabeth-Louise Vigée-Le Brun

5.1.9.3 Alexandre De Calonne

As Necker was fired, Charles Alexandre de Calonne succeeded him; his ideas, instead of taxing the rich, as his two predecessors advised Louis, were utterly different. He suggested that Louis, to boost the economy, should spend more. But Louis replied when my country and people need saving, why did you advise me to spend more? Then Calonne tries to convince the king, saying money is stashed away in chests and drawers. It must be lured out, but bees do not make honey from vinegar. So the first step in this direction, according to the new minister, is "to restore the public confidence. Only when the financiers and savers have the feelings that money is flowing freely will they be ready to entrust us with their own". Unfortunately, instead of mitigating the problem, Calonne seriously aggravates the financial burden that the state exchequer to a breaking point.

While Marie Antoinette gave the monarchy an heir, she was still very unpopular with the commoners. They saw her as a symbol of selfish aristocrats. People blamed her luxurious lifestyle for the French financial crisis too. People started calling her "L'AUTRICHIENNE", which means Austrian, but chienne in French means dog, so pejoratively, it also means a bitch. So when people find no pieces of bread to eat, why should we keep partying and living a luxurious life? Some even bring out salacious pamphlets about the queen from the 1780s, which depict the queen having a wild sexual relationship and being frustrated with the king's unmanly behaviour in the bedroom. They even goes on to say that she had an affair with her brother-in-law, although they cannot prove it.

By and large, the King was a gentleman. Although some pamphlets painted him as stupid and lousy interested only in hunting, but he never gave up the idea of bringing his country out of the financial problems. Sometimes he assumed that the whole country despised him. However, he was surprised when he visited Normandy to visit the port site. This completely changed his imagination that his subjects disliked him, but he realised that it was only in and around Paris that the pamphleteers were defaming him. In the other parts of the country, he was quite a very popular King beyond his imagination. But as soon as he returned to the court, he encountered another problem as his new Finance minister advised him to spend more and more formula proved very costly for the country. He now finally calls for cuts and new taxes for the nobility, the same advice his two predecessors gave him. And this was met with the same degree of opposition by the Nobility all over again.

Some Historians called the period between 1787-1788 a period of desperation for France to escape the financial imbroglio that France encountered. He now confronted the new finance minister by asking, "You ask me to spend more and more only to adopt Turgot's and Necker's policy". The Finance minter's only answer was, "Sir, this reform was driven only by commonsense and is essential to your realm". The only issue that bothered and confronted the Monarch was that although Calonne's medicine could be a panacea for France, how willing would the patient be to absorb this medicine? The only significant decision for Louis XVI was to firmly stand behind his finance minister so that all these plans were accepted and pushed through so that the financial problem could tide over soon. The reason is there is no other way out, looking at the history of Turgots'

followed by Necker, and although Calonne seems to have come out with a new solution, he had to go through the plan envisaged by his predecessor.



Image Credit: Archives nationales, AE/II/3015, Engraving showing the Assembly of Notables of 1787 in Versailles

5.1.9.4 Assembly of the Notables

Finally, the King decided to convene the Assembly of the Notables. As mentioned above, the Assembly of Notables included all the mighty men in Louis's realm. They have the authority to see through Calonne's reform while at the same, they have the power to put it under the carpet. So Calonne's reforms were to be presented before them, and they will approve it, and it will be the law of the land, but unexpectedly it goes the other way; the debates and discussion go awry to such an extent like a dog's fight. So basically, what Calonne reform asked from the privileged group was to vote away their privileges, so some historians described the situation " as if turkeys were asking to advance the Christmas". So the inevitable result was that the assembly of Notables rejected the proposal. Now the king feels that Calonne failed to convince the Notables to vote in favour or agree to conform to his proposal, resulting in no option but to sack him again.

The end result is that the unmitigated and looming disaster would have to continue unabated. But Calonne became the only minister Louis

XVI wholeheartedly backed to see through his reform till the end but only to sack. So the king was trapped between the seemingly economic catastrophe and the prominent opponents who resisted reforms; he became insane. Now like his grandfather Louis XV, he started suffering from Melancholia and depression; he began to isolate himself from socialisation and went through a deep depression. The reason is that the failure of the Assembly of Notables profoundly impacted his life.

Running the daily routine of the court, the country's affairs were no more possible for a king should because the pinnacle of the system in the crisis reached its zenith. Now the kings seem to have lost the power to think, concentrate and put the daily routine affairs of the country seriously under question. This was compounded by his critics slowly moving portraits of Charles I of England's fate to his private room, which began to hound him. In his delirium, sometimes, he said, "If I avoided Charles's mistakes, I wouldn't be executed; Charles was executed because he levied war on his subjects, and I am not going to do that". Louis recovered his composure and tried one last time to change how his kingdom was taxed and governed, which he still thinks was the only solution for France.



Image credit: Gallica Digital Library FÊTE DE LA FÉDÉRATION ON 14 JULY 1790



MEETING OF THE ESTATES GENERAL ON 5 MAY 1789 AT VERSAILLES

5.1.9.5 Assembly of all the Three Estates

In August 1788, the treasury was bare; the government was forced to convene an estate general; perhaps it was the last throw on the dice. So it was more or less a forgone conclusion, as the voting pattern suggests. The voice of the privileged minority was designed and determined to prevail over the majority's vote. So there was bound to be a political deadlock as and when the estate general met, and getting out of that very deadlock was the beginning of the French Revolution. This situation was aggravated by the death of their son Dauphin, which brought psychological and mental shock to the king and the queen.

During this period, the Nobility kept pouring their support for the Monarch, substantially strengthening and leaning towards the Nobility after this emotional breakdown. This situation and the change of loyalty to the nobility by the king seemed to change France's political climate, where the third estates increasingly believed that the king would never change the status quo; therefore, they were doomed to despair. This is Versailles indeed, where the king was surrounded by all nobles nowhere near the third estates were to be seen. He only met them while visiting the port site at Normandy. With negotiations turning hopelessly bleak for the third estates, they sent a group to Versailles to ask for Louis's help, but the King

refused to meet them; it turned out to be the final straw in the run-up to the Revolution.

Now the third estate decided to take the matter into its own hands and declared itself as the National Assembly. This was indeed a revolutionary momentum for the first time in modern European history that a representative body has claimed power in the state based on a democratic principle. Indeed, they were genuinely revolutionary because they refused to disperse until France was given a constitution. Now the assembly declared the debate of June 17 as illegal and null and void because the third estate, without the king's approval, faced multi-faceted problems in the country; the king decided to use force now and urged the council to call in troops and also assemble them around Paris.

The Parisians panicked at the rapidly rising food price, and the call for the army now decided to defend themselves, so they decided to storm Bastille. Now Louis was wakened up in the middle of the night that his very own people had finally taken up against the authority. As an indecisive king, he decided not to face the people with arms but used force against the third estate; that was indeed an irony. But many of his friends wanted to go to war with him against his people.

Barely three months after Bastille was stormed, a mob of angry Parisian march to Versailles with the slogan "Death to the Austrian". The Mob also decided to kill one person they blamed for all their trouble, the symbol of the hated rich in the time of abject poverty in the country "Marie Antoinette". L'AUTRICHIENNE. One reason that still sharply divided historians is how far Mary Antoinette was responsible for ill-advising the King for all the country's problems. This is one reason why the Parisians had a particular dislike for him.

The other reason which could be the reason why the Parisians hated her so much was when the mob asked for the bread, she asks said to have replied from her palace that "If they don't have bread, let them eat Cake or Qu'ils mangent de la brioche". Even this was doubted by several historians that Marie Antoinette was brought up in a philanthropic environment under Maria Theressa. So the chance of her carelessly uttering that might not have been the case.

The Parisian mob broke into the palace gate early in the morning and tried to climb into the room of Marie Antoinette, one of her bodyguards was killed in defence of the queen. The queen managed to escape only by rapidly exiting the King's chamber. The royal family had no option but to walk calmly to the balcony to show themselves to the angry crowd that they were prisoners and didn't intend to flee. The king shouted from the balcony, "He will go to Paris with his family". This one the art of true statesmanship on the part of the king because when such a terrifying situation arose, and the crowds were after the royal blood, this could be the first time the royal family would have come in contact with an angry mob like this. So what the king did this time was an extraordinary, like statesmanship. Now the entire royal family surrendered to the Revolutionaries none of them would ever see Versailles again. Although they were taken as a baker's wife and baker's son in reference to the bread crisis that triggered such an outburst. To be fair enough, in post-6 October, they were prisoners in the hands of the revolution.

5.1.10 Let Us Sump Up

As stated above, the French Revolution was the culmination of several factors that began to foment from the time of Louis XVI's predecessor Louis XIV itself, as the ideas of enlightenment, Renaissance and Reformation had laid many options before the Europeans were quite impossible for the King to hold on to the idea of Absolute Monarchy. Regarding the causes, we have laid threadbare on several factors and argued that it was the culmination of multiple factors with the sparks given by Louis's indecisiveness in backing all his finance ministers till the end.

On the positive side, it had given France a new constitution, perhaps a revolutionary feat. Perhaps a mixture of Jeffersonian and Rousseau's ideas and the misreading of Rousseau's ideas led to the Reign of Terror.



PARLIAMENTS AND SOVEREIGN COUNCILS OF THE KINGDOM OF FRANCE IN 1789

5.1.11 Self Assessment Question

- 1) How far do you agree that Louis XVI's indecisiveness was the main cause of the French Revolution?
- 2) Queen Maria Antoinette married Louis XVI at the wrong time; she was blamed for a crime she had not committed. Give a reason to support your answer.
- 3) Describe the storming of the Bastille.
- 4) What was FÊTE DE LA FÉDÉRATION?
- 5) Explain the term "L'AUTRICHIENNE"?

5.1.12 References

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